

DEAN FOODS CO
Form 4
February 17, 2016

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
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(Print or Type Responses)

1. Name and Address of Reporting Person *
Tanner Gregg A

(Last) (First) (Middle)

2711 NORTH HASKELL
AVENUE, SUITE 3400

(Street)

DALLAS, TX 75204

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol

DEAN FOODS CO [DF]

3. Date of Earliest Transaction
(Month/Day/Year)

02/13/2016

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☒ Director ☐ 10% Owner
☒ Officer (give title below) ☐ Other (specify
below)

Chief Executive Officer

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount (A) or (D)	Price	
Common Stock	02/13/2016		M		35,714 (1)	A \$ 0	329,293 D
Common Stock	02/13/2016		F		14,955 (1)	D \$ 20.67	314,338 D
Common Stock	02/15/2016		M		40,257 (2)	A \$ 0	354,595 D
Common Stock	02/15/2016		F		16,888 (2)	D \$ 20.67	337,707 D
Common Stock	02/16/2016		M		29,126 (3)	A \$ 0	366,833 D

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Common Stock 02/16/2016 F 12,219
(3) D \$ 20.96 354,614 D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Security (Instr. 3 and 4)
				Code	V (A) (D)	Date Exercisable Expiration Date	Title Amount or Number of
Restricted Stock Units (DU005758) (4)	\$ 0	02/13/2016		M	35,714 (1)	02/13/2015(5) 02/13/2024	Common Stock 35
Restricted Stock Units (DU705739) (4)	\$ 0	02/15/2016		M	40,257 (2)	02/15/2014(5) 02/15/2023	Common Stock 40
Restricted Stock Units (DU005816) (4)	\$ 0	02/16/2016		M	29,126 (3)	02/16/2016(5) 02/16/2025	Common Stock 29

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Tanner Gregg A 2711 NORTH HASKELL AVENUE SUITE 3400 DALLAS, TX 75204	X		Chief Executive Officer	

Signatures

Kay F. Stockler
Attorney-in-Fact

02/17/2016

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) The Reporting Person received a total of 35,714 shares of Common Stock of the Issuer pursuant to the vesting provisions in the award of restricted stock units (RSUs). A total of 14,955 shares were surrendered to satisfy tax obligations of the Reporting Person, resulting in the issuance of a total of 20,759 net shares of Common Stock.

(2) The Reporting Person received a total of 40,257 shares of Common Stock of the Issuer pursuant to the vesting provisions in the award of RSUs. A total of 16,888 shares were surrendered to satisfy tax obligations of the Reporting Person, resulting in the issuance of a total of 23,369 net shares of Common Stock.

(3) The Reporting Person received a total of 29,126 shares of Common Stock of the Issuer pursuant to the vesting provisions in the award of RSUs. A total of 12,219 shares were surrendered to satisfy tax obligations of the Reporting Person, resulting in the issuance of a total of 16,907 net shares of Common Stock.

(4) The Reporting Person previously received an award of RSUs, represents the right to receive shares of Common Stock of the Issuer in the future, subject to the terms and conditions of the related award agreement.

(5) This RSU award vests annually, on a pro rata basis, over a 3-year period beginning on the first anniversary of the grant date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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