#### Edgar Filing: DUN & BRADSTREET CORP/NW - Form 4

#### **DUN & BRADSTREET CORP/NW**

Form 4

March 04, 2016

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB** Number:

3235-0287

Expires:

January 31, 2005

0.5

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**OMB APPROVAL** 

if no longer subject to Section 16. Form 4 or Form 5 obligations

may continue.

See Instruction

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

03/03/2016

03/03/2016

Stock

Stock

Stock

Common

Common

1. Name and Address of Reporting Person * PIETRONTONE ANTHONY JR				2. Issuel Frame and Tiener of Trading					5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)			
103 JFK PARKWAY (Street)				03/02/2016					PAO & Corporate Controller			
				Filed(Month/Day/Year)					6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person			
SHORT HILLS, NJ 07078								- F	Form filed by More than One Reporting Person			
	(City)	(State)	Table I - Non-Derivative Securities Acq					uired, Disposed of, or Beneficially Owned				
	1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deem Execution any (Month/D	Date, if	3. Transactic Code (Instr. 8)	omr Dispos (Instr. 3,	sed of 4 and (A) or		5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
	Common Stock	03/02/2016			M	277 (1)	A	\$ 0	5,852.289	D		
	Common Stock	03/02/2016			F	265 (2)	D	\$ 98.96	5,587.289	D		
	Common	02/02/2016			М	201 (3)	٨	¢ 0	5 000 200	D		

M

F

301 (3) A

298 (2) D

\$0

100.385

5,888.289

5,590.289

 $0.5874 \frac{(4)}{}$ 

D

D

I

**HELD IN** 

**ESPP** 

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

> De Sec (In

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	onof De Sec Ac (A) Dis of (In	Number erivative curities equired ) or sposed (D) astr. 3, 4, d 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8 I S (
				Code V	(A)	) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Leveraged Restricted Stock Units	(1)	03/02/2016		M		348 (1)	<u>(1)</u>	03/02/2018	Common Stock	277 (1)	
Leveraged Restricted Stock Units	(3)	03/03/2016		M		356 (3)	(3)	03/03/2017	Common Stock	301 (3)	

## **Reporting Owners**

Director 10% Owner Officer Other

PIETRONTONE ANTHONY JR 103 JFK PARKWAY SHORT HILLS, NJ 07078

PAO & Corporate Controller

### **Signatures**

/s/ Kristin R. Kaldor for Anthony Pietrontone, Jr. by POA previously filed 03/04/2016

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Reporting Owners 2

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- (1) Represents the vesting and payout of the first tranche (1/3) of the leveraged restricted stock units (1,046) granted on March 2, 2015 at 79.8% of target based on the issuer's stock price performance during the performance period from 1/1/2015 -12/31/2015.
- (2) The reporting person made an irrevocable election in November 2015 to satisfy tax withholding obligations relating to the vesting of shares of Common Stock previously awarded through the deduction of shares from the vested amount.
- (3) Represents the vesting and payout of the second tranche (1/3) of the leveraged restricted stock units (1,067) granted on March 3, 2014 at 84.80% of target based on the issuer's stock price performance during the performance period from 1/1/2014 -12/31/2015.
- (4) Held in the issuer's employee stock purchase plan (ESPP) as of 3/4/2016.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.