Dr Pepper Snapple Group, Inc.

Form 4/A March 09, 2016

# FORM 4

#### **OMB APPROVAL** UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB

Number:

3235-0287

0.5

Washington, D.C. 20549

January 31, Expires: 2005

Estimated average burden hours per

response...

Check this box if no longer subject to Section 16.

obligations

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF Form 4 or Form 5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

**SECURITIES** 

may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Print or Type Responses)

| 1. Name and<br>Thomas Da             | 2. Issuer Name and Ticker or Trading Symbol Dr Pepper Snapple Group, Inc. [DPS]                |  |  |   |   |       | 5. Relationship of Reporting Person(s) to<br>Issuer  (Check all applicable)  |                      |  |  |   |
|--------------------------------------|--|--|--|---|---|-------|--|----------------------|--|--|---|
| (Last) 5301 LEG                      | (First) ACY DR.  |  |  |   |   |       | Director 10% Owner Specify Other (specify below) Below) Executive Vice President   |                      |  |  |   |
| PLANO, T                             | Filed(Month/Day/Year) 03/08/2016   |  |  |   |   |       | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person |                      |  |  |   |
| (City)                               | (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned |  |  |   |   |       |  |                      |  | ly Owned   |   |
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date 2A. Deemed (Month/Day/Year) Execution Dat any (Month/Day/Y                 |  |  | Code (Instr. 3, 4 and 5) (Instr. 8)  (A) or |   |       |  | (D)                  | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
| Common<br>Stock                      | 03/04/2016   |  |  | M <u>(1)</u>                                | 5 | 5,009 | A  | \$ 43.82             | 55,729 (2)   | D  |   |
| Common<br>Stock                      | 03/04/2016   |  |  | S   | 5 | 5,009 | D  | \$<br>92.0322<br>(3) | 50,720 (2)   | D  |   |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of | 2.          | 3. Transaction Date | 3A. Deemed         | 4.         | 5.         | 6. Date Exerc      | cisable and | 7. Title  | and          | 8. Price of | 9. Nu  |
|-------------|-------------|---------------------|--------------------|------------|------------|--------------------|-------------|-----------|--------------|-------------|--------|
| Derivative  | Conversion  | (Month/Day/Year)    | Execution Date, if | Transactio | onNumber   | er Expiration Date |             | Amoun     | t of         | Derivative  | Deriv  |
| Security    | or Exercise |                     | any                | Code       | of         | (Month/Day/        | Year)       | Underly   | ying         | Security    | Secui  |
| (Instr. 3)  | Price of    |                     | (Month/Day/Year)   | (Instr. 8) | Derivative | e                  |             | Securit   | ies          | (Instr. 5)  | Bene   |
|             | Derivative  |                     |                    |            | Securities |                    |             | (Instr. 3 | 3 and 4)     |             | Own    |
|             | Security    |                     |                    |            | Acquired   |                    |             |           |              |             | Follo  |
|             | •           |                     |                    |            | (A) or     |                    |             |           |              |             | Repo   |
|             |             |                     |                    |            | Disposed   |                    |             |           |              |             | Trans  |
|             |             |                     |                    |            | of (D)     |                    |             |           |              |             | (Instr |
|             |             |                     |                    |            | (Instr. 3, |                    |             |           |              |             |        |
|             |             |                     |                    |            | 4, and 5)  |                    |             |           |              |             |        |
|             |             |                     |                    |            |            |                    |             |           | A manuat     |             |        |
|             |             |                     |                    |            |            |                    |             |           | Amount       |             |        |
|             |             |                     |                    |            |            | Date               | Expiration  |           | Or<br>Number |             |        |
|             |             |                     |                    |            |            | Exercisable        | Date        |           | Number       |             |        |
|             |             |                     |                    | C + V      | (A) (D)    |                    |             |           | of           |             |        |
|             |             |                     |                    | Code V     | (A) (D)    |                    |             |           | Shares       |             |        |

# **Reporting Owners**

Relationships Reporting Owner Name / Address

> Officer Other Director 10% Owner

Thomas David

5301 LEGACY DR. **Executive Vice President** 

**PLANO, TX 75024** 

## **Signatures**

Wayne R. Lewis, attorney 03/09/2016 in fact

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- This option was granted pursuant to the Issuer's Omnibus Stock Incentive Plan of 2009 and vests in three equal installments on each anniversary date of the grant commencing on March 4, 2014.
  - On March 8, 2016 the reporting person filed a Form 4 reporting the acquisition of 5,009 shares upon exercise of an option granted under the Issuer's Omnibus Stock Incentive Plan of 2009. The total number of shares beneficially owned following the acquisition of 5,009
- (2) shares was reported as 50,729 and should have been reported as 55,729. These shares were subsequently sold and the last line of the Form 4 (which is also included on this Form 4) reflected the correct shares sold and the correct shares owned at the conclusion of the transactions as 50,720.
- The price represents the weighted average sale price of the securities disposed of. The range of prices for the transaction is \$92.00-\$92.08.
- (3) The reporting person shall provide upon request by the SEC, the issuer, or any security holder of the issuer, full information regarding the number of shares sold at each separate price.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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