ANTARES PHARMA, INC. Form 3 September 12, 2016 FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 OMB

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

OMB APPROVAL

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(Print or Type Responses)

| 1 0 | 2. Date of Event Requiring Statement (Month/Day/Year) | | | |
|---|---|--|--|--|
| (Middle) | 09/04/2016 | | | 5. If Amendment, Date Original Filed(Month/Day/Year) |
| TARES PHARMA, 100 PRINCETON , SUITE 300 (Street) , NJ 08628 | | (Check all applicable) Director 10% Owner X Officer Other (give title below) (specify below) VP/Controller & Interim CFO | | Owner 6. Individual or Joint/Group ^{W)} Filing(Check Applicable Line) |
| (Zip) | Table I - N | Non-Derivati | ve Securiti | es Beneficially Owned |
| | | | 3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5) | 4. Nature of Indirect Beneficial Ownership (Instr. 5) |
| | 50,000 | | D | Â |
| ctly. ersons who res formation conta quired to respo | pond to the collection of ained in this form are not nd unless the form displ | : 51 | EC 1473 (7-02 |) |
| | HARMA, CETON)0 628 (Zip) 628 (Zip) 628 (zip) 628 (zip) 628 (zip) 628 (zip) | Statement | Statement ANTARES ANTARES ANTARES (Middle) 09/04/2016 4. Relationship Person(s) to Is HARMA, (Check and | Statement ANTARES PHARMA, (Middle) 09/04/2016 4. Relationship of Reporting Person(s) to Issuer HARMA, (Check all applicable) (Middle) 00 |

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative | 2. Date Exercisable and | 3. Title and Amount of | 4. | 5. | 6. Nature of Indirect |
|------------------------|-------------------------|------------------------|-------------|------------|-----------------------|
| Security | Expiration Date | Securities Underlying | Conversion | Ownership | Beneficial |
| (Instr. 4) | (Month/Day/Year) | Derivative Security | or Exercise | Form of | Ownership |
| | | (Instr. 4) | Price of | Derivative | (Instr. 5) |
| | | | Derivative | Security: | |

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|---------------|---------|---------|-----|--------|
| | | , | | |

| | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | Security | Direct (D) or Indirect (I) (Instr. 5) | |
|-----------------------------|-----------------------|--------------------|---|----------------------------------|----------|--|---|
| Stock Option (right to buy) | 01/16/2010 <u>(1)</u> | 01/15/2017 | Common Stock \$0.01 par value per share | 30,000 | \$ 1.23 | D | Â |
| Stock Option (right to buy) | 05/10/2010 <u>(1)</u> | 05/09/2017 | Common Stock \$0.01 par value per share | 15,000 | \$ 1.65 | D | Â |
| Stock Option (right to buy) | 05/14/2011 <u>(1)</u> | 05/13/2018 | Common Stock \$0.01 par value per share | 45,000 | \$ 0.85 | D | Â |
| Stock Option (right to buy) | 11/12/2011 <u>(1)</u> | 11/11/2018 | Common Stock \$0.01 par value per share | 20,000 | \$ 0.47 | D | Â |
| Stock Option (right to buy) | 11/11/2012 <u>(1)</u> | 11/11/2019 | Common Stock \$0.01 par value per share | 45,000 | \$ 1.1 | D | Â |
| Stock Option (right to buy) | 11/11/2013 <u>(1)</u> | 11/10/2020 | Common Stock \$0.01 par value per share | 35,000 | \$ 1.52 | D | Â |
| Stock Option (right to buy) | 05/17/2015 <u>(1)</u> | 05/16/2022 | Common Stock \$0.01 par value per share | 20,000 | \$ 2.94 | D | Â |
| Stock Option (right to buy) | 05/22/2016 <u>(1)</u> | 05/22/2023 | Common Stock \$0.01 par value per share | 30,000 | \$ 3.96 | D | Â |
| Stock Option (right to buy) | (2) | 11/19/2024 | Common Stock \$0.01 par | 35,000 | \$ 2.29 | D | Â |

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| | | | value per share | | | | |
|-----------------------------|-------------|------------|---|--------|---------|---|---|
| Stock Option (right to buy) | (<u>3)</u> | 05/29/2024 | Common Stock \$0.01 par value per share | 35,000 | \$ 3.09 | D | Â |
| Stock Option (right to buy) | (4) | 05/28/2025 | Common Stock \$0.01 par value per share | 35,000 | \$ 2.18 | D | Â |
| Stock Option (right to buy) | (5) | 06/02/2026 | Common Stock \$0.01 par value per share | 40,000 | \$ 1.12 | D | Â |

Reporting Owners

| Reporting Owner Name / Address | | Relationships | | | | | |
|---|------------|---------------|-----------|-----------------------------|-------|--|--|
| | | | 10% Owner | Officer | Other | | |
| Muckenhirn Keith E C/O ANTARES PHARMA, INC. 100 PRINCETON SOUTH, SUITE 300 EWING, NJ 08628 | | Â | Â | VP/Controller & Interim CFO | Â | | |
| Signatures | | | | | | | |
| Keith Muckenhirn | 09/12/2016 | | | | | | |
| **Signature of Reporting Person | Date | | | | | | |
| Explanation of | Pospon | 0001 | | | | | |

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Represents grant of options to purchase shares of common stock, par value of \$0.01 per share, issued under the Antares Pharma,
(1) Inc. 2008 Equity Compensation Plan. The options vested in equal quarterly installments over three years from the initial grant date. The exercisable date listed above represents the date the options became fully vested and exercisable.

Represents grant of options to purchase shares of common stock, par value of \$0.01 per share, issued under the Antares Pharma,
(2) Inc. 2008 Equity Compensation Plan. The options were granted on November 20, 2014 and are vesting in equal quarterly installments over three years from the initial grant date.

Represents grant of options to purchase shares of common stock, par value of \$0.01 per share, issued under the Antares Pharma,
(3) Inc. 2008 Equity Compensation Plan. The options were granted on May 29, 2014 and are vesting in equal quarterly installments over three years from the initial grant date.

Represents grant of options to purchase shares of common stock, par value of \$0.01 per share, issued under the Antares Pharma,
(4) Inc. 2008 Equity Compensation Plan. The options were granted on May 28, 2015 and are vesting in equal quarterly installments over three years from the initial grant date.

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Represents grant of options to purchase shares of common stock, par value of \$0.01 per share, issued under the Antares Pharma,
Inc. 2008 Equity Compensation Plan. The options were granted on June 2, 2016 and are vesting in equal quarterly installments over three years from the initial grant date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.