Edgar Filing: WORTHINGTON INDUSTRIES INC - Form 4

WORTHINGTON INDUSTRIES INC Form 4 November 16, 2016 OMB APPROVAL FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB 3235-0287 Washington, D.C. 20549 Number: Check this box January 31, Expires: if no longer 2005 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to Estimated average **SECURITIES** Section 16. burden hours per Form 4 or response... 0.5 Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person * 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading WINLAND VIRGIL L Issuer Symbol WORTHINGTON INDUSTRIES (Check all applicable) INC [WOR] (Last) (First) (Middle) 3. Date of Earliest Transaction Director 10% Owner X_Officer (give title Other (specify (Month/Day/Year) below) below) 200 OLD WILSON BRIDGE ROAD 11/15/2016 Exec VP-Manufacturing (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting COLUMBUS, OH 43085 Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed 3. 4. Securities Acquired 5. Amount of 7. Nature of 6. Security (Month/Day/Year) Execution Date, if Transaction(A) or Disposed of (D) Securities Ownership Indirect (Instr. 3) anv Code (Instr. 3, 4 and 5) Beneficially Form: Direct Beneficial Ownership (Month/Day/Year) Owned (Instr. 8) (D) or Following Indirect (I) (Instr. 4) Reported (Instr. 4) (A) Transaction(s) or (Instr. 3 and 4) Code V Amount (D) Price Common \$ 11/15/2016 Μ 15,000 А 122,069 D 20.21 Shares Common 11/15/2016 F 10,072 D D \$ 57.7 111,997 Shares Common by 401(k) I 57,289 (<u>1</u>) Shares Plan

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transactic Code (Instr. 8) | 5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Ar of Underlying Securities (Instr. 3 and 4) |
|---|---|---|---|--|--|--|--------------------|--|
| | | | | Code V | (A) (D) | Date Exercisable | Expiration Date | A Title N O |
| Non-Qualified Stock Option (right to buy) | \$ 20.21 | 11/15/2016 | | М | 15,000 | 07/01/2009(2) | 07/01/2018 | common shares |

Reporting Owners

| Reporting Owner Name / Address | | | Relationships | | | | |
|--|-------------|------------|-----------------------|-------|--|--|--|
| 1 3 | Director | 10% Owner | Officer | Other | | | |
| WINLAND VIRGIL L 200 OLD WILSON BRIDGE ROAD COLUMBUS, OH 43085 | | | Exec VP-Manufacturing | | | | |
| Signatures | | | | | | | |
| /s/Dale T. Brinkman, as attorney-in-faction Winland | ct for Virg | 11/16/2016 | | | | | |
| <u>**</u> Signature of Reporting Perso | on | | Date | | | | |
| Evaluation of Decremence. | | | | | | | |

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Amount listed is the most up-to-date information available regarding holdings in the Company Plan Fund which invests in Common Shares of the Company.
- This option was granted out of the Worthington Industries, Inc. Amended and Restated 2003 Stock Option Plan and vests at 20% per year (2) beginning on the first anniversary of the grant date. Date listed is the first day any portion of the option will vest. Additional portions of
- 20% of the option vest annually on 07/01/2010, 07/01/2011, 07/01/2012 and 07/01/2013.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.