Edgar Filing: DUN & BRADSTREET CORP/NW - Form 4

DUN & BRADSTREET CORP/NW

Form 4

March 07, 2017

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB Number:

3235-0287

Expires:

January 31, 2005

0.5

Estimated average burden hours per

OMB APPROVAL

response...

if no longer subject to Section 16. Form 4 or Form 5

Check this box

obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and A	2. Issuer Name and Ticker or Trading Symbol DUN & BRADSTREET CORP/NW [DNB]					5. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
(Last)	(First)	(Middle)	3. Date o (Month/I 03/03/2	•				Director 10% OwnerX Officer (give title Other (specify below) PAO & Corporate Controller			
сиорт иі	(Street) 4. If Filed IORT HILLS, NJ 07078				ate Origina	ıl		6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting			
SHOKT III	LLS, NJ 07076							Person			
(City)	(State)	(Zip)	Tab	le I - Non-l	Derivative	Secui	rities Acqu	uired, Disposed of	, or Beneficial	ly Owned	
1.Title of Security (Instr. 3)	2. Transaction D (Month/Day/Yea	ar) Execution any	med on Date, if Day/Year)	Code (Instr. 8)	4. Securi or(A) or Di (Instr. 3,	spose 4 and (A) or	d of (D) 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock	03/03/2017			M M	Amount 380 (1)	(D)	Price \$ 0	5,902.6398	D		
Common Stock	03/03/2017			F	458 (2)	D	\$ 106.83	5,444.6398	D		
Common Stock								0.5874 (3)	I	HELD IN ESPP	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control

Edgar Filing: DUN & BRADSTREET CORP/NW - Form 4

number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	le Derivative ttr. 8) Securities Acquired (A) or Disposed of (D) (Instr. 3, 4,		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	and 5) (A) (I	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Leveraged Restricted Stock Units	Ш	03/03/2017		M		356 (1)	<u>(1)</u>	03/03/2017	Common Stock	380 (1)

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

PIETRONTONE ANTHONY JR 103 JFK PARKWAY SHORT HILLS, NJ 07078

PAO & Corporate Controller

Signatures

/s/ Kristin R. Kaldor for Anthony Pietrontone, Jr. by POA previously filed

03/07/2017

De Sec (In

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Represents the vesting and payout of the third tranche (1/3) of the leveraged restricted stock units (1,067) granted on March 3, 2014 at (1) 106.9% based on the issuer's stock price performance during the performance period from 1/1/2014 -12/31/2016 and includes 24 additional shares above the target number of shares originally reported
- (2) The reporting person made an irrevocable election in November 2016 to satisfy tax withholding obligations relating to the vesting of shares of Common Stock previously awarded through the deduction of shares from the vested amount.
- (3) Held in the issuer's employee stock purchase plan (ESPP) as of 03/07/2017.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2