KROGER CO Form 4 July 13, 2017

### FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB agas

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Synings January 31,

**OMB APPROVAL** 

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if no longer subject to Section 16. Form 4 or Form 5

Check this box

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1(b).

(City)

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Foley Todd A Issuer Symbol KROGER CO [KR] (Check all applicable) (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) Director 10% Owner X\_ Officer (give title Other (specify THE KROGER CO., 1014 VINE 07/12/2017 below) STREET Vice President and Treasurer (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting CINCINNATI, OH 45202 Person

| (,)             | (4)                 | Table              | e I - Non-Do | erivative Securities Acq | juirea, Disposea a | of, or Beneficial | ly Owned     |
|-----------------|---------------------|--------------------|--------------|--------------------------|--------------------|-------------------|--------------|
| 1.Title of      | 2. Transaction Date | 2A. Deemed         | 3.           | 4. Securities Acquired   | 5. Amount of       | 6. Ownership      | 7. Nature of |
| Security        | (Month/Day/Year)    | Execution Date, if | Transactio   | on(A) or Disposed of     | Securities         | Form: Direct      | Indirect     |
| (Instr. 3)      |                     | any                | Code         | (D)                      | Beneficially       | (D) or            | Beneficial   |
|                 |                     | (Month/Day/Year)   | (Instr. 8)   | (Instr. 3, 4 and 5)      | Owned              | Indirect (I)      | Ownership    |
|                 |                     |                    |              |                          | Following          | (Instr. 4)        | (Instr. 4)   |
|                 |                     |                    |              | (4)                      | Reported           |                   |              |
|                 |                     |                    |              | (A)                      | Transaction(s)     |                   |              |
|                 |                     |                    | Code V       | or<br>Amount (D) Price   | (Instr. 3 and 4)   |                   |              |
| Common<br>Stock | 07/12/2017          |                    | F            | 381 (1) D \$ 22.8        | 23,983             | D                 |              |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

(Zip)

(State)

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

#### Edgar Filing: KROGER CO - Form 4

| 1. Title of Derivative | 2. Conversion                            | 3. Transaction Date (Month/Day/Year) |   | 4.              | 5.<br>orNumber  | 6. Date Exerc<br>Expiration Da |                    | 7. Title<br>Amou |  | 8. Price of Derivative | 9. Nu<br>Deriv  |
|------------------------|--|--------------------------------------|---|-----------------|---|--------------------------------|--------------------|------------------|--|------------------------|---|
| Security (Instr. 3)    | or Exercise Price of Derivative Security | (Monun Day/ Year)                    | execution Date, if any (Month/Day/Year) | Code (Instr. 8) | of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | (Month/Day/                    |                    | Under<br>Securi  | lying                                  | Security (Instr. 5)    | Secur<br>Bene<br>Owne<br>Follo<br>Repo<br>Trans<br>(Instr |
|                        |  |                                      |   | Code V          | (A) (D)   | Date<br>Exercisable            | Expiration<br>Date | Title            | Amount<br>or<br>Number<br>of<br>Shares |                        |   |

# **Reporting Owners**

| Reporting Owner Name / Address           | Relationships |                       |                      |       |  |  |  |  |
|--|---------------|-----------------------|----------------------|-------|--|--|--|--|
| Toporomg o maor rumo / rrum oss          | Director      | tor 10% Owner Officer |                      | Other |  |  |  |  |
| Foley Todd A THE KROGER CO.              |               |                       | Vice<br>President an | d     |  |  |  |  |
| 1014 VINE STREET<br>CINCINNATI, OH 45202 |               |                       | Treasurer            | u     |  |  |  |  |

## **Signatures**

/s/ Todd A. Foley, by Stacey M. Heiser, Attorney-in-Fact

07/13/2017

\*\*Signature of Reporting Person Date

#### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Payment of tax liability associated with restricted stock.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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