Edgar Filing: Herberts Curt A. III - Form 4

| Herberts Cu Form 4 | rt A. III | | | | | | | | | | | |
|---|---|---|-------|--|--|------------------|----------|----------------------|--|---|---|--|
| December 0 | 6, 2017 | | | | | | | | | | | |
| FORM | 14 | | | | | | ~~~ | | | OMB AF | PROVAL | |
| UNITED STATES | | | | | SECURITIES AND EXCHANGE CO Washington, D.C. 20549 | | | | | OMB Number: | 3235-0287 | |
| Check th if no lon subject to Section 1 Form 4 of Form 5 obligation may con <i>See</i> Instr 1(b). | ger ST o ST 16. or Fi ons Sect. tinue. | STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, e. Section 17(a) of the Public Utility Holding Company Act of 1935 or Section | | | | | | | | | Expires: January 31, 2005 Estimated average burden hours per response 0.5 | |
| (Print or Type | Responses) | | | | | | | | | | | |
| 1. Name and Address of Reporting Person <u>*</u> Herberts Curt A. III | | | | 2. Issuer Name and Ticker or Trading Symbol SANGAMO THERAPEUTICS, INC [SGMO] | | | | | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) | | | |
| (Last) C/O SANG INC., POIN CTR, 501 C | T RICHM | ERAPE | | 3. Date of (Month/D 12/04/2 | - | ransaction | | | Director X Officer (give below) Sr.VP & Cl | | Owner r (specify Officer | |
| | | | | 4. If Amendment, Date Original Filed(Month/Day/Year) | | | | | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person | | | |
| (City) | (State) | | (Zip) | Tabl | e I - Non-D | Derivative S | Securi | ties Acq | uired, Disposed of | , or Beneficial | ly Owned | |
| 1.Title of Security (Instr. 3) | Title of ecurity2. Transaction Date (Month/Day/Year)2A. Deemed Execution Date, i | | | ned n Date, if | Code (Instr. 3, 4 and 5) | | | | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | |
| Common Stock | 12/04/20 | 17 | | | Code V M | Amount 11,803 | (D) A | Price \$ 12.12 | (Instr. 3 and 4) 27,636 | D | | |
| Common Stock | 12/04/20 | 17 | | | S <u>(1)</u> | 11,803 | D | \$ 18 | 15,833 | D | | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. 5. Number of Transactio-Derivative Code Securities (Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | | 6. Date Exerc Expiration D (Month/Day/ | ate | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | | 8 I S (|
|---|---|---|---|--|---------|--|--------------------|---|-------------------------------------|------------------|
| | | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | |
| Stock Option (Right to Buy) | \$ 12.12 | 12/04/2017 | | М | 11,803 | (2) | 12/11/2023 | Common Stock | 11,803 | |

Reporting Owners

| Reporting Owner Name / Address | | Relationships | | | | | |
|---|----------|---------------|-----------------------------------|-------|--|--|--|
| | Director | 10% Owner | Officer | Other | | | |
| Herberts Curt A. III C/O SANGAMO THERAPEUTICS, INC. POINT RICHMOND TECH CTR, 501 CANAL BLVD. RICHMOND, CA 94804 | | | Sr.VP & Chief Business Officer | | | | |
| Signatures | | | | | | | |
| /s/ Denise Winn, Attorney-in-Fact 12/06/2017 | | | | | | | |

**Signature of Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Date

- (1) All sales reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the Reporting Person on March 22, 2017.
 - One-quarter (1/4) of the option shares vested and became exercisable upon completion of one (1) year of service by the Reporting Person measured from the December 12, 2013 grant date, and the remainder will vest and become exercisable in equal monthly installments for
- (2) Intrastretation the December 12, 2015 grant date, and the remainder will vest and become excretisation in equal monthly installinents for thirty-six (36) months thereafter, provided that the Reporting Person remains in service with the Issuer through each such monthly vesting date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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