

Vander Ark Jon  
Form 3  
January 02, 2018

# FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0104  
Expires: January 31, 2005  
Estimated average burden hours per response... 0.5

## INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*

^ Vander Ark Jon  
(Last) (First) (Middle)

18500 N. ALLIED WAY  
(Street)

PHOENIX, AZ 85054  
(City) (State) (Zip)

2. Date of Event Requiring Statement

(Month/Day/Year)  
01/01/2018

3. Issuer Name and Ticker or Trading Symbol  
REPUBLIC SERVICES, INC. [RSG]

4. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

\_\_\_\_ Director \_\_\_\_ 10% Owner  
\_X\_ Officer \_\_\_\_ Other  
(give title below) (specify below)  
EVP-Chief Operating Officer

5. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
\_X\_ Form filed by One Reporting Person  
\_\_\_\_ Form filed by More than One Reporting Person

### Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security  
(Instr. 4)

2. Amount of Securities Beneficially Owned  
(Instr. 4)

3. Ownership Form:  
Direct (D)  
or Indirect (I)  
(Instr. 5)

4. Nature of Indirect Beneficial Ownership  
(Instr. 5)

Common Stock

0

D ^

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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### Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security  
(Instr. 4)

2. Date Exercisable and Expiration Date  
(Month/Day/Year)

Date Exercisable      Expiration Date

3. Title and Amount of Securities Underlying Derivative Security  
(Instr. 4)

Title      Amount or Number of

4. Conversion or Exercise Price of Derivative Security

5. Ownership Form of Derivative Security:  
Direct (D)  
or Indirect

6. Nature of Indirect Beneficial Ownership  
(Instr. 5)

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				Shares		(I) (Instr. 5)	
Non-Qualified Stock Options (02/07/2014 Grant Date)	Â <u>(1)</u>	02/07/2021	Common Stock	5,282	\$ 33.4	D	Â
Restricted Stock Units (02/08/2013 Grant Date)	Â <u>(2)(3)</u>	Â <u>(2)(3)</u>	Common Stock	5,467	\$ <u>(2)</u> <u>(3)</u>	D	Â
Restricted Stock Units (02/07/2014 Grant Date)	Â <u>(4)</u>	Â <u>(4)</u>	Common Stock	7,150	\$ <u>(4)</u>	D	Â
Restricted Stock Units (02/07/2014 Grant Date)	Â <u>(5)</u>	Â <u>(5)</u>	Common Stock	6,595	\$ <u>(5)</u>	D	Â
Restricted Stock Units (02/13/2015 Grant Date)	Â <u>(6)</u>	Â <u>(6)</u>	Common Stock	10,578	\$ <u>(6)</u>	D	Â
Restricted Stock Units (02/18/2016 Grant Date)	Â <u>(3)</u>	Â <u>(3)</u>	Common Stock	5,988	\$ <u>(3)</u>	D	Â
Restricted Stock Units (02/18/2016 Grant Date)	Â <u>(7)</u>	Â <u>(7)</u>	Common Stock	5,704	\$ <u>(7)</u>	D	Â
Restricted Stock Units (02/18/2017 Grant Date)	Â <u>(3)</u>	Â <u>(3)</u>	Common Stock	8,777	\$ <u>(3)</u>	D	Â
Restricted Stock Units (04/07/2017 Grant Date)	Â <u>(8)</u>	Â <u>(8)</u>	Common Stock	80,428	\$ <u>(8)</u>	D	Â

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Vander Ark Jon 18500 N. ALLIED WAY PHOENIX, AZ 85054	Â	Â	Â EVP-Chief Operating Officer	Â

## Signatures

/s/ Eileen B. Schuler  
Attorney-in-Fact

01/02/2018

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Grant vesting occurs 25% on each of the first four anniversaries of the Grant Date in accordance with the Company's 2007 Stock Incentive Plan, as amended.
- (2) Represents the deferred portion of the RSU Grant dated 02/08/2013 in accordance with Mr. Vander Ark's election under the Company's Deferred Compensation Plan.
- (3) Grant vesting occurs 25% on each of the first four anniversaries of the Grant Date in accordance with the Company's 2007 Stock Incentive Plan, as amended. Each Restricted Stock Unit receives quarterly dividend equivalents each time a dividend is paid on the

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Company's common stock and ultimately will be paid out in the form of one share of the Company's common stock (1 to 1 conversion).

- (4) Grant vesting occurs 25% on each of the first four anniversaries of the Grant Date in accordance with the Company's 2007 Stock Incentive Plan, as amended. Each Restricted Stock Unit receives quarterly dividend equivalents each time a dividend is paid on the Company's common stock and ultimately will be paid out in the form of one share of the Company's common stock (1 to 1 conversion). A portion of the RSU Grant is deferred in accordance with Mr. Vander Ark's election under the Company's Deferred Compensation Plan.

- (5) Special Grant cliff vesting occurs on 02/07/2018, four years of the Grant Date in accordance with the Company's 2007 Stock Incentive Plan, as amended. Each Restricted Stock Unit receives quarterly dividend equivalents each time a dividend is paid on the Company's common stock and ultimately will be paid out in the form of one share of the Company's common stock (1 to 1 conversion). A portion of the RSU Grant is deferred in accordance with Mr. Vander Ark's election under the Company's Deferred Compensation Plan.

- (6) Grant vesting occurs 25% on each of the first four anniversaries of the Grant Date in accordance with the Company's 2007 Stock Incentive Plan, as amended. Includes 6,498 RSUs related to the annual grant and 4,080 RSUs related to an additional grant in accordance with the executive's offer letter. Each Restricted Stock Unit receives quarterly dividend equivalents each time a dividend is paid on the Company's common stock and ultimately will be paid out in the form of one share of the Company's common stock (1 to 1 conversion). Portions of each RSU Grant is deferred in accordance with Mr. Vander Ark's election under the Company's Deferred Compensation Plan.

- (7) Special Grant cliff vesting occurs on 02/18/2020, four years of the Grant Date in accordance with the Company's 2007 Stock Incentive Plan, as amended. Each Restricted Stock Unit receives quarterly dividend equivalents each time a dividend is paid on the Company's common stock and ultimately will be paid out in the form of one share of the Company's common stock (1 to 1 conversion).

- (8) Special Grant cliff vesting occurs on 04/07/2022, five years of the Grant Date in accordance with the Company's 2007 Stock Incentive Plan, as amended. Each Restricted Stock Unit receives quarterly dividend equivalents each time a dividend is paid on the Company's common stock and ultimately will be paid out in the form of one share of the Company's common stock (1 to 1 conversion).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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