

Williams Angela C
Form 3
March 28, 2018

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting
Person *

Â Williams Angela C

(Last) (First) (Middle)

500 WATER STREET

(Street)

JACKSONVILLE, Â FL Â 32202

(City) (State) (Zip)

2. Date of Event Requiring
Statement

(Month/Day/Year)

03/19/2018

3. Issuer Name **and** Ticker or Trading Symbol
CSX CORP [CSX]

4. Relationship of Reporting
Person(s) to Issuer

(Check all applicable)

☐ Director ☐ 10% Owner
☒ Officer ☐ Other
(give title below) (specify below)
VP & Controller

5. If Amendment, Date Original
Filed(Month/Day/Year)

6. Individual or Joint/Group
Filing(Check Applicable Line)
☒ Form filed by One Reporting
Person
☐ Form filed by More than One
Reporting Person

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security
(Instr. 4)

2. Amount of Securities
Beneficially Owned
(Instr. 4)

3. Ownership
Form:
Direct (D)
or Indirect
(I)
(Instr. 5)

4. Nature of Indirect Beneficial
Ownership
(Instr. 5)

Common Stock

3,632 ⁽¹⁾

D

Â

Common Stock

2,467

I

CSX Corporation 401(k) Plan ⁽²⁾

Reminder: Report on a separate line for each class of securities beneficially
owned directly or indirectly.

SEC 1473 (7-02)

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information contained in this form are not
required to respond unless the form displays a
currently valid OMB control number.**

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security
(Instr. 4)

2. Date Exercisable and
Expiration Date
(Month/Day/Year)

3. Title and Amount of
Securities Underlying
Derivative Security
(Instr. 4)

4. Conversion
or Exercise
Price of
Derivative

5. Ownership
Form of
Derivative
Security:

6. Nature of Indirect
Beneficial
Ownership
(Instr. 5)

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	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Option	12/08/2018	12/08/2025	Common Stock	9,560	\$ 24.99	D	Â
Option	02/09/2019	02/09/2026	Common Stock	2,203	\$ 24.13	D	Â
Option	02/22/2020	02/22/2027	Common Stock	1,186	\$ 48.39	D	Â
Option	02/06/2021	02/06/2028	Common Stock	1,766	\$ 53.82	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Williams Angela C 500 WATER STREET JACKSONVILLE, FL 32202	Â	Â	Â VP & Controller	Â

Signatures

/s/ Mark D. Austin,
Attorney-in-Fact

03/28/2018

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- Includes restricted stock units awarded pursuant to the shareholder approved 2010 CSX Stock and Incentive Award Plan. Each unit of
- (1) restricted stock represents a contingent right to receive one share of common stock. The restricted stock units vest as follows: 388 units on February 10, 2019; 269 units on February 22, 2020; and 343 units on February 6, 2021.
 - (2) By Trustee, CSX Corporation Savings Thrift Plan. Reflects equivalent shares of cash value held in CSX Stock Fund, which amounts will fluctuate dependent upon daily net asset value of the fund.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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