Edgar Filing: BASKETT FOREST - Form 4

BASKETT FOREST Form 4 March 28, 2018 OMB APPROVAL FORM 4 NINTED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 OMB APPROVAL Check this box if no longer subject to Section 16. Form 4 or Form 5 of Section 16. Som 4 or Form 5 of Section 16. Som 4 or Source form 5 of Section 17(a) of the Public Utility Holding Company Act of 1935) State average burden hours per response of Section 17(a) of the Public Utility Holding Company Act of 1935) Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, 16(b). State of the Public Utility Holding Company Act of 1935)											
BASKETT FOREST Symbol				Iss timmune Therapeutics PLC				Relationship of Reporting Person(s) to suer (Check all applicable)			
(Month.			(Month/						ve title $X_10\%$ Owner Other (specify below)		
				(Month/Day/Year) Ap _X				Individual or Joint/Group Filing(Check oplicable Line) {_ Form filed by One Reporting Person _ Form filed by More than One Reporting			
(City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned											
1.Title of Security (Instr. 3)	Fitle of curity2. Transaction Date (Month/Day/Year)2A. Deemed Execution Date, if			3. 4. Securities Acquired (A) or TransactiorDisposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8) (A) or Code V Amount (D) Price				5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Ordinary Shares with a nominal value of 0.001 GBP per share (1)	03/26/2018			Р	571,164 (1)	A	\$ 1.9041 (2)	73,978,672 (1)	Ι	See Note 3 (3)	
Ordinary Shares with a	03/27/2018			Р	6,000,000 (1)	A	\$ 1.9167 (4)	79,978,672 (1)	Ι	See Note 3 (<u>3)</u>	

nominal value of 0.001GBP per share (1)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		Date	7. Titl Amou Under Securi (Instr.	int of lying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owne Follo Repo Trans (Instr
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships						
I B	Director	10% Owner	Officer	Other			
BASKETT FOREST							
1954 GREENSPRING DRIVE SUITE 600	Х						
TIMONIUM, MD 21093							
Signatures							
/s/ Sasha Keough, attorney-in-fact	03/28/2018						
**Signature of Reporting Person		Date					
Explanation of Responses:							

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1)

Edgar Filing: BASKETT FOREST - Form 4

The ordinary shares whose purchase is reported on this line are represented by American Depositary Shares ("ADSs") and are held of record by New Enterprise Associates 14, L.P. ("NEA 14"). NEA 14 holds these ordinary shares in the form of ADSs. Each ADS represents six ordinary shares of Adaptimmune Therapeutics plc. The ordinary shares reported in column 5 are represented by ADSs.

The price reported in Column 4 of \$1.9041 per ordinary share is a weighted average price derived from the weighted average price of \$11.4247 per ADS, divided by six. These ADSs were purchased in multiple transactions at prices ranging from \$11.17 to \$12 per ADS,

(2) inclusive. The Reporting Person undertakes to provide to the Issuer, any security holder of the Issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of ADSs purchased at each separate price within the range set forth in this footnote (2) to this Form 4.

(3) The Reporting Person is a director of NEA 14 GP, LTD, which is the sole general partner of NEA Partners 14, L.P. ("NEA Partners 14").
 (3) NEA Partners 14 is the sole general partner of NEA 14, which is the direct beneficial owner of the securities. The Reporting Person disclaims beneficial ownership, within the meaning of Section 16 of the Securities Exchange Act of 1934, as amended, or otherwise of such portion of the NEA 14 shares in which the Reporting Person has no pecuniary interest.

(4) The price reported in Column 4 of \$1.9167 per ordinary share is a price derived from the price of \$11.50 per ADS, divided by six.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.