Edgar Filing: Newell Joe - Form 4

Newell Joe												
Form 4												
April 04, 201	18											
FORM 4 UNITED STATES SECURITIES AND EXCHANCE COMMISSION										OMB APPROVAL		
UNITED STATES SECURITIES AND EACHANGE COMMISSION								OND	3235-0287			
Check this box Washington, D.C. 20549								Number: Expires:	January 31,			
if no long	ger STAT	EMENT O	Г С НА М	CES IN I	S IN DENIFFICIAL OWNEDSHID OF					2005		
subject to)		r CHAN		S IN BENEFICIAL OWNERSHIP OF CURITIES					Estimated average		
Section 16. SECURITIES Form 4 or								burden hours per response 0.5				
Form 5		pursuant to S	Section 1	6(a) of the	e Securit	ies E	xchange	e Act of 1934,	response 0.5			
obligation	ns Section	-					-	1935 or Section	n			
may cont See Instru	inue.			vestment	•	· ·	•					
1(b).	iction	. ,			•	-						
(Print or Type R	Responses)											
1 Name and A	ddress of Report.	ing Person *	2.1	N	T . 1	т. I.		5 Relationship of	Reporting Pers	on(s) to		
Newell Joe	duress of Report			er Name and Ticker or Trading				5. Relationship of Reporting Person(s) to Issuer				
Symbol				a Biotherapeutics, Inc. [ATRA]								
		06111		•		. [A	INAJ	(Chec	k all applicable)		
(Last)	(First)	(Middle)		Earliest Tra	ansaction			Director	100/	Owner		
C/O ATAR	A BIOTHERA	PEUTICS	(Month/D 04/03/20	-				Director 10% Owner X Officer (give title Other (specify				
C/O ATARA BIOTHERAPEUTICS, 04/03/20 INC., 611 GATEWAY BLVD.,				2010				below) below) Chief Tech. Operations Officer				
SUITE 900		<u> </u>						Chief Tech	n. Operations O	fficer		
	(Street)		4. If Amendment, Date Original				6. Individual or Joint/Group Filing(Check Applicable Line)					
	× ,			Ionth/Day/Year)								
							X Form filed by One Reporting Person					
SOUTH SA								Form filed by M Person	Iore than One Re	porting		
FRANCISC	O, CA 94080											
(City)	(State)	(Zip)	Tabl	e I - Non-D	erivative	Secur	ities Acq	uired, Disposed of	, or Beneficial	ly Owned		
1.Title of	2. Transaction I	Date 2A. Deer	ned	3.	4. Securi	ties A	cquired	5. Amount of	6. Ownership	7. Nature of		
Security	(Month/Day/Ye	ear) Executio	n Date, if Transaction(A) or Disposed of (D)					Securities	Form: Direct			
(Instr. 3)		any (Month/I	Day/Year)	Code (Instr. 3, 4 and 5) ay/Year) (Instr. 8)				Beneficially Owned	(D) or Indirect (I)			
		(WIOIIII/I	Jay/ Teal)	(IIIsu. 8)				Following	(Instr. 4)	(Instr. 4)		
						(A)		Reported				
						or		Transaction(s)				
				Code V	Amount	(D)	Price	(Instr. 3 and 4)				
Common	04/03/2018			F(1)	642	D	\$	31,858	D			
Stock	01/05/2010				012	2	36.25	21,000	5			

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	7. Title Amoun Underl Securi (Instr.	nt of lying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Own Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
Newell Joe C/O ATARA BIOTHERAPEUTICS, INC. 611 GATEWAY BLVD., SUITE 900 SOUTH SAN FRANCISCO, CA 94080			Chief Tech. Operations Officer				
Signatures							
/s/ David Tucker, Attorney-in-Fact for Joe Newell		04/04/201	8				
<u>**</u> Signature of Reporting Person		Date					
Explanation of Response	es:						

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Shares withheld to cover tax obligation from settlement of vested restricted stock units.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.