CONTE DAVID F Form 4 April 05, 2018

### FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB 32

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Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Number: 3235-0287 Expires: January 31, 2005

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**OMB APPROVAL** 

Section 16. Form 4 or Form 5 obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

**l**,

See Instruction 1(b).

(Print or Type Responses)

| 1. Name and Add      | *          | ing Person *  | 2. Issuer Name <b>and</b> Ticker or Trading Symbol SPLUNK INC [SPLK] | 5. Relationship of Reporting Person(s) to Issuer   |  |  |  |
|----------------------|------------|---------------|--|--|--|--|--|
| (Last)               | (First)    | (Middle)      | 3. Date of Earliest Transaction                                      | (Check all applicable)   |  |  |  |
|                      |            |               | (Month/Day/Year)   | Director 10% Owner   |  |  |  |
| C/O SPLUNK INC., 270 |            |               | 04/03/2018   | X Officer (give title Other (specify below)  |  |  |  |
| BRANNAN STREET       |            |               |  | SVP & Chief Financial Officer  |  |  |  |
|                      | (Street)   |               | 4. If Amendment, Date Original                                       | 6. Individual or Joint/Group Filing(Check  |  |  |  |
| SAN FRANC            | ISCO, CA 9 | <b>1</b> 4107 | Filed(Month/Day/Year)  | Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person |  |  |  |

#### (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed 3. 4. Securities Acquired 5. Amount of 6. Ownership 7. Nature of Security (Month/Day/Year) Execution Date, if Transaction(A) or Disposed of (D) Securities Form: Direct Indirect (Instr. 3) (Instr. 3, 4 and 5) Beneficial Code Beneficially (D) or (Month/Day/Year) (Instr. 8) Owned Indirect (I) Ownership (Instr. 4) Following (Instr. 4)

|                 |            | Code V | Amount | (A)<br>or<br>(D) | Price              | Reported<br>Transaction(s)<br>(Instr. 3 and 4) | ( |
|-----------------|------------|--------|--------|------------------|--------------------|--|---|
| Common<br>Stock | 04/03/2018 | S(1)   | 6,841  | D                | \$ 97.5<br>(2)     | 168,243  | D |
| Common<br>Stock | 04/03/2018 | S(1)   | 1,500  | D                | \$<br>98.21<br>(3) | 166,743  | D |
| Common<br>Stock | 04/04/2018 | S(4)   | 500    | D                | \$<br>95.02<br>(5) | 166,243  | D |
| Common<br>Stock | 04/04/2018 | S(4)   | 1,300  | D                | \$ 96.1<br>(6)     | 164,943  | D |
|                 | 04/04/2018 | S(4)   | 2,200  | D                |                    | 162,743  | D |

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| Common<br>Stock |            |      |       |   | \$<br>97.19<br>(7) |         |   |
|-----------------|------------|------|-------|---|--------------------|---------|---|
| Common<br>Stock | 04/04/2018 | S(4) | 2,800 | D | \$<br>98.17<br>(8) | 159,943 | D |
| Common<br>Stock | 04/04/2018 | S(4) | 5,058 | D | \$<br>99.19<br>(9) | 154,885 | D |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative | 2.  | 3. Transaction Date |                  | 4.                               | 5.  | 6. Date Exerc       |                 | 7. Titl                            |  | 8. Price of                          | 9. Nu<br>Deriv   |
|------------------------|---|---------------------|------------------|----------------------------------|---|---------------------|-----------------|------------------------------------|--|--------------------------------------|--|
| Security (Instr. 3)    | Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | (Month/Day/Year)    | (Month/Day/Year) | Transactic<br>Code<br>(Instr. 8) | of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) |                     |                 | Amou<br>Under<br>Securi<br>(Instr. | lying                                  | Derivative<br>Security<br>(Instr. 5) | Secur<br>Bene<br>Own<br>Follo<br>Repo<br>Trans<br>(Instr |
|                        |   |                     |                  | Code V                           | (A) (D)   | Date<br>Exercisable | Expiration Date | Title                              | Amount<br>or<br>Number<br>of<br>Shares |                                      |  |

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## **Reporting Owners**

| Reporting Owner Name / Address | Keiationsinps |           |         |       |  |  |
|--------------------------------|---------------|-----------|---------|-------|--|--|
|                                | Director      | 10% Owner | Officer | Other |  |  |

CONTE DAVID F C/O SPLUNK INC. 270 BRANNAN STREET SAN FRANCISCO, CA 94107

SVP & Chief Financial Officer

### **Signatures**

/s/ Steve Dean, by power of attorney 04/05/2018

\*\*Signature of Reporting Person Date

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#### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- $(1) \quad \text{The reported shares were sold to satisfy the Reporting Person's tax liability in connection with the vesting of performance units ("PSUs").}$
- The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$96.875 to \$97.85, inclusive. The Reporting Person undertakes to provide to the Issuer, any security holder of the Issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in footnotes (2) and (3) and (5) through (9) to this Form 4.
- (3) The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$97.89 to \$98.42, inclusive.
- The sale reported on this Form 4 was effected pursuant to a Rule 10b5-1 trading plan adopted by the Reporting Person on December 20, 2017.
- (5) The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$94.6 to \$95.505, inclusive.
- (6) The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$95.65 to \$96.58, inclusive.
- (7) The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$96.7 to \$97.66, inclusive.
- (8) The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$97.71 to \$98.67, inclusive.
- (9) The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$98.72 to \$99.6, inclusive.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.