

O'Callaghan Catherine A.

Form 3

June 05, 2018

**FORM 3****UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

OMB  
Number: 3235-0104Expires: January 31,  
2005Estimated average  
burden hours per  
response... 0.5**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF  
SECURITIES**Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting  
Person \*

O'Callaghan Catherine A.

(Last)

(First)

(Middle)

2. Date of Event Requiring  
Statement

(Month/Day/Year)

06/01/2018

3. Issuer Name and Ticker or Trading Symbol  
FORD MOTOR CO [F]4. Relationship of Reporting  
Person(s) to Issuer5. If Amendment, Date Original  
Filed(Month/Day/Year)

ONE AMERICAN ROAD

(Street)

(Check all applicable)

☐ Director ☐ 10% Owner  
☒ Officer ☐ Other  
(give title below) (specify below)  
Vice President and Controller6. Individual or Joint/Group  
Filing(Check Applicable Line)  
☒ Form filed by One Reporting  
Person  
☐ Form filed by More than One  
Reporting Person

DEARBORN, MI 48126

(City)

(State)

(Zip)

**Table I - Non-Derivative Securities Beneficially Owned**1. Title of Security  
(Instr. 4)2. Amount of Securities  
Beneficially Owned  
(Instr. 4)3. Ownership  
Form:  
Direct (D)  
or Indirect  
(I)  
(Instr. 5)4. Nature of Indirect Beneficial  
Ownership  
(Instr. 5)

Common Stock, \$0.01 par value

91,872

D

A

Common Stock, \$0.01 par value

30,320

I

by Spouse <sup>(1)</sup>Reminder: Report on a separate line for each class of securities beneficially  
owned directly or indirectly.

SEC 1473 (7-02)

**Persons who respond to the collection of  
information contained in this form are not  
required to respond unless the form displays a  
currently valid OMB control number.****Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**1. Title of Derivative Security  
(Instr. 4)2. Date Exercisable and  
Expiration Date  
(Month/Day/Year)3. Title and Amount of  
Securities Underlying  
Derivative Security  
(Instr. 4)4. Conversion  
or Exercise  
Price of  
Derivative5. Ownership  
Form of  
Derivative  
Security:6. Nature of Indirect  
Beneficial Ownership  
(Instr. 5)

# Edgar Filing: O'Callaghan Catherine A. - Form 3

	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Employee Stock Option (Right to Buy)	Â <u>(2)</u>	03/02/2021	Common Stock, \$0.01 par value	8,203	\$ 14.76	D	Â
Employee Stock Option (Right to Buy)	Â <u>(3)</u>	03/03/2023	Common Stock, \$0.01 par value	4,722	\$ 12.75	D	Â
Employee Stock Option (Right to Buy)	Â <u>(4)</u>	03/03/2024	Common Stock, \$0.01 par value	11,432	\$ 15.37	D	Â
Ford Stock Units	Â <u>(5)</u>	Â <u>(5)</u>	Common Stock, \$0.01 par value	1,754	\$ <u>(5)</u>	D	Â
Ford Stock Units	Â <u>(6)</u>	Â <u>(6)</u>	Common Stock, \$0.01 par value	4,033	\$ <u>(6)</u>	D	Â
Ford Stock Units	Â <u>(7)</u>	Â <u>(7)</u>	Common Stock, \$0.01 par value	7,326	\$ <u>(7)</u>	D	Â
Ford Stock Units	Â <u>(8)</u>	Â <u>(8)</u>	Common Stock, \$0.01 par value	565	\$ <u>(8)</u>	I	by Spouse <u>(8)</u>
Ford Stock Units	Â <u>(9)</u>	Â <u>(9)</u>	Common Stock, \$0.01 par value	1,191	\$ <u>(9)</u>	I	by Spouse <u>(9)</u>
Ford Stock Units	Â <u>(10)</u>	Â <u>(10)</u>	Common Stock, \$0.01 par value	2,163	\$ <u>(10)</u>	I	by Spouse <u>(10)</u>

## Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer

Other

O'Callaghan Catherine A.  
ONE AMERICAN ROAD  
DEARBORN, MI 48126

Â Â Â Vice President and Controller Â

## Signatures

Jerome F. Zaremba,  
Attorney-in-Fact

06/05/2018

Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) These shares are held by my spouse.

(2) This option became exercisable to the extent of 33% of the shares optioned after one year from the date of grant (03/03/2011), 66% after two years, and in full after three years.

(3) This option became exercisable to the extent of 33% of the shares optioned after one year from the date of grant (03/04/2013), 66% after two years, and in full after three years.

(4) This option became exercisable to the extent of 33% of the shares optioned after one year from the date of grant (03/04/2014), 66% after two years, and in full after three years.

(5) These Ford Restricted Stock Units were acquired under the Company's Long-Term Incentive Plan without payment by me. These Ford Restricted Stock Units will be converted and distributed to me, without payment, in shares of Common Stock on March 3, 2019.

(6) These Ford Restricted Stock Units were acquired under the Company's Long-Term Incentive Plan without payment by me. These Ford Restricted Stock Units will be converted and distributed to me, without payment, to the extent of 1,986 shares Common Stock on March 2, 2019 and 2,047 shares of Common Stock on March 2, 2020.

(7) These Ford Restricted Stock Units were acquired under the Company's Long-Term Incentive Plan without payment by me. These Ford Restricted Stock Units will be converted and distributed to me, without payment, in shares of Common Stock to the extent of 33% after one year from the date of grant (03/02/2018), 66% after two years, and in full after three years.

(8) These Ford Restricted Stock Units were acquired by my spouse under the Company's Long-Term Incentive Plan without payment. These Ford Restricted Stock Units will be converted and distributed to my spouse, without payment, in shares of Common Stock on March 3, 2019.

(9) These Ford Restricted Stock Units were acquired by my spouse under the Company's Long-Term Incentive Plan without payment. These Ford Restricted Stock Units will be converted and distributed to my spouse, without payment, to the extent of 586 shares Common Stock on March 2, 2019 and 605 shares of Common Stock on March 2, 2020.

(10) These Ford Restricted Stock Units were acquired by my spouse under the Company's Long-Term Incentive Plan without payment. These Ford Restricted Stock Units will be converted and distributed to my spouse, without payment, in shares of Common Stock to the extent of 33% after one year from the date of grant (03/02/2018), 66% after two years, and in full after three years.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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