## Edgar Filing: SCHLOTMAN J MICHAEL - Form 4

| SCHLOTM                                                                           | AN J MICHAEL                         |                 |                                        |                                                     |       |              |                                           |                                 |                                         |                          |              |  |  |
|-----------------------------------------------------------------------------------|--------------------------------------|-----------------|----------------------------------------|-----------------------------------------------------|-------|--------------|-------------------------------------------|---------------------------------|-----------------------------------------|--------------------------|--------------|--|--|
| Form 4                                                                            |                                      |                 |                                        |                                                     |       |              |                                           |                                 |                                         |                          |              |  |  |
| September 1                                                                       | 18, 2018                             |                 |                                        |                                                     |       |              |                                           |                                 |                                         |                          |              |  |  |
| FORM 4 UNITED STATES SECURITIES AND EXCHANCE COMMISSION                           |                                      |                 |                                        |                                                     |       |              |                                           | OMB AF                          | OMB APPROVAL                            |                          |              |  |  |
| <b>UNITED STATES SECURITIES AND EXCHANGE COMMISSION</b><br>Washington, D.C. 20549 |                                      |                 |                                        |                                                     |       |              |                                           |                                 | COMMISSION                              | OMB<br>Number:           | 3235-0287    |  |  |
| Check th                                                                          |                                      |                 |                                        | 0                                                   |       | ,<br>        |                                           |                                 |                                         | Expires:                 | January 31,  |  |  |
| if no lon                                                                         |                                      | MENT OI         | F CHAN                                 | IGES                                                | IN    | BENEF        | ICIA                                      | AL OW                           | NERSHIP OF                              | 200                      |              |  |  |
|                                                                                   | subject to<br>Section 16. SECURITIES |                 |                                        |                                                     |       |              |                                           |                                 | Estimated average<br>burden hours per   |                          |              |  |  |
| Form 4                                                                            | Form 4 or                            |                 |                                        |                                                     |       |              |                                           |                                 | response                                | 0.5                      |              |  |  |
| Form 5                                                                            | Filed put                            | rsuant to S     | Section 1                              | l6(a) o                                             | of th | e Securi     | ties E                                    | Exchang                         | e Act of 1934,                          |                          |              |  |  |
| obligation<br>may con                                                             |                                      |                 |                                        | •                                                   |       | •            | -                                         | •                               | f 1935 or Section                       |                          |              |  |  |
| See Inst                                                                          |                                      | 30(h)           | of the Ir                              | ivestm                                              | nent  | Compar       | ny Ao                                     | ct of 194                       | 40                                      |                          |              |  |  |
| 1(b).                                                                             |                                      |                 |                                        |                                                     |       |              |                                           |                                 |                                         |                          |              |  |  |
| (Print or Type                                                                    | <b>D</b> as <b>n</b> onsas)          |                 |                                        |                                                     |       |              |                                           |                                 |                                         |                          |              |  |  |
| (Finit of Type                                                                    | Kesponses)                           |                 |                                        |                                                     |       |              |                                           |                                 |                                         |                          |              |  |  |
| 1. Name and                                                                       | Address of Reporting                 | Person *        | 2 Issue                                | r Name                                              | and   | I Ticker or  | Tradi                                     | ing                             | 5. Relationship of l                    | Reporting Pers           | son(s) to    |  |  |
|                                                                                   |                                      |                 |                                        | 1 Ivanic                                            | an    |              | Trau                                      | ing                             | Issuer                                  |                          |              |  |  |
| SCHLOTMAN J MICHAEL Symbol Issuer<br>KROGER CO [KR]                               |                                      |                 |                                        |                                                     |       |              |                                           |                                 |                                         |                          |              |  |  |
| (Lest)                                                                            | (First)                              | Middle)         |                                        |                                                     |       | -            |                                           |                                 | (Check                                  | all applicable           | ;)           |  |  |
| (Last)                                                                            | (First) (                            | Middle)         |                                        | 3. Date of Earliest Transaction<br>(Month/Day/Year) |       |              |                                           |                                 | Director 10% Owner                      |                          |              |  |  |
| THE KRO                                                                           | GER CO., 1014 V                      | INE             | 09/05/2                                | -                                                   | ai )  |              |                                           |                                 | Officer (give title Other (specify      |                          |              |  |  |
| STREET                                                                            |                                      |                 | 0710312                                | 2010                                                |       |              |                                           |                                 | below)                                  | below)<br>e Vice Preside |              |  |  |
|                                                                                   | (Street)                             |                 |                                        | _                                                   | _     |              | _                                         |                                 |                                         |                          |              |  |  |
|                                                                                   | 4. If Amendment, Date Original       |                 |                                        |                                                     |       |              | 6. Individual or Joint/Group Filing(Check |                                 |                                         |                          |              |  |  |
|                                                                                   |                                      |                 | Filed(Mo                               | onth/Day                                            | /Yea  | r)           |                                           |                                 | Applicable Line)<br>_X_ Form filed by O | ne Reporting Pe          | rson         |  |  |
| CINCINNA                                                                          | ATI, OH 45202                        |                 |                                        |                                                     |       |              |                                           |                                 | Form filed by Me                        |                          |              |  |  |
| Chitehin                                                                          | 111, 011 45202                       |                 |                                        |                                                     |       |              |                                           |                                 | Person                                  |                          |              |  |  |
| (City)                                                                            | (State)                              | (Zip)           | Tab                                    | le I - N                                            | on-I  | Derivative   | Secu                                      | rities Acc                      | quired, Disposed of,                    | or Beneficial            | ly Owned     |  |  |
| 1.Title of                                                                        | 2. Transaction Date                  | 2A. Deemed 3.   |                                        |                                                     |       | 4. Securi    | ties A                                    | cquired                         | 5. Amount of                            | 6.                       | 7. Nature of |  |  |
| Security                                                                          | (Month/Day/Year)                     | Execution       | Date, if                               |                                                     |       |              |                                           |                                 | Securities                              | - · · · <b>·</b>         | Indirect     |  |  |
| (Instr. 3)                                                                        |                                      | any<br>(Month/D | Code (Instr. 3, 4 and 5)<br>(Instr. 8) |                                                     |       |              |                                           | Beneficially<br>Owned Following |                                         | Beneficial<br>Ownership  |              |  |  |
|                                                                                   |                                      | (WOILD)         | ay/1cal)                               | (msu.                                               | 0)    |              |                                           |                                 | Reported                                | or Indirect              | (Instr. 4)   |  |  |
|                                                                                   |                                      |                 |                                        |                                                     |       |              | (A)<br>or                                 |                                 | Transaction(s)                          | (I)                      |              |  |  |
|                                                                                   |                                      |                 |                                        | Code                                                | V     | Amount       | (D)                                       | Price                           | (Instr. 3 and 4)                        | (Instr. 4)               |              |  |  |
| Common                                                                            |                                      |                 |                                        |                                                     |       |              |                                           |                                 |                                         |                          |              |  |  |
| Stock                                                                             | 09/05/2018                           |                 |                                        | G                                                   | V     | 1,625        | D                                         | \$0                             | 311,245.4118                            | D                        |              |  |  |
|                                                                                   |                                      |                 |                                        |                                                     |       | 2.022        |                                           | ¢                               | 200 222 4110                            |                          |              |  |  |
| Common<br>Stock                                                                   | 09/17/2018                           |                 |                                        | F                                                   |       | 2,023<br>(1) | D                                         | \$<br>28.84                     | 309,222.4118<br>(2)                     | D                        |              |  |  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transactic<br>Code<br>(Instr. 8) | 5.<br>orNumber<br>of<br>Derivative<br>Securities<br>Acquired<br>(A) or<br>Disposed<br>of (D)<br>(Instr. 3,<br>4, and 5) | ;                   | ate                | 7. Titl<br>Amou<br>Under<br>Secur<br>(Instr. | int of<br>rlying                       | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Nu<br>Deriv<br>Secu<br>Bene<br>Owne<br>Follo<br>Repo<br>Trans<br>(Instr |
|-----------------------------------------------------|-----------------------------------------------------------------------|-----------------------------------------|-------------------------------------------------------------|----------------------------------------|-------------------------------------------------------------------------------------------------------------------------|---------------------|--------------------|----------------------------------------------|----------------------------------------|-----------------------------------------------------|----------------------------------------------------------------------------|
|                                                     |                                                                       |                                         |                                                             | Code V                                 | (A) (D)                                                                                                                 | Date<br>Exercisable | Expiration<br>Date | Title                                        | Amount<br>or<br>Number<br>of<br>Shares |                                                     |                                                                            |

## **Reporting Owners**

| Reporting Owner Name / Address                                                    |           |           | Relationships         |       |  |  |  |  |  |
|-----------------------------------------------------------------------------------|-----------|-----------|-----------------------|-------|--|--|--|--|--|
| 1                                                                                 | Director  | 10% Owner | Officer               | Other |  |  |  |  |  |
| SCHLOTMAN J MICHAEL<br>THE KROGER CO.<br>1014 VINE STREET<br>CINCINNATI, OH 45202 |           |           | Executive Vice Presid | ent   |  |  |  |  |  |
| Signatures                                                                        |           |           |                       |       |  |  |  |  |  |
| /s/ J. Michael Schlotman, by St<br>Attorney-in-Fact                               | acey M. I | Heiser,   | 09/18/                | 2018  |  |  |  |  |  |

\*\*Signature of Reporting Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Payment of tax liability associated with restricted stock.
- (2) The total amount of securities directly owned by the reporting person includes shares in the Company's employee benefit plans that are deemed to be 'tax-conditioned plans' pursuant to Rule 16b-3, to the extent disclosed on reports received from plan trustees.

Date

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.