### Edgar Filing: Phoenix Investments Holdings LLC - Form 4

Phoenix Investments Holdings LLC Form 4 November 28, 2018

November 2	8, 2018										
FORM 4 UNITED STATES SECURITIES AND EXCHANCE COMMISSION									OMB APPROVAL		
Washington, D.C. 20549						DMMISSION	OMB Number:	3235-0287			
Check this box if no longer									Expires:	January 31, 2005	
subject t Section Form 4 c	o <b>SIAIEN</b> 16.	STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES									
Form 4 or Form 5 obligations may continue. See Instruction 1(b). Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940											
(Print or Type	Responses)										
Phoenix Investments Holdings LLC Symbol			Symbol					5. Relationship of Reporting Person(s) to Issuer			
(Lost)		Marcus & Millichap, Inc. [MMI]					(Check all applicable)				
				e of Earliest Transaction n/Day/Year) /2018				Director Officer (give title Other (specify below)			
(Street) 4. If Amo				Amendment, Date Original				6. Individual or Joint/Group Filing(Check			
	SO. GA 04202		Filed(Mo	onth/Day/Yea	ar)			Applicable Line) X_ Form filed by Or Form filed by Mo			
	CO, CA 94303						F	Person	Ĩ		
(City)	(State)	(Zip)	Tab	ole I - Non-	Derivative	Secu	rities Acqui	red, Disposed of,	or Beneficiall	y Owned	
1.Title of Security (Instr. 3) 2. Transaction Date 2A. Deemed (Month/Day/Year) Execution Date, if any (Month/Day/Year)			3. 4. Securities Acquired (A) Transactionor Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8) (A)				5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6.7. Nature ofOwnershipIndirectForm:BeneficialDirect (D)Ownershipor Indirect(Instr. 4)(I)(Instr. 4)			
				Code V	Amount	or (D)	Price	(Instr. 3 and 4)			
Common Stock	11/26/2018			S	50,000	D	\$ 37.0377 (1)	14,934,231 (2)	D		
Common Stock	11/27/2018			S	26,681	D	\$ 37.0137 (3)	14,907,550	D		
Common Stock	11/28/2018			S	20,202	D	\$ 37.011 (4)	14,887,348	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of<br/>information contained in this form are not<br/>required to respond unless the formSEC 1474<br/>(9-02)

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# displays a currently valid OMB control number.

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivativ Security (Instr. 3)	3. Transaction Date (Month/Day/Year)	4. Transact Code (Instr. 8)	5. ionNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	5	Date	7. Title and Amount of Underlying Securities (Instr. 3 and	Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owna Follo Repo Trans (Instr
		Code V	7 (A) (D)	Date Exercisable	Expiration Date	Amou or Title Numł of Share	ber	

## **Reporting Owners**

<b>Reporting Owner Name / Address</b>	Relationships						
	Director	10% Owner	Officer	Other			
Phoenix Investments Holdings LLC 777 S. CALIFORNIA AVENUE PALO ALTO, CA 94303		Х					
Signatures							
/s/ Geoffrey 11/28/20 Bedrosian	018						

<u>\*\*</u>Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The range of prices for the shares of Common Stock is from \$37.00 to \$37.1225. The reporting person undertakes that it will provide,
   upon request by the staff of the U.S. Securities and Exchange Commission, full information regarding the number of securities sold at each separate price.
- (2) Amount reflects the correction of an arithmetic error in the last Form 4 filed November 19, 2018. The prior ending balance in Column 5 should have been 14,984,231.

The range of prices for the shares of Common Stock is from \$37.00 to \$37.095. The reporting person undertakes that it will provide, upon(3) request by the staff of the U.S. Securities and Exchange Commission, full information regarding the number of securities sold at each separate price.

The range of prices for the shares of Common Stock is from \$37.00 to \$37.06. The reporting person undertakes that it will provide, upon

(4) request by the staff of the U.S. Securities and Exchange Commission, full information regarding the number of securities sold at each separate price.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

#### **Reporting Owners**

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