## Edgar Filing: VEILLETTE ROBERT E - Form 4

VEILLETTE ROBERT E         Form 4         January 03, 2018         FORM 4         UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549         Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue.       TATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES       MB Number: 2005         Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations may continue.       Filed pursuant to Section 16(a) of the Securities Exchange Act of 1935, 30(h) of the Investment Company Act of 1940									
1. Name and Address of Reporting Person *       2. Issuer Name and Ticker or Trading       5. Relations         VEILLETTE ROBERT E       Symbol       Issuer         NORDSON CORP [NDSN]       1.					Issuer	f Reporting Person(s) to			
(Last) 28601 CLE	(First) (Middle) MENS ROAD	3. Date of Earliest Transaction (Month/Day/Year) 12/29/2017				(Check all applicable) <u>Director</u> 10% Owner Officer (give title <u>University</u> Other (specify below) Former VP, GC & Secretary			
(Street)       4. If Amendment, Date Original       6. Individual or Joint/Group Film         Filed(Month/Day/Year)       Applicable Line)         -X_ Form filed by One Reporting Per         Form filed by More than One Reperson					erson				
(City)	(State) (Zip)	Table I - Non	-Derivativo	e Secu	rities Acqu	uired, Disposed of	, or Beneficia	lly Owned	
1.Title of Security (Instr. 3)	any	on Date, if Transact Code Day/Year) (Instr. 8)	4. Securi tior(A) or D (Instr. 3, )	(A) or	d of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock	12/29/2017	F	238	D		53,252	D <u>(1)</u>		
Common Stock	12/29/2017	F	152	D	\$ 146.99	53,100	D (2)		
Common Stock						2,129	I	By Company ESOP Plan	
Common Stock						505	Ι	By Company Savings	

### Plan (4)

8. Pr Deriv Secu (Inst

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. oriNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	7. Title and A Underlying S (Instr. 3 and	Securities
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Options (right to buy)	\$ 14.37					(5)	12/04/2018	Common Stock	17,000
Employee Stock Options (right to buy)	\$ 27.26					(5)	12/03/2019	Common Stock	8,000
Employee Stock Options (right to buy)	\$ 43.32					(5)	12/07/2020	Common Stock	6,400
Employee Stock Options (right to buy)	\$ 43.73					<u>(5)</u>	11/28/2021	Common Stock	8,800
Employee Stock Options (right to	\$ 61.59					(5)	11/28/2022	Common Stock	7,100

buy)					
Employee Stock Options (right to buy)	\$ 71.75	(5)	11/25/2023	Common Stock	6,900
Employee Stock Options (right to buy)	\$ 79.66	<u>(6)</u>	11/24/2024	Common Stock	7,600
Employee Stock Options (right to buy)	\$ 70.91	<u>(7)</u>	11/23/2025	Common Stock	11,000
Employee Stock Options (right to buy)	\$ 107.65	(8)	11/21/2026	Common Stock	8,600

## **Reporting Owners**

Reporting Owner Name / Address	Relationships					
Reporting O (mer Plane / Planess	Director	10% Owner	Officer	Other		
VEILLETTE ROBERT E 28601 CLEMENS ROAD WESTLAKE, OH 44145				Former VP, GC & Secretary		
Signatures						
Gina A. Beredo, Attorney-in-Fact	0	1/03/2018				

<u>\*\*</u>Signature of Reporting Person

#### Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

On November 21, 2016, the Company awarded 1,100 restricted shares under the Company's 2012 Stock Incentive and Award Plan. One-third of the restricted shares vested on November 30, 2017, and one-third were to vest on each of November 30, 2018 and 2019. The

- reporting person retired effective December 31, 2017. Vesting of 734 restricted shares was accelerated as a result of retirement. 238 of the 734 shares that vested were withheld to cover withholding taxes due upon vesting. The holdings are net of shares previously withheld or sold to cover withholding taxes.
- (2) On November 23, 2015, the Company awarded 1,400 restricted shares under the Company's 2012 Stock Incentive and Award Plan. One-third of the restricted shares vested on each of November 23, 2016 and 2017, and one-third were to vest on November 23, 2018. The reporting person retired effective December 31, 2017. Vesting of 468 restricted shares was accelerated as a result of retirement. 152 of the 468 shares that vested were withheld to cover withholding taxes due upon vesting. The holdings are net of shares previously withheld or

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sold to cover withholding taxes.

- (3) Represents the number of shares attributable to the reporting person's participation in the Company Stock Fund of the Nordson Employee Stock Ownership Plan, exempt pursuant to Rule 16b-3(c).
- (4) Represents the number of shares attributable to the reporting person's participation in the Company's Savings Plan, exempt pursuant to Rule 16b-3(c).
- (5) All such options have fully vested.

On November 24, 2014, the Company awarded 7,600 stock options under the Company's 2012 Stock Incentive and Award Plan. The(6) options vest in 4 equal annual installments beginning on November 24, 2015. The vested portions of such options will become exercisable upon vesting.

On November 23, 2015, the Company awarded 11,000 stock options under the Company's 2012 Stock Incentive and Award Plan. The
(7) options vest in 4 equal annual installments beginning on November 23, 2016. The vested portions of such options will become exercisable upon vesting.

On November 21, 2016, the Company awarded 8,600 stock options under the Company's 2012 Stock Incentive and Award Plan. The options vest in 4 equal annual installments beginning on November 21, 2017. The vested portions of such options will become exercisable upon vesting.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.