SMITH JAMES COPENHAVER

Form 4

September 05, 2018

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UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB Number:

3235-0287

OMB APPROVAL

Expires:

January 31, 2005

0.5

Estimated average

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response...

if no longer subject to Section 16. Form 4 or Form 5

Check this box

obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Last)

(Print or Type Responses)

1. Name and Address of Reporting Person * SMITH JAMES COPENHAVER

(First)

(Middle)

2. Issuer Name and Ticker or Trading

Symbol

Issuer

below)

WEBSTER FINANCIAL CORP

[WBS]

3. Date of Earliest Transaction

(Month/Day/Year) 09/04/2018

Director

(Check all applicable)

5. Relationship of Reporting Person(s) to

10% Owner Officer (give title Other (specify

C/O WEBSTER FINANCIAL CORP, 145 BANK STREET

> (Street) 4. If Amendment, Date Original

> > Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

X Form filed by One Reporting Person Form filed by More than One Reporting

WATERBURY, CT 06702

| (City) | (State) | (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned | | | | | | | |
|--------------------------------------|--------------------------------------|--|---|--|---------|-----------------------|---|--|---|
| 1.Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securitie ord Disposed (Instr. 3, 4 | d of (L |)) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
| Common Stock | 09/04/2018 | | A | 249.524 | A | <u>(1)</u> <u>(2)</u> | 313,736.6773 | D | |
| Common Stock | 09/04/2018 | | F | 3,686 | D | \$ 65.38 | 310,050.6773 | D | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title | e of 2. | 3. Transaction Date | 3A. Deemed | 4. | 5. | 6. Date Exerc | cisable and | 7. Title a | and 8 | 3. Price of | 9. Nu |
|----------|----------------|---------------------|--------------------|------------|------------|---------------|-------------|----------------|--------|-------------|--------|
| Deriva | tive Conversio | on (Month/Day/Year) | Execution Date, if | Transacti | orNumber | Expiration D | ate | Amount | of I | Derivative | Deriv |
| Securit | ty or Exercis | e | any | Code | of | (Month/Day/ | Year) | Underlyi | ing S | Security | Secui |
| (Instr. | 3) Price of | | (Month/Day/Year) | (Instr. 8) | Derivative | e | | Securitie | es (| Instr. 5) | Bene |
| | Derivative | e | | | Securities | | | (Instr. 3 a | and 4) | | Own |
| | Security | | | | Acquired | | | | | | Follo |
| | Ť | | | | (A) or | | | | | | Repo |
| | | | | | Disposed | | | | | | Trans |
| | | | | | of (D) | | | | | | (Instr |
| | | | | | (Instr. 3, | | | | | | |
| | | | | | 4, and 5) | | | | | | |
| | | | | | | | | Λ. | mount | | |
| | | | | | | | | | | | |
| | | | | | | Date | Expiration | or Title Ni | umber | | |
| | | | | | | Exercisable | Date | of | | | |
| | | | | Code V | (A) (D) | | | | nares | | |
| | | | | Code v | (A) (D) | | | 31. | iaics | | |

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

SMITH JAMES COPENHAVER C/O WEBSTER FINANCIAL CORP 145 BANK STREET WATERBURY, CT 06702

Signatures

Renee P. Seefried by Power of Attorney

09/05/2018

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) 249.524 shares of Webster Financial Corporation common stock acquired through September 4, 2018 under the Webster Dividend Reinvestment Plan.
- (2) Each share of phantom stock represents the right to receive one share of Webster Financial Corporation common stock.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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