PEET SHELLY Form 4

November 27, 2018 **FORM 4** 

if no lon subject t Section Form 4 c Form 5 obligatio	Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue.  Washington, D.C. 20549  STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES  Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section								3235-0287 January 31 2005 average urs per . 0.5	
See Instr 1(b).		30(h) of t	ne Investmen	t Compa	ny Ao	ct of 194	0			
(Print or Type	Responses)									
1. Name and Address of Reporting Person * PEET SHELLY			2. Issuer Name and Ticker or Trading Symbol NORDSON CORP [NDSN]				5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)			
(Last)	(First) (I	Middle) 3. I	ate of Earliest T	ransaction	1		(Cnec	k all applicabl	e)	
28601 CLEMENS ROAD			(Month/Day/Year) 11/23/2018				Director 10% Owner Officer (give title Other (specify below)  Vice President			
	(Street)		4. If Amendment, Date Original Filed(Month/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting			
WESTLAK	KE, OH 44145						Person	iore man one ic	eporting	
(City)	(State)	(Zip)	Table I - Non-	Derivative	Secu	rities Acq	uired, Disposed of	, or Beneficia	lly Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Dat any (Month/Day/Y	Code ear) (Instr. 8)	4. Securi on(A) or D (Instr. 3,	ispose 4 and (A) or	d of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock	11/23/2018		F	142	D	\$ 123.93	27,270	D (1)		
Common Stock							6	I	By Company ESOP Plan	

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474

(9-02)

**OMB APPROVAL** 

#### Edgar Filing: PEET SHELLY - Form 4

# $\label{lem:convergence} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (\emph{e.g.}, puts, calls, warrants, options, convertible securities) \\ \end{tabular}$

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. oriNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Options (right to buy)	\$ 43.32					(3)	12/07/2020	Common Stock	5,600
Employee Stock Options (right to buy)	\$ 43.73					(3)	11/28/2021	Common Stock	6,000
Employee Stock Options (right to buy)	\$ 61.59					(3)	11/28/2022	Common Stock	5,000
Employee Stock Options (right to buy)	\$ 71.75					(3)	11/25/2023	Common Stock	5,200
Employee Stock Options (right to buy)	\$ 79.66					(3)	11/24/2024	Common Stock	5,800
Employee Stock Options (right to buy)	\$ 70.91					<u>(4)</u>	11/23/2025	Common Stock	9,100
	\$ 107.65					(5)	11/21/2026		6,600

8. Pri Deriv Secur (Instr Edgar Filing: PEET SHELLY - Form 4

Employee Common Stock Stock

Options (right to buy)

Employee

Stock

Options \$ 127.67

(right to buy)

(6) 11/20/2027 Common Stock 6,500

### **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

PEET SHELLY

28601 CLEMENS ROAD Vice President

WESTLAKE, OH 44145

## **Signatures**

Gina A. Beredo, Attorney-in-Fact

\*\*Signature of Reporting Person Date

#### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- On November 23, 2015, the Company awarded 1,100 stock options under the Company's 2012 Stock Incentive and Award Plan. One third of the restricted shares vested on each of November 23, 2016, 2017, and 2018. 142 of the 368 shares that vested November 23, 2018 were withheld to cover withholding taxes due upon vesting. The holdings are net of shares previously withheld or sold to cover withholding taxes.
- (2) Represents the number of shares attributable to the reporting person's participation in the Company's Employee Stock Ownership Plan, exempt pursuant to Rule 16b-3(c).
- (3) All such options have fully vested.
- On November 23, 2015, the Company awarded 9,100 stock options under the Company's 2012 Stock Incentive and Award Plan. The options vest in 4 equal annual installments beginning on November 23, 2016. The vested portions of such options will become exercisable upon vesting.
- On November 21, 2016, the Company awarded 6,600 stock options under the Company's 2012 Stock Incentive and Award Plan. The options vest in 4 equal annual installments beginning on November 21, 2017. The vested portions of such options will become exercisable upon vesting.
- On November 20, 2017, the Company awarded 6,500 stock options under the Company's 2012 Stock Incentive and Award Plan. The options vest in 4 equal annual installments beginning on November 20, 2018. The vested portions of such options will become exercisable upon vesting.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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