Edgar Filing: ADVANCED MEDICAL OPTICS INC - Form 5

ADVANCED MEDICAL OPTICS INC

Form 5

January 12, 2007

| FORM | 15 | | | | | | | | OMB AF | PPROVAL | |
|---|---|------------|---|---|--|--|--------|--|--|--------------------|--|
| UNITED STATES SECURITIES AND EXCHANGE COMMIS | | | | | | | | OMMISSION | OMB Number: | 3235-0362 | |
| Check thi no longer | Washington, D.C. 20549 | | | | | | | Expires: | January 31, 2005 | | |
| to Section Form 4 or 5 obligati may conti | r Form ANN ons inue. | | | ENT OF CH RSHIP OF S | | EFICIAL Estimated averag burden hours per response | | | | | |
| See Instru 1(b). Form 3 H Reported Form 4 Transactic Reported | Filed purs |) of the F | Public Ut | | g Compa | any A | ct of | | 1 | | |
| NOLAN PETER P | | | 2. Issuer Name and Ticker or Trading Symbol ADVANCED MEDICAL OPTICS INC [EYE] | | | | | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) | | | |
| (Last) (First) (Middle) | | | 3. Statement for Issuer's Fiscal Year Ended (Month/Day/Year) 12/31/2006 | | | | | Director 10% Owner Officer (give titleX Other (specify below) below) former Section 16 officer | | | |
| | NCED MEDICA NC., 1700 E. ST. PLACE | | | | | | | Tormer | section to only | .ci | |
| | (Street) | | | 4. If Amendment, Date Original 6. Individual or Joint/Group Reporting Filed(Month/Day/Year) (check applicable line) | | | | | | | |
| SANTA AN | NA, CA 92705 | | | | | | | _X_ Form Filed by O Form Filed by M Person | | | |
| (City) | (State) | Zip) | Tabl | e I - Non-Deri | ivative Sec | uritie | s Acqu | iired, Disposed of | , or Beneficial | ly Owned | |
| 1.Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | | Date, if | 3. Transaction Code (Instr. 8) | 4. Securit Acquired Disposed (Instr. 3, | (A) o of (D |) | 5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | | |
| Common Stock | Â | Â | | Â | Â | Â | Â | 3,217.7728 (1) | D | Â | |
| | port on a separate line ficially owned directly | | | contained in | n this for | m are | not r | ollection of inform equired to responding OMB contro | ond unless | SEC 2270 (9-02) | |

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and A Underlying Se (Instr. 3 and 4 |
|---|---|---|---|---|---|--------|--|--------------------|--|
| | | | | | (A) | (D) | Date Exercisable | Expiration Date | Title |
| Employee Stock Option (Right to Buy) | \$ 45.26 | 06/14/2006 | Â | G <u>(2)</u> | Â | 18,000 | (3) | 05/24/2016 | Common Stock |
| Employee Stock Option (Right to Buy) | \$ 45.26 | 06/14/2006 | Â | G <u>(2)</u> | 18,000 | Â | (3) | 05/24/2016 | Common Stock |

Reporting Owners

Reporting Owner Name / Address

Director 10% Owner Officer Other

NOLAN PETER P C/O ADVANCED MEDICAL OPTICS, INC. 1700 E. ST. ANDREW PLACE SANTA ANA, CAÂ 92705

 \hat{A} \hat{A} \hat{A} former Section 16 officer

Signatures

Peter P. Nolan 01/12/2007

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations, See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Includes an aggregate of 1377.7728 shares acquired under the Advanced Medical Optics, Inc. Employee Stock Purchase Plan through
 October 31, 2006; includes an aggregate of 1840 previously reported shares of restricted common stock granted under the issuer's 2005
 Incentive Compensation Plan, which will vest as to 100% of the shares on May 24, 2009, the third anniversary of the date of issuance, provided that certain conditions are met.
- On June 14, 2006, the reporting person transferred to his family trust an employee stock option that had been granted on May 24, 2006, (2) previously reported on Form 4. Prior to 2006, the reporting person had transferred to his family trust all other outstanding stock options that had been granted by the issuer.
- (3) Stock option grant exempt pursuant to Rule 16b-3, which vests ratably on each of the first four anniversaries of the date of grant, such that the option will be fully vested on May 24, 2010 (provided applicable provisions of such option are complied with).

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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