#### Edgar Filing: ADVANCED MEDICAL OPTICS INC - Form 4

#### ADVANCED MEDICAL OPTICS INC

Form 4 June 02, 2008

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number:

3235-0287

Check this box

Expires:

January 31, 2005

if no longer subject to Section 16. Form 4 or Form 5

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

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**OMB APPROVAL** 

obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Last)

(Print or Type Responses)

1. Name and Address of Reporting Person \* MUSSALLEM MICHAEL A

2. Issuer Name and Ticker or Trading

5. Relationship of Reporting Person(s) to Issuer

Symbol

ADVANCED MEDICAL OPTICS

(Check all applicable)

INC [EYE]

(Middle)

3. Date of Earliest Transaction (Month/Day/Year)

05/29/2008

X\_ Director 10% Owner Officer (give title Other (specify

C/O ADVANCED MEDICAL OPTICS, INC., 1700 E ST. ANDREW PLACE

(Street)

(First)

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check

Applicable Line)

Filed(Month/Day/Year)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

SANTA ANA, CA 92705

(City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1.Title of Security (Instr. 3)

2. Transaction Date 2A. Deemed (Month/Day/Year) Execution Date, if

(Month/Day/Year)

3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 8) (Instr. 3, 4 and 5)

5. Amount of Securities Beneficially Owned Following

6. Ownership 7. Nature of Indirect Form: Direct (D) or Indirect (I) (Instr. 4)

Beneficial Ownership (Instr. 4)

(A)

Reported Transaction(s) (Instr. 3 and 4) Price

Code V Amount (D)

05/29/2008

M 1,341 A (1)

D

By family

Common Stock

Common

Stock

7,940

6,009

I

trust

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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**SEC 1474** (9-02)

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount o Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Restricted Stock Units	(1)	05/29/2008		M		1,341	<u>(1)</u>	05/22/2017	Common Stock	1,341
Restricted Stock Units	<u>(2)</u>	05/29/2008		A	2,390		(2)	05/29/2018	Common Stock	2,390
Restricted Stock Units	(3)	05/29/2008		A	6,740		(3)	05/29/2018	Common Stock	6,740

# **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

MUSSALLEM MICHAEL A C/O ADVANCED MEDICAL OPTICS, INC. 1700 E ST. ANDREW PLACE SANTA ANA, CA 92705

X

### **Signatures**

Michael A. Mussallem by Aimee S. Weisner, Attorney in Fact 05/30/2008

\*\*Signature of Reporting Person

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Settlement of restricted stock units that vested as to 100% of the shares on the date of the Issuer's 2008 Annual Meeting of Stockholders,
  May 29, 2008. Such restricted stock units had been awarded to the reporting person in exchange for his election to forego his 2007 annual retainer for service on the Issuer's Board of Directors, computed on the basis of the closing price of the Issuer's common stock on the date of the Issuer's 2007 Annual Meeting of Stockholders.

Date

(2) Exempt grant of restricted stock units issued to the reporting person under the Issuer's 2004 Stock Incentive Plan in exchange for the reporting person's 2008 election to forego his annual retainer for service on the Issuer's Board of Directors, computed on the basis of the closing price of the Issuer's common stock on May 29, 2008. Each restricted stock unit represents a contingent right to receive one share

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of the Issuer's common stock. The restricted stock units will vest as to 100% of the units on the date of the Issuer's 2009 Annual Meeting of Stockholders, provided that certain conditions are met.

Exempt grant of restricted stock units issued to the reporting person under the Issuer's 2004 Stock Incentive Plan. Each restricted stock unit represents a contingent right to receive one share of the Issuer's common stock. The restricted stock units will vest as to 100% of the shares on the date of the Issuer's 2011 Annual Meeting of Stockholders, provided that certain conditions are met.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.