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CARPENTER TECHNOLOGY CORP

Form 4

December 23, 2013

FORM 4			OMB APPROVAL				
	UNITED STATES	S SECURITIES AND EXCHANGE (Washington, D.C. 20549	COMMISSION	OMB Number:	3235-	0287	
Check this box if no longer	CTATEMENT O	F CHANGES IN BENEFICIAL OW	Expires:	Januar	y 31, 2005		
subject to Section 16. Form 4 or	STATEMENTO	SECURITIES	Estimated average burden hours per response		0.5		
Form 5 obligations may continue. See Instruction 1(b). Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940							
(Print or Type Respon	nses)						
1. Name and Address STEPHANS PET	s of Reporting Person *	2. Issuer Name and Ticker or Trading Symbol	5. Relationship of Issuer	Reporting Pers	son(s) to		
		CARPENTER TECHNOLOGY	(Check	all applicable	.)		

3. Date of Earliest Transaction Officer (give title _ __ Other (specify (Month/Day/Year) **CARPENTER TECHNOLOGY** 12/20/2013

CORP [CRS]

CORPORATION, PO BOX 14662

READING, PA 19612-4662

(Last)

(First)

(Middle)

(Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check

Filed(Month/Day/Year) Applicable Line)

X Form filed by One Reporting Person ___ Form filed by More than One Reporting Person

X Director

(Check all applicable)

10% Owner

, , , , , , , , , , , , , , , , , , , ,				Person									
	(City)	(State)	(Zip) Tal	ole I - N	lon-	Derivativ	e Seci	urities Acqu	ired, Disposed of	f, or Beneficia	ally Owned		
	1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transa Code (Instr.		4. Securi nor Dispos (Instr. 3,	sed of 4 and (A) or	` ′	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
	Common Stock	12/10/2013		J	V	7,096	A	(1)	7,096	I	Trustee of Charitable Foundation		
	Common Stock	12/20/2013		S		7,096 (2)	D	\$ 59.5861	0	I	Trustee of Charitable Foundation		
	Common Stock								52,086 (3)	D			
	Common Stock								38,296 (4)	I	By spouse in trust		

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Common Stock	10,005 (5)	I	Spouse of trustee
Common Stock	10,005 (5)	I	Spouse of trustee

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (Instr.	8)	5. DiNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	Secur	unt of rlying	8. Price of Derivative Security (Instr. 5)
			Code	v	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	

Reporting Owners

Reporting Owner Name / Address	Kelationships			
	Director	10% Owner	Officer	Other
HANS PETER N				

CARPENTER TECHNOLOGY CORPORATION PO BOX 14662 READING, PA 19612-4662

X

Dalationchine

Signatures

Reporting Person

James D.
Dee/POA

**Signature of Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Reporting Owners 2

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- This transaction involved a gift of securities by Ada Rossin to the Rossin Foundation, of which the reporting person is a trustee. The (1) reporting person disclaims beneficial ownership of these shares, and this report should not be deemed an admission that the reportring person is the beneficial owner of these shares for purposes of Section 16 or for any other purpose.
- (2) Disposition of shares from a charitable foundation, of which the reporting person is a trustee.
- (3) These shares are held in the Peter N. Stephans Revocable Trust, dated March 15, 2004, Peter N. Stephans and Joan R. Stephans, Trustees
- (4) These shares are held in the Joan R. Stephans Revocable Trust, dated March 15, 2004, Peter N. Stephans and Joan R. Stephans, Trustees
 - These shares are held in the Irrevocable Deed of Trust of Peter C. Rossin and Ada E. Rossin, dated July 12, 1989, Ada E. Rossin and Joan Elizabeth Rossin Stephans, Trustees, for the benefit of the reporting person's children. The reporting person disclaims beneficial
- ownership of these securities, and the filing of this report is not an admission that the reporting person is the beneficial owner of these securities for purposes of Section 16, or for any other purpose.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.