Edgar Filing: REYNOLDS AMERICAN INC - Form 4

REYNOLDS AMERICAN INC Form 4 May 09, 2014							
FORM 4 UNITED STATE	S SECURITIES AND EXCHANGE (Washington, D.C. 20549	OMB APPROVAL COMMISSION OMB Number: 3235-0287					
Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b). TATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940							
(Print or Type Responses)							
1. Name and Address of Reporting Person <u>*</u> ZILLMER JOHN J	2. Issuer Name and Ticker or Trading Symbol REYNOLDS AMERICAN INC [RAI]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
(Last) (First) (Middle) 401 N. MAIN STREET	3. Date of Earliest Transaction (Month/Day/Year) 05/08/2014	X_ Director 10% Owner Officer (give title Other (specify below)					
(Street) WINSTON-SALEM, NC 27101	4. If Amendment, Date Original Filed(Month/Day/Year)	 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting 					
(City) (State) (Zip)		Person					
1.Title of Security2. Transaction Date (Month/Day/Year)2A. Date Execution(Instr. 3)any		Amount of Securities6. Ownership Form: Direct7. Nature of IndirectBeneficially(D) orBeneficialOwnedIndirect (I)Ownership FollowingOwnership (Instr. 4)ReportedTransaction(s) (Instr. 3 and 4)Indirect (I)Instr. 4)					
Common 05/08/2014 Stock	A 4,000 A $\frac{\$ 0}{(1)}$	45,000 D					

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transac Code (Instr. 8	5. tionNumber of) Derivativ Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	3	Date	Amou Unde Secur	le and unt of rlying rities : 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owna Follo Repo Trans (Instr
			Code V	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

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Reporting Owners

Reporting Owner Name / Address	Relationships				
	Director	10% Owner	Officer	Other	
ZILLMER JOHN J 401 N. MAIN STREET WINSTON-SALEM, NC 27101	Х				
Signatures					
McDara P. Folan, III Attorney-in-Fact		05/09/2014	1		
**Signature of Reporting Person		Date			

Explanation of Responses:

If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

On May 8, 2014 each "Outside" Director of Reynolds American Inc. (Company) was granted an Annual Equity Incentive Award in the form of 4,000 Deferred Stock Units (phantom stock). Under the terms of the Company's Equity Incentive Award Plan (Plan) for

(1) Directors, each Director is given the option to receive shares of Reynolds American Inc. Common Stock (NYSE trading symbol "RAI") in lieu of receiving Deferred Stock Units. Pursuant to the terms of the Plan, Director elected to receive this Annual grant in the form of 4,000 shares of Issuer's Common Stock.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.