REYNOLDS AMERICAN INC

Form 4

October 30, 2015

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

OMB Number:

3235-0287

Expires:

January 31, 2005

0.5

Estimated average burden hours per

OMB APPROVAL

response...

if no longer subject to Section 16. Form 4 or Form 5 obligations

Check this box

SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

401 N MAIN STREET

may continue.

See Instruction

1. Name and Address of Reporting Person * Gilchrist Andrew D

2. Issuer Name and Ticker or Trading

Symbol

REYNOLDS AMERICAN INC

5. Relationship of Reporting Person(s) to

Issuer

[RAI]

(Check all applicable)

(Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year)

10% Owner X_ Officer (give title _ Other (specify

10/29/2015

Filed(Month/Day/Year)

below) EVP and CFO

(Street) 4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check Applicable Line)

Director

X Form filed by One Reporting Person

Form filed by More than One Reporting

Person

WINSTON SALEM, NC 27101

| (State) (| (Zip) Table | e I - Non-D | Derivative | Secur | ities Acq | uired, Disposed o | f, or Beneficial | ly Owned |
|---|--|--|--------------------------------------|--|--------------------------------------|--|--|---|
| 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transactio Code (Instr. 8) | (Instr. 3, 4 and 5) (A) | | | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
| | | Code V | Amount | | Price | (Instr. 3 and 4) | | |
| 10/29/2015 | | S | 700 | D | \$ 48.47 | 78,034 | D | |
| 10/29/2015 | | S | 500 | D | \$ 48.5 | 77,534 | D | |
| 10/29/2015 | | S | 2,105 | D | \$ 48.51 | 75,429 | D | |
| 10/29/2015 | | S | 1,260 | D | \$ 48.52 | 74,169 | D | |
| 10/29/2015 | | S | 100 | D | \$ 48.53 | 74,069 | D | |
| | 2. Transaction Date (Month/Day/Year) 10/29/2015 10/29/2015 10/29/2015 10/29/2015 | 2. Transaction Date (Month/Day/Year) | 2. Transaction Date (Month/Day/Year) | 2. Transaction Date (Month/Day/Year) Execution Date, if any (Month/Day/Year) (Month/Day/Year) Code (Instr. 3, 10/29/2015 S 500 10/29/2015 S 500 10/29/2015 S 1,260 | 2. Transaction Date (Month/Day/Year) | 2. Transaction Date (Month/Day/Year) | 2. Transaction Date (Month/Day/Year) | 2. Transaction Date (Month/Day/Year) 2A. Deemed (Month/Day/Year) Execution Date, if any (Month/Day/Year) Code (Instr. 3, 4 and 5) Code (Instr. 3, 4 |

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| Common Stock | 10/29/2015 | S | 200 | D | \$ 48.54 | 73,869 | D |
|-----------------|------------|---|-------|---|-------------|------------|---|
| Common Stock | 10/29/2015 | S | 4,310 | D | \$ 48.55 | 69,559 | D |
| Common Stock | 10/29/2015 | S | 1,320 | D | \$ 48.56 | 68,239 | D |
| Common Stock | 10/29/2015 | S | 359 | D | \$ 48.57 | 67,880 | D |
| Common Stock | 10/29/2015 | S | 1,700 | D | \$ 48.58 | 66,180 | D |
| Common Stock | 10/29/2015 | S | 300 | D | \$ 48.59 | 65,880 | D |
| Common Stock | 10/29/2015 | S | 1,000 | D | \$ 48.6 | 64,880 | D |
| Common Stock | 10/29/2015 | S | 1,000 | D | \$ 48.61 | 63,880 | D |
| Common Stock | 10/29/2015 | S | 700 | D | \$ 48.62 | 63,180 | D |
| Common Stock | 10/29/2015 | S | 800 | D | \$ 48.63 | 62,380 | D |
| Common Stock | 10/29/2015 | S | 3,190 | D | \$ 48.64 | 59,190 | D |
| Common Stock | 10/29/2015 | S | 2,528 | D | \$ 48.65 | 56,662 | D |
| Common Stock | 10/29/2015 | S | 1,177 | D | \$ 48.66 | 55,485 | D |
| Common Stock | 10/29/2015 | S | 483 | D | \$ 48.67 | 55,002 | D |
| Common Stock | 10/29/2015 | S | 3,000 | D | \$ 48.68 | 52,002 | D |
| Common Stock | 10/29/2015 | S | 1,902 | D | \$ 48.69 | 50,100 | D |
| Common Stock | 10/29/2015 | S | 100 | D | \$ 48.7 | 50,000 (1) | D |
| | | | | | | | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

 $\label{thm:convergence} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (e.g., puts, calls, warrants, options, convertible securities) \end{tabular}$

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| 1. Title of Derivative | 2. Conversion | 3. Transaction Date (Month/Day/Year) | | 4. Transactio | 5. orNumber | 6. Date Exerc Expiration D | | 7. Title at Amount of | | 8. Price of Derivative | 9. Nu Deriv |
|------------------------|---|--------------------------------------|------------------|------------------|---|-------------------------------|--------------------|--|-------------------------|------------------------|---|
| Security (Instr. 3) | or Exercise Price of Derivative Security | (monda, Day, Teal) | (Month/Day/Year) | Code (Instr. 8) | of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | (Month/Day/ | | Underlyin Securities (Instr. 3 a | ng s | (Instr. 5) | Secur Bene Owne Follo Repo Trans (Instr |
| | | | | Code V | (A) (D) | Date Exercisable | Expiration Date | or Title Nu of | nount umber uares | | |

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Gilchrist Andrew D 401 N MAIN STREET WINSTON SALEM, NC 27101

EVP and CFO

Signatures

McDara P. Folan, III, Attorney-in-fact

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) All share amounts reflect the two-for-one stock split on August 31, 2015.

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