#### Edgar Filing: FEDERATED INVESTORS INC /PA/ - Form 4/A

#### FEDERATED INVESTORS INC /PA/

Form 4/A January 29, 2014

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

Check this box if no longer subject to

Section 16. Form 4 or Form 5

obligations may continue. See Instruction

**SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1(b).

(Last)

(City)

Class B

Stock

Common

1. Name and Address of Reporting Person \* MCGONIGLE JOHN W

> (First) (Middle)

FEDERATED INVESTORS. INC., 1001 LIBERTY AVENUE

(Street)

**PITTSBURGH, PA 15222-3779** 

2. Issuer Name and Ticker or Trading

Symbol

FEDERATED INVESTORS INC /PA/ [FII]

(Month/Day/Year) 12/02/2013

4. If Amendment, Date Original

3. Date of Earliest Transaction

Filed(Month/Day/Year) 12/04/2013

5. Relationship of Reporting Person(s) to

Issuer

(Check all applicable)

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

**OMB** 

Number:

Expires:

response...

Estimated average

burden hours per

\_X\_ Director 10% Owner X\_ Officer (give title Other (specify below) below)

Vice Chairman, Secretary, EVP

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

(State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1.Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if (Instr. 3)

12/02/2013

(Month/Day/Year)

3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 3, 4 and 5) (Instr. 8)

(A)

5. Amount of Securities Beneficially Owned Following Reported

Form: Direct Indirect (D) or Indirect (I) (Instr. 4)

6. Ownership 7. Nature of Beneficial Ownership (Instr. 4)

Transaction(s)

or (Instr. 3 and 4) Code V Amount (D) Price

> Held indirectly by

 $G_{\underline{(1)}}$  V  $_{(2)}^{7,901}$  D 2,075,324 Ι

Fairview **Partners** L.P.

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

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#### number.

#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of | 2.          | 3. Transaction Date | 3A. Deemed         | 4.                | 5.         | 6. Date Exerc | cisable and | 7. Titl | e and    | 8. Price of | 9. Nu  |
|-------------|-------------|---------------------|--------------------|-------------------|------------|---------------|-------------|---------|----------|-------------|--------|
| Derivative  | Conversion  | (Month/Day/Year)    | Execution Date, if | TransactionNumber |            | Expiration D  | n Date      |         | nt of    | Derivative  | Deriv  |
| Security    | or Exercise |                     | any                | Code              | of         | (Month/Day/   | Year)       | Under   | lying    | Security    | Secui  |
| (Instr. 3)  | Price of    |                     | (Month/Day/Year)   | (Instr. 8)        | Derivativ  | e             |             | Securi  | ities    | (Instr. 5)  | Bene   |
|             | Derivative  |                     |                    |                   | Securities |               |             | (Instr. | 3 and 4) |             | Owne   |
|             | Security    |                     |                    |                   | Acquired   |               |             |         | Follo    |             |        |
|             | •           |                     |                    |                   | (A) or     |               |             |         |          |             | Repo   |
|             |             |                     |                    |                   | Disposed   |               |             |         |          |             | Trans  |
|             |             |                     |                    |                   | of (D)     |               |             |         |          |             | (Instr |
|             |             |                     |                    |                   | (Instr. 3, |               |             |         |          |             |        |
|             |             |                     |                    |                   | 4, and 5)  |               |             |         |          |             |        |
|             |             |                     |                    |                   |            |               |             |         | A        |             |        |
|             |             |                     |                    |                   |            |               |             |         | Amount   |             |        |
|             |             |                     |                    |                   |            | Date          | Expiration  | TT:41   | or       |             |        |
|             |             |                     |                    |                   |            | Exercisable   | Date        | Title   | Number   |             |        |
|             |             |                     |                    | G 1 17            | (1) (D)    |               |             |         | of       |             |        |
|             |             |                     |                    | Code V            | (A) (D)    |               |             |         | Shares   |             |        |

# **Reporting Owners**

| Reporting Owner Name / Address  | Relationships |           |                               |       |  |  |  |
|---|---------------|-----------|-------------------------------|-------|--|--|--|
| reporting owner rune, runess  | Director      | 10% Owner | Officer                       | Other |  |  |  |
| MCGONIGLE JOHN W<br>FEDERATED INVESTORS, INC.<br>1001 LIBERTY AVENUE<br>PITTSBURGH, PA 15222-3779 | X             |           | Vice Chairman, Secretary, EVP |       |  |  |  |

## **Signatures**

/s/ John D. Martini (Attorney-in-fact) 01/29/2014 \*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The reported securities are held by Fairview Partners, a limited partnership of which 713 Investment Corp. is the sole general partner. Mr. McGonigle and his wife are shareholders and directors of 713 Investment Corp. On December 2, 2013, the reporting person and his wife

(1) gifted partnership interest to their children and grandchildren. The reporting person disclaims beneficial ownership of the FII Class B Common Stock held by Fairview Partners except to the extent of his pecuniary interest therein. This transaction did not involve any public sale of shares.

Box 4 of original Form 4 filed December 4, 2013 indicated that an estimated 7,700 shares of FII Class B Common Stock were transferred as a result of Mr. McGonigle and his wife gifting partnership interests in Fairview Partners L.P. to their children and grandchildren. The

(2) estimated number of shares was provided because the percentage partnership interest in Fairview Partners, L.P. was subject to an independent valuation. Subsequent to the December 4, 2013 filing, the independent valuation was performed and it was determined that 7,901 shares had actually been transferred as a result of the gifting.

#### **Remarks:**

Reporting Owners 2

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The Power of Attorney dated June 19, 2012 is incorporated by reference.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.