Patton Charles R. Form 4 May 02, 2018

# FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

#### if no longer subject to Section 16. Form 4 or

Check this box

Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations may continue.

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 See Instruction 1(b).

#### **OMB APPROVAL**

**OMB** 3235-0287 Number:

January 31, Expires: 2005 Estimated average

burden hours per response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person \* Patton Charles R.

2. Issuer Name and Ticker or Trading Symbol

Issuer

AMERICAN ELECTRIC POWER CO INC [AEP]

(Check all applicable)

**Executive Vice President** 

5. Relationship of Reporting Person(s) to

(Last) (First) 3. Date of Earliest Transaction

(Month/Day/Year)

Director 10% Owner \_X\_\_ Officer (give title below)

Other (specify

AMERICAN ELECTRIC POWER, 1 05/01/2018

(Middle)

RIVERSIDE PLAZA

(Street) 4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

#### COLUMBUS, OH 43215

(City)	(State) (	(Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned							
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired on(A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
			Code V	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)		
Common Stock	05/01/2018		M	1,578 (1)	A	68.63 (4)	21,416	D	
Common Stock	05/01/2018		F	715	D	\$ 68.63 (4)	20,701	D	
Common Stock	05/01/2018		D	863	D	\$ 68.63 (4)	19,838	D	
Common	05/01/2018		M	1,235	A	\$	21,073	D	

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Stock			(2)		68.63 (4)		
Common Stock	05/01/2018	F	560	D	\$ 68.63	20,513	D
Common Stock	05/01/2018	D	675	D	\$ 68.63	19,838	D
Common Stock	05/01/2018	F	508 (3)	D	\$ 69.44 (5)	19,330	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number on f Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4,	(Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	and 5) (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Restricted Stock Units	(1)	05/01/2018		M	1,578	05/01/2018	05/01/2018	Common Stock	1,578
Restricted Stock Units	<u>(2)</u>	05/01/2018		M	1,235	05/01/2018	05/01/2018	Common Stock	1,235

# **Reporting Owners**

AMERICAN ELECTRIC POWER

Relationships Reporting Owner Name / Address Director 10% Owner Officer Other Patton Charles R. **Executive Vice President** 

2 Reporting Owners

#### 1 RIVERSIDE PLAZA COLUMBUS, OH 43215

## **Signatures**

/s/ Thomas G. Berkemeyer, Attorney-in-Fact for Charles R. Patton

05/03/2018

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) A portion of Mr. Patton's restricted stock units (1,578) that were granted 2/24/2015 vested on 5/1/2018. Upon vesting 715 restricted stock units were withheld to satisfy the reporting person's tax liability and the remaining restricted stock units were settled in cash.
- (2) A portion of Mr. Patton's restricted stock units (1,235) that were granted 2/23/2016 vested on 5/1/2018. Upon vesting 560 restricted stock units were withheld to satisfy the reporting person's tax liability and the remaining restricted stock units were settled in cash.
- (3) A portion of Mr. Patton's restricted stock units (1,118) that were granted 2/20/2017 vested on 5/1/2018. Upon vesting 508 restricted stock units were withheld to satisfy the reporting person's tax liability.
- (4) Value is based on 20 day average stock closing price.
- (5) Value is based on the closing price of the stock.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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