### Edgar Filing: AeroGrow International, Inc. - Form 4

AeroGrow International, Inc. Form 4 December 06, 2016

#### **OMB APPROVAL** FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB 3235-0287 Washington, D.C. 20549 Number: Check this box January 31, Expires: if no longer 2005 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to Estimated average **SECURITIES** Section 16. burden hours per Form 4 or response... 0.5 Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Gibbs Grey H Issuer Symbol AeroGrow International, Inc. (Check all applicable) [AERO] (Last) (First) (Middle) 3. Date of Earliest Transaction Director 10% Owner Other (specify \_X\_\_ Officer (give title (Month/Day/Year) below) below) 3566 LARKSPUR CT. 12/02/2016 SVP-Finance and Accounting

4. If Amendment, Date Original

Filed(Month/Day/Year)

(Street)

LONGMONT, CO 80503

(City)	(State)	(Zip) Tab	le I - Non-l	Derivative	Secur	ities Acqui	red, Disposed of	, or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. 4. Securities Acquired (A) Transaction Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)			5. Amount of Securities Beneficially Owned Following	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
			Code V	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)	(I) (Instr. 4)	
Common Stock	12/02/2016		М	7,383	А	\$ 1.01	7,383	D	
Common Stock	12/02/2016		М	8,750	A	\$ 2.2	16,133	D	
Common Stock	12/02/2016		М	7,433	A	\$ 1.55	23,566	D	
Common Stock	12/06/2016		М	6,127	A	\$ 1.55	29,693	D	
Common Stock	12/02/2016		S	8,420	D	\$ 4.1814	21,273	D	

6. Individual or Joint/Group Filing(Check

\_X\_ Form filed by One Reporting Person \_\_\_\_ Form filed by More than One Reporting

Applicable Line)

Person

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Common	12/05/2016	S	15,146	D	\$	6,127	D
Stock	12/03/2010	3	15,140	D	3.8547	0,127	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	Transaction of Derivative Code Securities		of Derivative Expiration Date (Month/Day/Year) Acquired A) or Disposed of D) Instr. 3, 4,		7. Title and Amount of 8 Underlying Securities (Instr. 3 and 4) 5	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Common Stock	\$ 1.01	12/02/2016		М		7,383	05/08/2013	02/08/2018	Common Stock	7,383
Common Stock	\$ 2.2	12/02/2016		М		8,750	01/09/2014	10/09/2018	Common Stock	8,750
Common Stock	\$ 1.55	12/02/2016		М		7,433	11/20/2015	08/20/2020	Common Stock	7,433
Common Stock	\$ 1.55	12/06/2016		М		6,127	11/20/2015	08/20/2020	Common Stock	6,127

# **Reporting Owners**

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
Gibbs Grey H 3566 LARKSPUR CT. LONGMONT, CO 80503			SVP-Finance and Accounting				
Signatures							
Jake Wright, Attorney In Fact	12/06/	/2016					

Date

\*\*Signature of Reporting Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.