

Edgar Filing: FIRST TRUST EXCHANGE-TRADED ALPHADEX FUND - Form 40-17G/A

FIRST TRUST EXCHANGE-TRADED ALPHADEX FUND

Form 40-17G/A

April 05, 2017

April 5, 2017

VIA EDGAR

Securities and Exchange Commission
100 F Street, NE
Washington, D.C. 20549

Re: Rule 17g-1 Fidelity Bond Filing Information with Respect to Joint Fidelity
Bond filing under Investment Company Act Rule 17g-1, Bond No 99516111B for
the following management investment companies:

FUND NAME	FILE NO.	SEC REGISTRATION NO
Macquarie/First Trust Global Infrastructure/ Utilities Dividend & Income Fund	811-21496	333-112202
First Trust Senior Floating Rate Income Fund II	811-21539	333-113978 and 333-
First Trust Energy Income and Growth Fund	811-21549	333-114131, 333-120
		333-154254, 333-123
First Trust Enhanced Equity Income Fund	811-21586	333-116023
First Trust/Aberdeen Global Opportunity Income Fund	811-21636	333-119081
First Trust Mortgage Income Fund	811-21727	333-123262
First Trust Exchange-Traded Fund	811-21774	333-125751
First Trust Strategic High Income Fund II	811-21842	333-131194
First Trust/Aberdeen Emerging Opportunity Fund	811-21905	333-134540
First Trust Specialty Finance and Financial Opportunities Fund	811-22039	333-141457
First Trust Exchange-Traded AlphaDEX(R) Fund	811-22019	333-140895
First Trust Exchange-Traded Fund II	811-21944	333-143964
First Trust High Income Long/Short Fund	811-22442	333-168186
First Trust Exchange-Traded AlphaDEX(R) Fund II	811-22519	333-171759
First Trust Series Fund	811-22452	333-168727
First Trust Energy Infrastructure Fund	811-22528	333-172439 and 333-
First Trust Exchange-Traded Fund VI	811-22717	333-182308
First Trust Exchange-Traded Fund IV	811-22559	333-174332
First Trust Variable Insurance Trust	811-22652	333-178767
First Trust MLP and Energy Income Fund	811-22738	333-183396
First Trust Exchange-Traded Fund III	811-22245	333-176976
First Trust Intermediate Duration Preferred & Income Fund	811-22795	333-186412
First Trust Exchange-Traded Fund V	811-22709	333-181507
First Trust Exchange-Traded Fund VII	811-22767	333-184918
First Trust New Opportunities MLP & Energy Fund	811-22902	333-191808
First Trust Dynamic Europe Equity Income Fund	811-23072	333-205681
First Trust Exchange-Traded Fund VIII	811-23147	333-210186
First Trust Senior Floating Rate 2022 Target Term Fund	811-23199	333-214125

Dear Sir or Madam:

Enclosed for filing, pursuant to Rule 17g-1 of the Investment Company Act of 1940, as amended, please find a copy of the Joint Insured Fidelity Bond for the period October 9, 2016 to October 9, 2017, for the First Trust complex of funds referenced above. Please note that Rider 13 has been added to the Joint Insured Fidelity Bond to increase the Limit of Liability to \$32,000,000 from

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\$30,000,000. Rider 14 has been added to the Joint Insured Fidelity Bond to include First Trust TCW Opportunistic Fixed Income ETF, a series of First Trust Exchange-Traded Fund VIII. Rider 15 has been added to the new names of the following funds: First Trust ISE-Revere Natural Gas Index Fund changed to First Trust Natural Gas ETF, First Trust ISE Chindia Index Fund changed to First Trust Chindia ETF, First Trust ISE Water Index Fund changed to First Trust Water ETF, First Trust US IPO Index Fund changed to First Trust US Equity Opportunities ETF, First Trust ISE Cloud Computing Index Fund changed to First Trust Cloud Computing ETF, First Trust ISE Global Engineering and Construction ETF changed to First Trust Global Engineering and Construction ETF, First Trust ISE Global Wind Energy Index Fund changed to First Trust Global Wind Energy ETF and First Trust NASDAQ Rising Dividend Achievers ETF changed to First Trust Rising Dividend Achievers ETF. Please note for the Commission's records, the following:

(i) The Joint Insured Fidelity Bond;

(ii) a Certificate of Assistant Secretary containing the form of resolutions of the Board of Trustees approving the amount, type, form and coverage of the Fidelity Bond, the amount of the single insured bond which the registrant would have provided had it not been named as an insured under the Fidelity Bond, and a statement as to the period for which premiums have been paid; and

(iii) a copy of the Blanket Bond Insurance Agreement.

Very truly yours,

FIRST TRUST PORTFOLIOS L.P.

/s/ Kristi A. Maher

Deputy General Counsel

Enclosures