## Edgar Filing: Baker Mark Robert - Form 4

Baker Mark l	Robert										
Form 4 September 28	2 2011										
•									OMB A	PPROVAL	
				RITIES AND EXCHANGE COMMISSION shington, D.C. 20549				COMMISSION		3235-0287	
Check thi if no long subject to Section 1 Form 4 or Form 5 obligatior may conti	er <b>STATI</b> 6. Filed p							January 31 Expires: 2005 Estimated average burden hours per response 0.5			
See Instru 1(b).		30(h)	) of the Inv	vestment (	Compan	y Act	t of 194	40			
(Print or Type R	lesponses)										
Baker Mark Robert Sy			Symbol	-				5. Relationship of Reporting Person(s) to Issuer			
PHA				ROGENICS HARMACEUTICALS INC				(Check all applicable)			
(Last)	(First)	(Middle)	[PGNX] 3. Date of (Month/D	Earliest Transaction				_X_ Director _X_ Officer (give below)	10% Owner title Other (specify below) CEO		
777 OLD SA ROAD	AW MILL RIV	/ER	09/28/20	-					CLO		
				ndment, Date Original th/Day/Year)				<ul> <li>6. Individual or Joint/Group Filing(Check</li> <li>Applicable Line)</li> <li>_X_ Form filed by One Reporting Person</li> </ul>			
TARRYTO	WN, NY 1059	1						Form filed by N Person			
(City)	(State)	(Zip)	Table	e I - Non-Do	erivative S	Securi	ties Acc	uired, Disposed of	f, or Beneficial	ly Owned	
1.Title of Security (Instr. 3)	2. Transaction Date 2A. Dec (Month/Day/Year) Executi any (Month			3. Transactio Code (Instr. 8)	ransaction(A) or Disposed of ode (D)			Securities Beneficially Owned	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		
				Code V	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)			
Common Stock	09/28/2011			Р	527 <u>(1)</u>		\$ 6.39	37,014	D		
Common Stock	09/28/2011			Р	15 <u>(1)</u>	А	\$ 6.38	37,029	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	7. Titl Amou Under Secur (Instr.	int of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owne Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

## **Reporting Owners**

<b>Reporting Owner Name / Address</b>	Relationships					
	Director	10% Owner	Officer	Other		
Baker Mark Robert 777 OLD SAW MILL RIVER ROAD TARRYTOWN, NY 10591	Х		CEO			
Signatures						
By: Robert A. McKinney For: Mark R. Baker	09/28/2011					
**Signature of Reporting Person		Date				

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Open market purchase pursuant to a 10b5-1 trading plan adopted by the Reporting Person in accordance with Rule 10b5-1 of the Securities Exchange Act of 1934, as amended.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.