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BROWN OWSLEY II Form 4

April 02, 2003

FORM 4

_ Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

OMB APPROVAL

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, ,				er Name and T n-Forman Cor		Person(s)					
<u>Brown II, Ov</u> (Last 850 Dixie Hig	t) (First) (M	of Rep	S. Identificatio orting Person, ntity (voluntary			4. Statement for Month/Day/Year 04/01/03	X Directo 10% Own X Officer Other (spe	to Issuer (Check all applicable) X Director 10% Owner X Officer (give title below) Other (specify below) Chairman and Chief Executive Officer (1)			
Louisville, K	(Street) Y 40210					5. If Amendment, Date of Original (Month/Day/Year)	(Check A ₁ X Form fi Person	ual or Joint/Group Filing pplicable Line) led by One Reporting led by More than One Person			
(Ci	ity) (State) ((Zip)	,	Table I Non	-Deriv	vative	Securities Acquired, Dis	Disposed of, or Beneficially Owned			
1. Title of Security (Instr. 3)	action Date (Month/ Day/ S	2A. Deemed Execution Date, if any (Month/Day/ Year)	3. Transaction Code (Instr. 8 Code	(A) or Dis (Instr. 3, 4	posed & 5) (A) or	of (D)	5. Amount of Securities Beneficially Owned Following Reported Transactions(s) (Instr. 3 & 4)	6. Owner-ship Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
Class A Common	04/01/03		J (2)	2,968	(D) A		85,424.0	D			
Class A Common							85,914.0	I	by Spouse		
Class A Common	04/01/02		J (2)	2,968	D		203,392.0	I	GRAT (Grantor Trust)		
Class A Common							11,479.0	I	GRAT 12/01		
Class A Common							49,982.0	I	Ltd Partnership		
Class A Common							264,805.0	I	Ltd Partnership PTP		
Class A Common							971.9	I	Partnership Ltd		
Class A Common							219,004.3	I	Trust/Partnership		

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Class A Common				434,503.0	I	Trust/Remainder
Class A Common				1722.25	I	Partnership/Nectar
Class A Common				100,016.0	I	BHP Ltd Partnership
Class B Common				528.0	D	
Class B Common				651.0	I	Ltd Partnership
Class B Common				73.1	I	Partnership
Class B Common				462,008.5	I	Trust/Partnership
Class B Common				440,690.5	I	Trust/Remainder
Class B Common				1650.0	I	Partnership/Hebe

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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FORM 4 (continued) Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

(e.g., puts, calls, warrants, options, convertible securities)

2. Conver-	3.	3A.	4.	5.	 Date Exercisab 		7. Title and Amount		8. Price of	9. Number of	10.	11. Natur
sion or	Trans-	Deemed	Trans-	Number	Numberand Expiration			of Underlying		Derivative	Owner-	of Indirec
Exercise	action	Execution	action	of	Date		Securities		Security	Securities	ship	Beneficia
Price of	Date	Date,	Code	Derivati	(Meanth/Day/		(Instr. 3 &	4)	(Instr. 5)	Beneficially	Form	Ownershi
Derivative		if any		Securitie	X ear)					Owned	of Deriv-	(Instr. 4)
Security			(Instr.	Acquire	d					Following	ative	
	-	•	8)	(A) or						Reported	Security:	
	Year)	Year)			d					Transaction(s)	Direct	
				of (D)						(Instr. 4)	(D)	
											or	
				(Instr.								
				3, 4 &							` /	
				5)							(Instr. 4)	
			Code V	(A) (D)	Date	Expira-	Title	Amount				
					Exer-cisable	tion		or				
						Date		Number				
								of				
								Shares				
36.13					5/1/99	4/30/06	Class B	30,347		30,347	D	
							Common					
49.13					5/1/00	4/30/07	Class B	27,153		27,153	D	
							Common					
50.44					5/1/03	4/30/10	Class B	39,542		39,542	D	
							Common			ĺ		
											ĺ	
	sion or Exercise Price of Derivative Security 36.13	sion or Trans- Exercise action Price of Date Derivative Security (Month/ Day/	Sion or Exercise action Date Detervative Security (Month/Day/Year) 36.13	Sion or Exercise action Date Date, Derivative Security (Month/ Day/ Year) Code V 36.13 Trans-action Execution Date, if any (Month/ Day/ Year) Code of Date Date, if any (Instr. Day/ Year) Code V Code V	Sion or Exercise action Date action Date Date, Derivative Security (Month/ Day/ Year) 36.13 Trans- Deemed Execution Date, Day/ Year) Trans- Number of Derivati Securiti (Instr. Acquire Day/ Year) Trans- Number of Derivati Securiti (Instr. Acquire Day/ Year) Trans- Number of Derivati Securiti (Instr. Acquire Day/ Year) Trans- Number of Derivati Securiti (Instr. Acquire Day/ Year) Trans- Number of Derivati Securiti (Instr. Acquire Day/ Year) Trans- Number of Date Date, Code Derivati Securiti (Instr. Acquire Day/ Year) Trans- Number of Date Date, Code Derivati Securiti (Instr. Acquire Day/ Year) Trans- Number of Date Date, Code Derivati Securiti (Instr. Acquire Day/ Year) Trans- Number of Date Date, Code Derivati Securiti (Instr. Acquire Day/ Year) Trans- Number of Date Date, Code Derivati Securiti (Instr. Acquire Day/ Year) Trans- Number of Date Date, Code Derivati Securiti (Instr. Acquire Day/ Year) Trans- Number of Date Date, Code Derivati Securiti (Instr. Acquire Day/ Year) Trans- Number of Date Date, Code Derivati Securiti (Instr. Acquire Day/ Year) Trans- Number of Date Date, Code Derivati Securiti (Instr. Acquire Day/ Year) Trans- Number of Date Date Date Date Day/ (Instr. Acquire Day/ Year)	Trans- action or Exercise Exercise Price of Date Derivative Security (Month/ Day/ Year) Trans- action of Date Code Derivation of Date Code Of Date Code Derivation of Date Code Of D	Trans- Exercise Exercise Exercise Price of Date Derivative Security (Month/ Day/ Year) Code Derivation Execution Date Date Date Code Derivation Securitiesear) Securitiesear) Securitiesear) Acquired (A) or Disposed of (D) (Instr. 3, 4 & 5) Code V (A) (D) Date Exercisable Expiration Date Date Code Derivation Month/Day/ Securitiesear) Code V (A) (D) Date Expiration Date Expiration Date Frans- action Date Code Derivation Month/Day/ Securitiesear) Acquired Expiration Date Frans- Date Code Date Code V (A) (D) Date Expiration Date Frans- Date Frans- Date Code Derivation Acquired Frans- Date Frans- Da	Transaction or Exercise action Date Date Date, of Derivative Security (Month/ Day/ Year) Security (Month/ Day/ Year) Code Derivative Security (Month/ Day/ Year) Code Derivative Securities (Month/ Day/ Year) Code Derivative Securities (Month/ Day/ Year) Code Derivative Securities (Instr. 3 & Securities) Code Derivative Securities (Instr. 3 & Securities (Instr. 3 & Securities) Code Derivation (Instr. 4 Code Derivation (Instr. 4 Code Derivation) Code Derivation (Instr. 4 Code Derivation (Instr. 4 Code Derivation) C	Exercise action Date Execution Date, Orice of Derivative Security Security Month/ Day/ Year) Code V (A) (D) Date Exercisable Securition Date Date Securities Securition of Date Derivation of Date Securities Securities Securities Securities Securities (Instr. 3 & 4) Securities (Instr. 3 & 4) Securities (Instr. 3 & 4) Securities Securities (Instr. 3 & 4) Securities (Instr. 3 & 4) Securities Securities Securities (Instr. 3 & 4) Securities Securities (Instr. 3 & 4) Securities (Instr. 3 & 4) Securities Securities (Instr. 3 & 4) Securities Securities (Instr. 3 & 4) Securities Securities Securities (Instr. 3 & 4) Securities Securities (Instr. 3 & 4) Securities Securities Securities Securities Securities (Instr. 3 & 4) Securities Instr. 3 & 4) Securities Instr. 3 & 4) Securities Solution Securities Solution Securities Securities Solution Solution Securities Securities Solution Securities Solution Securities Solution Solution Solution Solution Securities Solution Soluti	Exercise Exercise action Date Date Date, Date Date, Date Day/ Year) Code V (A) (D) Date Exercisable Date Date Date Date Date Date Date Dat	Trans- daction or Exercise exe	Trans- Exercise daction Date Date Derivative Security Transaction(s) Or Indirect (I) (Instr. 4) Code V (A) (D) Or Indirect (I) (Instr. 4) Security Security Security Security Security Transaction(s) Or Indirect (I) (Instr. 4) Security

^{*} If the form is filed by more than one reporting person, see Instruction 4(b)(v).

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Non-Qualified Stock Option (right to buy)	61.25		5/1/01	4/30/08	Class B Common	25,361	25,361	D	
Non-Qualified Stock Option (right to buy)	62.25		5/1/02		Class B Common	27,257	27,257	D	
Non-Qualified Stock Option (right to buy)	64.22		5/1/05	4/30/12	Class B Common	39,636	39,636	D	
Non-Qualified Stock Option (right to buy)	68.33		5/1/04		Class B Common	31,263	31,263	D	
Non-Qualified Stock Option (right to buy)	100.00		5/1/07		Class B Common	300	300	D	

Explanation of Responses:

(1) Filing of this form should not be construed as an admission that the filing person is, for the purposes of Section 16 of the Securities Exchange Act of 1934, the "beneficial owner" of any equity securities held in a limited partnership or in trust and reported on this form.

(2) Transfer of shares from an irrevocable annuity trust to direct ownership.

By: /s/ John R. Edds
Attn. in Fact for: Owsley Brown II

**Signature of Reporting Person

Date

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

^{**}Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).