

STREET WILLIAM M

Form 4

July 06, 2011

**FORM 4****UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
See Instruction  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

OMB  
Number: 3235-0287  
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response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
STREET WILLIAM M

2. Issuer Name **and** Ticker or Trading  
Symbol  
BROWN FORMAN CORP [BFA,  
BFB]

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

(Last) (First) (Middle)  
850 DIXIE HIGHWAY  
(Street)

3. Date of Earliest Transaction  
(Month/Day/Year)  
07/01/2011

☒ Director ☐ 10% Owner  
☐ Officer (give title below) ☐ Other (specify below)

LOUISVILLE, KY 40210

4. If Amendment, Date Original  
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
☒ Form filed by One Reporting Person  
☐ Form filed by More than One Reporting  
Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)				5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price			
Class A Common	07/01/2011		J <sup>(1)</sup>		7,256	D	\$ 0	559,782	I	Street FLP
Class A Common	07/01/2011		J <sup>(1)</sup>		7,175	A	\$ 0	7,175	I	GRAT
Class A Common	07/01/2011		J <sup>(1)</sup>		73	A	\$ 0	553,534	D	
Class A Common	07/01/2011		J <sup>(1)</sup>		8	A	\$ 0	24	I	By Spouse
Class A Common	07/01/2011		J <sup>(2)</sup>		7,175	D	\$ 0	0	I	GRAT

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Class A Common	07/01/2011	<u>J(2)</u>	7,175	A	\$ 0	560,709	D	
Class A Common						553,461	D	
Class A Common						552,276	I	Trust u/w of Daniel L. Street
Class B Common						289,916	D	
Class B Common						750	I	By Spouse

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Non-Qualified Stock Option (right to buy)	\$ 32.93					10/31/2003	04/30/2013	Class B Common	2,697
Non-Qualified Stock Option (right to buy)	\$ 36.35					07/22/2004	04/30/2014	Class B Common	3,009
Stock Appreciation Right	\$ 46.19					07/28/2005	04/30/2015	Class B Common	3,499
Stock Appreciation Right	\$ 56.5					07/27/2006	04/30/2016	Class B Common	2,980

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Stock Appreciation Right	\$ 54.58	07/26/2007	04/30/2017	Class B Common	3,702
Stock Appreciation Right	\$ 57.4	07/24/2008	04/30/2018	Class B Common	3,398
Stock Appreciation Right	\$ 43.72	07/23/2009	04/30/2019	Class B Common	4,175
Deferred Stock Units	<u>(3)</u>	<u>(4)</u>	<u>(4)</u>	Class B Common	985.38

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
STREET WILLIAM M 850 DIXIE HIGHWAY LOUISVILLE, KY 40210	X			

## Signatures

Diane M. Barhorst, Atty. in Fact for: William M.  
Street

07/06/2011

\*\*Signature of Reporting Person

Date \_\_\_\_\_

### Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents a prorata distribution of assets to its partners by the Street FLP, of which the reporting person is the sole general partner.
- (2) Represents quarterly GRAT distribution. The reporting person is the trustee and sole annuitant of the GRAT.
- (3) Each deferred stock unit represents a contingent right to receive one share of Brown-Forman Class B common stock.
- (4) The deferred stock units vest over the course of the Board year. Vested shares will be delivered to the reporting person on the first February 1st that is at least 6 months following the Director's termination from Board service.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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