

Forman Adam S
Form 3
January 11, 2019

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *

Forman Adam S

(Last) (First) (Middle)

1001 LOUISIANA STREET,
SUITE 1000

(Street)

HOUSTON, TX 77002

(City) (State) (Zip)

2. Date of Event Requiring Statement

(Month/Day/Year)

01/03/2019

3. Issuer Name and Ticker or Trading Symbol
KINDER MORGAN, INC. [KMI]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original Filed(Month/Day/Year)

(Check all applicable)

☐ Director ☐ 10% Owner

☒ Officer ☐ Other
(give title below) (specify below)

VP, Interim General Counsel

6. Individual or Joint/Group Filing(Check Applicable Line)

☒ Form filed by One Reporting Person

☐ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security
(Instr. 4)

2. Amount of Securities Beneficially Owned
(Instr. 4)

3. Ownership Form:
Direct (D)
or Indirect (I)
(Instr. 5)

4. Nature of Indirect Beneficial Ownership
(Instr. 5)

Class P Common Stock

440,738 ⁽¹⁾

D

À

Class P Common Stock

4,805

I

By 401(k)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security
(Instr. 4)

2. Date Exercisable and Expiration Date
(Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security
(Instr. 4)

4. Conversion or Exercise Price of

5. Ownership Form of Derivative

6. Nature of Indirect Beneficial Ownership
(Instr. 5)

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	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Derivative Security	Security: Direct (D) or Indirect (I) (Instr. 5)	
Restricted Stock Unit ⁽²⁾	Â ⁽³⁾	Â ⁽³⁾	Class P Common Stock	4,558	\$ ⁽²⁾	D	Â
Restricted Stock Unit ⁽²⁾	Â ⁽⁴⁾	Â ⁽⁴⁾	Class P Common Stock	5,129	\$ ⁽²⁾	D	Â
Restricted Stock Unit ⁽²⁾	Â ⁽⁵⁾	Â ⁽⁵⁾	Class P Common Stock	14,981	\$ ⁽²⁾	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Forman Adam S 1001 LOUISIANA STREET, SUITE 1000 HOUSTON, TX 77002	Â	Â	Â VP, Interim General Counsel	Â

Signatures

/s/ Adam S.
Forman

01/11/2019

^{**}Signature of
Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) Includes 44,025 shares subject to forfeiture restrictions that lapse on July 16, 2023.
- (2) Each restricted stock unit represents the right to receive, at settlement, one share of Class P Common Stock.
- (3) These restricted stock units are scheduled to vest on July 19, 2019.
- (4) These restricted stock units are scheduled to vest on July 18, 2020.
- (5) These restricted stock units are scheduled to vest on July 17, 2021.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.
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