Burstein Jennifer Form 4 December 12, 2018

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**OMB APPROVAL** OMB

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**SECURITIES** Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

obligations may continue. See Instruction

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Last)

(Print or Type Responses)

1. Name and Address of Reporting Person \* Burstein Jennifer

(First)

(Street)

2. Issuer Name and Ticker or Trading

Symbol

Loxo Oncology, Inc. [LOXO]

3. Date of Earliest Transaction

(Month/Day/Year) 12/10/2018

(Check all applicable)

5. Relationship of Reporting Person(s) to

Director 10% Owner X\_ Officer (give title

Other (specify

(Middle)

INC., ONE LANDMARK SQUARE, **SUITE 1122** 

4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Senior VP of Finance

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Issuer

below)

STAMFORD, CT 06901

C/O LOXO ONCOLOGY,

(City)	(State)	(Zip) Tab	le I - Non-E	Derivative	Secui	rities Acqu	ired, Disposed of	, or Beneficiall	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)  (A) or			5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
			Code V	Amount	(D)	Price	(Instr. 3 and 4)		
Common Stock	12/10/2018		M <u>(1)</u>	1,250	A	\$ 11.35	1,250	D	
Common Stock	12/10/2018		S <u>(1)</u>	100	D	\$ 130.79	1,150	D	
Common Stock	12/10/2018		S(1)	400 (2)	D	\$ 132.44 (3)	750	D	
Common Stock	12/10/2018		S(1)	100	D	\$ 133.34	650	D	
	12/10/2018		S <u>(1)</u>	550 (2)	D		100	D	

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Common Stock					\$ 134.71 (4)		
Common Stock	12/10/2018	S <u>(1)</u>	100	D	\$ 136.04	0	D
Common Stock	12/11/2018	M <u>(1)</u>	1,250	A	\$ 11.35	1,250	D
Common Stock	12/11/2018	S <u>(1)</u>	200 (2)	D	\$ 135.69 (5)	1,050	D
Common Stock	12/11/2018	S <u>(1)</u>	587 <u>(2)</u>	D	\$ 136.98 (6)	463	D
Common Stock	12/11/2018	S <u>(1)</u>	263 (2)	D	\$ 137.88 <u>(7)</u>	200	D
Common Stock	12/11/2018	S <u>(1)</u>	100	D	\$ 139.36	100	D
Common Stock	12/11/2018	S <u>(1)</u>	100	D	\$ 140.48	0	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number on Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	of Derivative Expiration Date Securities (Month/Day/Year) Acquired A) or Disposed of D) Instr. 3, 4,		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option (Right to	\$ 11.35	12/10/2018		M(1)	1,25	(8)	04/30/2025	Common Stock	1,250

Buy)

Employee

Stock

Common 04/30/2025 Option \$ 11.35 12/11/2018  $M^{(1)}$ 1.250 (8) 1.250 Stock (Right to

Buy)

## **Reporting Owners**

Relationships Reporting Owner Name / Address

> Director 10% Owner Officer Other

Burstein Jennifer

Senior VP C/O LOXO ONCOLOGY, INC. ONE LANDMARK SQUARE, SUITE 1122 of Finance STAMFORD, CT 06901

## **Signatures**

/s/Jennifer 12/12/2018 Burstein

\*\*Signature of Date

Reporting Person

## **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The transactions reported on this Form 4 were effected pursuant to a 10b5-1 trading plan adopted by the reporting person.
- (2) Represents the aggregate of sales effected on the same day at different prices.
- The reported price in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$132.20 to \$132.85 per share, inclusive. The reporting person undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon written request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- The reported price in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$134.40 to \$135.06 per share, inclusive. The reporting person undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon written request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- The reported price in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$135.28 to \$136.09 per share, inclusive. The reporting person undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon written request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- The reported price in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$136.45 to \$137.39 per share, inclusive. The reporting person undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon written request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- The reported price in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$137.50 to \$138.05 per share, inclusive. The reporting person undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon written request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- The stock option vests and becomes exercisable as to 25% of the shares subject to the option on the one-year anniversary of the Reporting Person's employment commencement date, May 1, 2015, and thereafter vests as to 1/48th of the shares in equal monthly installments.

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