TAYLOR KEITH D

Form 4

January 17, 2019

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading

EQUINIX INC [EQIX]

3. Date of Earliest Transaction

may continue. See Instruction

Check this box

if no longer

Section 16.

Form 4 or

obligations

Form 5

subject to

1(b).

(Last)

(Print or Type Responses)

1. Name and Address of Reporting Person * TAYLOR KEITH D

(First)

(Middle)

(Month/Day/Year) ONE LAGOON DRIVE 01/15/2019

> (Street) 4. If Amendment, Date Original

Symbol

Filed(Month/Day/Year)

OMB

Number:

Expires:

response...

Estimated average

burden hours per

OMB APPROVAL

3235-0287

January 31,

2005

0.5

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner X_ Officer (give title Other (specify below)

Chief Financial Officer

6. Individual or Joint/Group Filing(Check

Applicable Line)

X Form filed by One Reporting Person Form filed by More than One Reporting

Person

REDWOOD CITY, CA 94065

(City)	(State)	(Zip) Tab	ole I - Non-	Derivativ	e Seci	arities Acquir	ed, Disposed of,	or Beneficiall	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)		sed of 4 and (A) or		5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	01/15/2019		M	1,914	A	\$ 0	21,355	D	
Common Stock	01/15/2019		M	1,239	A	\$ 0	22,594	D	
Common Stock	01/15/2019		M	1,198	A	\$ 0	23,792	D	
Common Stock	01/15/2019		M	1,240	A	\$ 0	25,032	D	
Common Stock	01/16/2019		S <u>(1)</u>	1,335	D	\$ 380.3915 (2)	23,697	D	

Edgar Filing: TAYLOR KEITH D - Form 4

Common Stock	01/16/2019	S(1)	1,200	D	\$ 381.6017 (3)	22,497	D
Common Stock	01/16/2019	S <u>(1)</u>	250	D	\$ 382.766 (4)	22,247	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. Number of onDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Restricted Stock Units	\$ 0	01/15/2019		A	1,914		<u>(5)</u>	<u>(6)</u>	Common Stock	1,914
Restricted Stock Units	\$ 0	01/15/2019		M		1,914	<u>(5)</u>	<u>(6)</u>	Common Stock	1,914
Restricted Stock Units	\$ 0	01/15/2019		M		1,239	<u>(7)</u>	<u>(6)</u>	Common Stock	1,239
Restricted Stock Units	\$ 0	01/15/2019		M		1,198	(8)	<u>(6)</u>	Common Stock	1,198
Restricted Stock Units	\$ 0	01/15/2019		M		1,240	<u>(9)</u>	<u>(6)</u>	Common Stock	1,240

Reporting Owners

Reporting Owner Name / Address			Relationships	
	Director	10% Owner	Officer	Other

Reporting Owners 2

TAYLOR KEITH D ONE LAGOON DRIVE REDWOOD CITY, CA 94065

Chief Financial Officer

Signatures

Samantha Lagocki, POA 01/17/2019

**Signature of Reporting Date
Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares were sold pursuant to a 10b5-1 Trading Plan in order to raise funds to pay the required withholding tax pursuant to the vesting of RSUs.
- The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$380.0778 to \$381.02, inclusive. The reporting person undertakes to provide to Equinix, Inc, any security holder of Equinix Inc, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in footnotes 3 and 4 to this Form 4.
- (3) The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$381.15 to \$382.07 inclusive.
- The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$382.37 to \$383.29 inclusive.
- On February 11, 2016, the reporting person was granted performance restricted stock units, the vesting of which was subject to both continued service and the degree to which a relative Total Shareholder Return target was attained for the period January 1, 2016 to December 31, 2018. The Compensation Committee certified that the payout for this award would be 102.49% (out of a possible 200%) of the target to the reporting person based on the degree to which Equinix performed against the Russell 1000 Index.
- (6) Restricted stock unit award expires upon reporting person's termination of employment.
- Vesting is dependent upon continuous active service as an employee, consultant or director of the Company or a subsidiary of the (7) Company (Service) throughout the vesting period. The Restricted Stock Units shall vest as follows: 33.33% of the RSUs vesting on January 15, 2017 and an additional 33.33% of the RSUs vesting on January 15, 2018 and January 15, 2019.
- Vesting is dependent upon continuous active service as an employee, consultant or director of the Company or a subsidiary of the (8) Company (Service) throughout the vesting period. The Restricted Stock Units shall vest as follows: 33.33% of the award is scheduled to vest on January 15, 2018, with an additional 33.33% units scheduled to vest on each of January 15, 2019 and January 15, 2020.
- Vesting is dependent upon continuous active service as an employee, consultant or director of the Company or a subsidiary of the (9) Company (Service) throughout the vesting period. The Restricted Stock Units shall vest as follows: 33.33% of the RSUs vesting on January 15, 2019 and an additional 33.33% of the RSUs vesting on January 15, 2020 and January 15, 2021.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Signatures 3