

HSBC HOLDINGS PLC
Form 6-K
October 16, 2018

FORM 6-K

SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Report of Foreign Private Issuer

Pursuant to Rule 13a - 16 or 15d - 16 of
the Securities Exchange Act of 1934

For the month of October

HSBC Holdings plc

42nd Floor, 8 Canada Square, London E14 5HQ, England

(Indicate by check mark whether the registrant files or will file annual reports under cover of Form 20-F or Form 40-F).

Form 20-F ☒ Form 40-F

(Indicate by check mark whether the registrant by furnishing the information contained in this Form is also thereby furnishing the information to the Commission pursuant to Rule 12g3-2(b) under the Securities Exchange Act of 1934).

Yes ☐ No ☒

(If "Yes" is marked, indicate below the file number assigned to the registrant in connection with Rule 12g3-2(b): 82-).

TR-1: Standard form for notification of major holdings

NOTIFICATION OF MAJOR HOLDINGS (to be sent to the relevant issuer and to the FCA in Microsoft Word format if possible)i

1a. Identity of the issuer or the underlying issuer of existing shares to which voting rights are attachedii: HSBC Holdings PLC

1b. Please indicate if the issuer is a non-UK issuer (please mark with an "X" if appropriate)
Non-UK issuer ☐

Edgar Filing: HSBC HOLDINGS PLC - Form 6-K

2. Reason for the notification (please mark the appropriate box or boxes with an "X")

An acquisition or disposal of voting rights

X

An acquisition or disposal of financial instruments

An event changing the breakdown of voting rights

Other (please specify)iii:

3. Details of person subject to the notification obligationiv

Name

BlackRock, Inc.

City and country of registered office (if applicable)

Wilmington, DE, USA

4. Full name of shareholder(s) (if different from 3.)v

Name

City and country of registered office (if applicable)

5. Date on which the threshold was crossed or reachedvi:

15/10/2018

6. Date on which issuer notified (DD/MM/YYYY):

16/10/2018

7. Total positions of person(s) subject to the notification obligation

	% of voting rights attached to shares (total of 8. A)	% of voting rights through financial instruments (total of 8.B 1 + 8.B 2)	Total of both in % (8.A + 8.B)	Total number of voting rights of issuervii
Resulting situation on the date on which threshold was crossed or reached	6.00%	0.13%	6.14%	19,927,790,282
Position of previous notification (if applicable)	4.77%	1.36%	6.14%	

8. Notified details of the resulting situation on the date on which the threshold was crossed or reachedviii

A: Voting rights attached to shares

	Number of voting rightsix		% of voting rights	
Class/type of shares ISIN code (if possible)	Direct (Art 9 of Directive 2004/109/EC) (DTR5.1)	Indirect (Art 10 of Directive 2004/109/EC) (DTR5.2.1)	Direct (Art 9 of Directive 2004/109/EC) (DTR5.1)	Indirect (Art 10 of Directive 2004/109/EC) (DTR5.2.1)
GB0005405286		1,197,052,426		6.00%
SUBTOTAL 8. A	1,197,052,426		6.00%	

B 1: Financial Instruments according to Art. 13(1)(a) of Directive 2004/109/EC (DTR5.3.1.1 (a))

Type of financial instrument	Expirationdatex	Exercise/Conversion Periodxi	Number of voting rights that may be acquired if the instrument is exercised/converted.	% of voting rights
Securities Lending			15,426,574	0.07%
American Depository Receipt			854,210	0.00%
SUBTOTAL 8. B			116,280,784	0.08%

B 2: Financial Instruments with similar economic effect according to Art. 13(1)(b) of Directive 2004/109/EC (DTR5.3.1.1 (b))

Type of financial instrument	Expiration date x	Exercise/Conversion Period xi	Physical or cash settlement xii	Number of voting rights	% of voting rights
CFD			Cash	10,572,667	0.05%
			SUBTOTAL	10,572,667	0.05%
			8.B.2		

9. Information in relation to the person subject to the notification obligation (please mark the applicable box with an "X")

Person subject to the notification obligation is not controlled by any natural person or legal entity and does not control any other undertaking(s) holding directly or indirectly an interest in the (underlying) issuer xiii

Full chain of controlled undertakings through which the voting rights and/or the financial instruments are effectively held starting with the ultimate controlling natural person or legal entity xiv (please add additional rows as necessary) X

Name x v	% of voting rights if it equals or is higher than the notifiable threshold	% of voting rights through financial instruments if it equals or is higher than the notifiable threshold	Total of both if it equals or is higher than the notifiable threshold
See Attachment			

10. In case of proxy voting, please identify:

Name of the proxy holder

The number and % of voting rights held

The date until which the voting rights will be held

11. Additional information xvi

BlackRock Regulatory Threshold Reporting Team James Michael
020 7743 3650

Place of completion 12 Throgmorton Avenue, London, EC2N 2DL, U.K.

Date of completion 16 October, 2018

Section 9 Attachment

Name x v	% of voting rights if it equals or is higher than the notifiable threshold	% of voting rights through financial instruments if it equals or is higher than the notifiable threshold	Total of both if it equals or is higher than the notifiable threshold
----------	--	--	---

threshold

BlackRock, Inc.
BlackRock Holdco 2, Inc.
BlackRock Financial
Management, Inc.
BlackRock International
Holdings, Inc.
BR Jersey International
Holdings L.P.
BlackRock Holdco 3, LLC
BlackRock Canada Holdings
LP
BlackRock Canada Holdings
ULC
BlackRock Asset Management
Canada Limited

BlackRock, Inc.
BlackRock Holdco 2, Inc.
BlackRock Financial
Management, Inc.
BlackRock International
Holdings, Inc.
BR Jersey International
Holdings L.P.
BlackRock Holdco 3, LLC
BlackRock Cayman 1 LP
BlackRock Cayman West Bay
Finco Limited
BlackRock Cayman West Bay
IV Limited
BlackRock Group Limited
BlackRock Finance Europe
Limited
BlackRock Advisors (UK)
Limited

BlackRock, Inc.
BlackRock Holdco 2, Inc.
BlackRock Financial
Management, Inc.
BlackRock International
Holdings, Inc.
BR Jersey International
Holdings L.P.
BlackRock Holdco 3, LLC
BlackRock Cayman 1 LP
BlackRock Cayman West Bay
Finco Limited
BlackRock Cayman West Bay
IV Limited

BlackRock Group Limited
BlackRock Finance Europe
Limited
BlackRock Investment
Management (UK) Limited

BlackRock, Inc.
BlackRock Holdco 2, Inc.
BlackRock Financial
Management, Inc.
BlackRock International
Holdings, Inc.
BR Jersey International
Holdings L.P.
BlackRock Australia Holdco
Pty. Ltd.
BlackRock Investment
Management (Australia)
Limited

BlackRock, Inc.
BlackRock Holdco 2, Inc.
BlackRock Financial
Management, Inc.
BlackRock International
Holdings, Inc.
BR Jersey International
Holdings L.P.
BlackRock (Singapore) Holdco
Pte. Ltd.
BlackRock HK Holdco Limited
BlackRock Asset Management
North Asia Limited

BlackRock, Inc.
Trident Merger, LLC
BlackRock Investment
Management, LLC

BlackRock, Inc.
BlackRock Holdco 2, Inc.
BlackRock Financial
Management, Inc.
BlackRock Holdco 4, LLC
BlackRock Holdco 6, LLC
BlackRock Delaware Holdings
Inc.
BlackRock Institutional Trust
Company, National
Association

BlackRock, Inc.
BlackRock Holdco 2, Inc.
BlackRock Financial
Management, Inc.
BlackRock Holdco 4, LLC
BlackRock Holdco 6, LLC
BlackRock Delaware Holdings
Inc.
BlackRock Fund Advisors

BlackRock, Inc.
BlackRock Holdco 2, Inc.
BlackRock Financial
Management, Inc.
BlackRock International
Holdings, Inc.
BR Jersey International
Holdings L.P.
BlackRock (Singapore) Holdco
Pte. Ltd.
BlackRock HK Holdco Limited
BlackRock Lux Finco S.a.r.l.
BlackRock Trident Holding
Company Limited
BlackRock Japan Holdings GK
BlackRock Japan Co., Ltd.

BlackRock, Inc.
BlackRock Holdco 2, Inc.
BlackRock Financial
Management, Inc.
BlackRock Capital Holdings,
Inc.
BlackRock Advisors, LLC

BlackRock, Inc.
BlackRock Holdco 2, Inc.
BlackRock Financial
Management, Inc.

BlackRock, Inc.
BlackRock Holdco 2, Inc.
BlackRock Financial
Management, Inc.
BlackRock International
Holdings, Inc.
BR Jersey International
Holdings L.P.
BlackRock (Singapore) Holdco
Pte. Ltd.

BlackRock (Singapore)
Limited

BlackRock, Inc.
BlackRock Holdco 2, Inc.
BlackRock Financial
Management, Inc.
BlackRock International
Holdings, Inc.
BR Jersey International
Holdings L.P.
BlackRock Holdco 3, LLC
BlackRock Cayman 1 LP
BlackRock Cayman West Bay
Finco Limited
BlackRock Cayman West Bay
IV Limited
BlackRock Group Limited
BlackRock Finance Europe
Limited
BlackRock Investment
Management (UK) Limited
BlackRock Asset Management
Deutschland AG

BlackRock, Inc.
BlackRock Holdco 2, Inc.
BlackRock Financial
Management, Inc.
BlackRock International
Holdings, Inc.
BR Jersey International
Holdings L.P.
BlackRock Holdco 3, LLC
BlackRock Cayman 1 LP
BlackRock Cayman West Bay
Finco Limited
BlackRock Cayman West Bay
IV Limited
BlackRock Group Limited
BlackRock International
Limited

BlackRock, Inc.
BlackRock Holdco 2, Inc.
BlackRock Financial
Management, Inc.
BlackRock International
Holdings, Inc.
BR Jersey International
Holdings L.P.

BlackRock Holdco 3, LLC
BlackRock Cayman 1 LP
BlackRock Cayman West Bay
Finco Limited
BlackRock Cayman West Bay
IV Limited
BlackRock Group Limited
BlackRock Finance Europe
Limited
BlackRock (Netherlands) B.V.

BlackRock, Inc.
BlackRock Holdco 2, Inc.
BlackRock Financial
Management, Inc.
BlackRock International
Holdings, Inc.
BR Jersey International
Holdings L.P.
Phoenix Acquisition B.V.
Impulsora de Fondos, S.A. de
C.V., Sociedad Operadora de
Fondos de Inversión

SIGNATURE

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorized.

HSBC Holdings plc

By:

Name: Ben J S Mathews

Title: Group Company Secretary

Date: 16 October 2018