## Edgar Filing: Griffin Patrick J - Form 4

Griffin Patri	ck J										
Form 4	2010										
January 02, 2									OMB	APPROVAL	
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549									3235-0287		
Check th if no long subject to Section 1 Form 4 o Form 5 obligatio may cont	IENT OF CHA	F CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Section 16(a) of the Securities Exchange Act of 1934, Public Utility Holding Company Act of 1935 or Section							January 31 Expires: 2005 Estimated average burden hours per response 0.5		
See Instruction 1(b).		30(h) of the	Investm	ent	Compar	ny Ac	t of 1	940			
(Print or Type I	Responses)										
Griffin Patrick J Syn			2. Issuer Name <b>and</b> Ticker or Trading Symbol ESCALADE INC [ESCA]					5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
(Last)	(First) (I	Middle) 3. Dat	e of Earlie	st Tı	ransaction			(Cne	ck all applicat	ble)	
817 MAXW	ELL AVENUE		h/Day/Yea 8/2018	ar)				X Director X Officer (giv below)		0% Owner ther (specify	
			nendment, Date Original Ionth/Day/Year)					6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person			
EVANSVII	LLE, IN 47711							Form filed by Person	More than One	Reporting	
(City)	(State)	(Zip) T	able I - No	on-I	Derivative	Secur	ities A	cquired, Disposed o	of, or Benefic	ially Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)		n Date, if TransactionAcquired (A) or Code Disposed of (D)		)	Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I)	7. Nature of Indirect Beneficial Ownership (Instr. 4)			
-			Code	V	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)	(Instr. 4)		
Common Stock	12/28/2018		G	V	2,680 (1)	А	\$0	517,000.229	D		
Common Stock								1,278,465 <u>(2)</u>	I	Family Limited Partnership	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		Date	7. Titl Amou Under Secur (Instr.	int of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

## **Reporting Owners**

Reporting Owner Name / Address	Relationships							
	Director	10% Owner	Officer	Other				
Griffin Patrick J 817 MAXWELL AVENUE EVANSVILLE, IN 47711	Х	Х	Vice President					
Signatures								

/s/Patrick J. GRIFFIN	01/02/2019			
**Signature of	Date			

Reporting Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) On December 28, 2018, Mr. Griffin received 2,680 shares of Escalade common stock as a gift from his father, Mr. Robert Griffin.

Mr. Griffin disclaims beneficial ownership in shares held by the family limited partnership, except to the extent of his pecuniary interest(2) therein. All shares held by the family limited partnership are also deemed to be beneficially owned by Mr. Robert Griffin, his father, which shares are also included in Mr. Robert Griffin's Section 16 reports.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.