

Stein Michael Jason  
Form 3/A  
December 27, 2018

# FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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## INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*

Â Stein Michael Jason  
(Last) (First) (Middle)

C/O LIVE VENTURES,  
INC.,Â 325 EAST WARM  
SPRINGS ROAD, STE. 102

(Street)

LAS VEGAS,Â NVÂ 89119

(City) (State) (Zip)

2. Date of Event Requiring Statement

(Month/Day/Year)  
10/02/2017

3. Issuer Name and Ticker or Trading Symbol  
LIVE VENTURES Inc [LIVE]

4. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

\_\_\_\_ Director \_\_\_\_ 10% Owner  
\_\_X\_\_ Officer \_\_\_\_ Other  
(give title below) (specify below)  
Sr. VP, General Counsel

5. If Amendment, Date Original Filed(Month/Day/Year)  
10/02/2017

6. Individual or Joint/Group Filing(Check Applicable Line)  
\_\_X\_\_ Form filed by One Reporting Person  
\_\_\_\_ Form filed by More than One Reporting Person

### Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security  
(Instr. 4)

2. Amount of Securities Beneficially Owned  
(Instr. 4)

3. Ownership Form:  
Direct (D)  
or Indirect (I)  
(Instr. 5)

4. Nature of Indirect Beneficial Ownership  
(Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

### Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security  
(Instr. 4)

2. Date Exercisable and Expiration Date  
(Month/Day/Year)

Date Exercisable Expiration Date

3. Title and Amount of Securities Underlying Derivative Security  
(Instr. 4)

Title Amount or Number of

4. Conversion or Exercise Price of Derivative Security

5. Ownership Form of Derivative Security: Direct (D)

6. Nature of Indirect Beneficial Ownership  
(Instr. 5)

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				Shares		or Indirect (I) (Instr. 5)	
Stock Option	Â (1)	09/05/2027	Common Stock	4,000	\$ (1)	D	Â
Stock Option	Â (2)	09/05/2027	Common Stock	4,000	\$ (2)	D	Â
Stock Option	Â (3)	09/05/2027	Common Stock	4,000	\$ (3)	D	Â
Stock Option	Â (4)	09/05/2027	Common Stock	4,000	\$ (4)	D	Â
Stock Option	Â (5)	09/05/2027	Common Stock	4,000	\$ (5)	D	Â

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Stein Michael Jason C/O LIVE VENTURES, INC. 325 EAST WARM SPRINGS ROAD, STE. 102 LAS VEGAS, NV 89119	Â	Â	Â Sr. VP, General Counsel	Â

## Signatures

/s/ Michael Stein 12/27/2018

\*\*Signature of  
Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, see Instruction 5(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) Represents an option to purchase 4,000 shares of the Company's common stock at an exercise price of \$23.41 per share, all of which are fully vested.
- (2) Represents an option to purchase 4,000 shares of the Company's common stock at an exercise price of \$27.60 per share, all of which vest on September 5, 2019.
- (3) Represents an option to purchase 4,000 shares of the Company's common stock at an exercise price of \$31.74 per share, all of which vest on September 5, 2020.
- (4) Represents an option to purchase 4,000 shares of the Company's common stock at an exercise price of \$36.50 per share, all of which vest on September 5, 2021.
- (5) Represents an option to purchase 4,000 shares of the Company's common stock at an exercise price of \$41.9762 per share, all of which vest on September 5, 2022.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure.

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