SEI INVESTMENTS CO

Form 4

December 14, 2016

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

See Instruction

1(b).

Form 5

obligations

may continue.

(Print or Type Responses)

1. Name and Address of Reporting Person * Klauder N Jeffrey

5. Relationship of Reporting Person(s) to Issuer

Symbol

SEI INVESTMENTS CO [SEIC]

(Check all applicable)

(Last)

(First)

ONE FREEDOM VALLEY DRIVE

(Street)

(Middle)

3. Date of Earliest Transaction

Director

10% Owner

(Month/Day/Year)

12/13/2016

X_ Officer (give title Other (specify

below) EXECUTIVE VICE PRESIDENT

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check

Applicable Line)

Filed(Month/Day/Year)

X Form filed by One Reporting Person Form filed by More than One Reporting

(Instr. 4)

Person

OAKS, PA 19456

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)

2. Transaction Date 2A. Deemed (Month/Day/Year)

Execution Date, if

(Month/Day/Year)

3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 3, 4 and 5) (Instr. 8)

5. Amount of Securities Beneficially Owned Following

6. Ownership 7. Nature of Form: Direct Indirect (D) or Indirect Beneficial Ownership (I)

(Instr. 4)

(A) (Instr. 3 and 4)

Reported Transaction(s)

Code V Amount (D) Price

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of 3. Transaction Date 3A. Deemed 4. 5. Number of 6. Date Exercisable and 7. Title and Am Derivative Conversion (Month/Day/Year) Execution Date, if **Transaction**Derivative **Expiration Date** Underlying Sec Security or Exercise Code Securities (Month/Day/Year) (Instr. 3 and 4) any

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(Instr. 3) Price of (Month/Day/Year) (Instr. 8) Acquired (A)
Derivative or Disposed of Security (D)
(Instr. 3, 4, and 5)

Code V (A) (D) Date Exercisable Expiration Title

Date

STOCK OPTION (RIGHT TO \$ 49.63 12/13/2016 M

12/13/2023<u>(1)</u> 12/13/2026

OPTION (RIGHT TO PURCHASI

STOCK

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

25,000

Klauder N Jeffrey

PURCHASE)

ONE FREEDOM VALLEY DRIVE EXECUTIVE VICE PRESIDENT

OAKS, PA 19456

Signatures

RUTH MONTGOMERY (ATTORNEY IN FACT) 12/14/2016

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

COMPANY GRANT OF NON-QUALIFIED STOCK OPTIONS - 50% WILL VEST WHEN REPORTED, ADJUSTED EARNINGS

(1) PER SHARE EQUALS OR EXCEEDS \$2.40, 100% WILL VEST WHEN REPORTED, ADJUSTED EARNINGS PER SHARE EQUALS OR EXCEEDS \$3.30.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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