HUMANA INC Form 144 August 08, 2003

SECURIT	(OMB APPROVAL							
SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 FORM 144						OMB Number 3235-0101			
					Expires: August 31, 2003				
				bu ho	ırde ours	nated average on per nse2.0			
		LE OF SECURITIES			SI	EC USE ONLY			
PURSUANT TO RULE 144 UNDER THE SECURITIES ACT OF 1933 ATTENTION: Transmit for filing 3 copies of this form concurrently with either placing an order with a broker to execute sale or executing a sale					SI	DOCUMENT SEQUENCE NO.			
directly with a market maker						USIP UMBER			
						ORK OCATION			
Humana Inc.						b) IRS (c) S.E.C. DENT. FILE NO. NO. 1-5975 11-0647538			
1 (d) ADDRESS OF ISSUER S	STREET CITY STA	ATE ZIP CODE							
500 West Main Street, Louisville, KY 40202					(e) TELEPHONE				
A						NUMBERS 580-1000			
2(a) NAME OF PERSON FOR WHOSE ACCOUNT THE SECURITIES ARE TO BE SOLD R. Eugene Shields	(b) IRS IDENT. NO. N/A	(c) RELATIONSHIP TO ISSUER Senior Vice President	(d) ADDRESS ZIP CODE 500 W. Main S Louisville, KY	Street		CITY STATE			

Edgar Filing: HUMANA INC - Form 144

INSTRUCTION: The person filing this notice should contact the issuer to obtain the I.R.S. identification Number and the S.E.C. File Number

3 Title of the (a) Class of Securities To Be Sold	whom the	SEC USE ONL ker-De File Sumbe	(See Instr. 3	(d) Aggregate Market Value (See Instr. 3(d)	(e) Number of Shares or Other Units Outstanding (See Instr. 3(e))	(f) Approximate of Sale (See Instr. 3(f) MO. DAY YR.)	nee Name of Each Securities Exchange (See instr. 3 (g))		
Common	Hilliard-Lyons 4801 Olympia Park Plaza Suite 1000 Louisville, KY 40241		30,000	\$485,400 (Based on FMV on 08/06/03 of \$16.18)	161,860,829 as of 8/6/03	August 8, 2003	NYSE		

INSTRUCTIONS:

- 1. (a) Name of Issuer
- (b) Issuer's I.R.S. Identification Number
- (c) Issuer's S.E.C. file number, if any
- (d) Issuer's address, including zip
- (e) Issuer's telephone number, including area code

- 3. (a) Title of the class of securities to be sold
- (b) Name and Address of each broker through whom the securities are intended to be sold
- (c) Number of shares or other units to be sold (if debt securities, give the aggregate face amount)
- (d) Aggregate market value of the securities to be sold as of a specified date within 10 days prior to the filing of this notice
- (e) Number of shares or other units of the class outstanding, or if debt securities the face amount thereof outstanding, as shown by the most recent report or statement published by the issuer
 - (f) Approximate date on which the securities are to be sold
- (g) Name of each securities exchange, if any, on which the securities are intended to be sold

	(a) Name of person for whose	Ι	1
acco	ount the securities are to be sold		

Edgar Filing: HUMANA INC - Form 144

(b) Such person's I.R.S. identification number, if such person is an entity									
the issuer (stockholde family of a	(e.g., officer, r, or member ny of the fore uch person	elationship to director, 10% of immediate egoing s's address,							
meraanig z	пр соце								
Form 144									Page 1 of 2
		following informatio	n wi	h resp		quisition of the sec		for	
Title of the Class	Date you Acquired	Nature of Acquisition Transaction		Nam Pers W Acqu ift, a	e of the on from hom lired (if lso give donor puired	Amount of Securities Acquired	Date of Payment	Nature of Payment	
Common	8/7/03	Restricted Stock Award		Is	suer	150,000	8/7/03	N/A	
the table or abbligation, obligation was the second of the	curities were jin a note ther or if paymer as discharged	eto the nature on the was made in the language of the language ter the acquisiting the option to di	f th ins ist i	cortallnestall	sideration nents des ment paid securitie	n given. If the cribe the arra	consideration ngement and or whose according	consisted of a state when the	chase, explain in ny note or other ne note or other be sold had any 144, furnish full

TABLE II - SECURITIES SOLD DURING THE PAST 3 MONTHS (1) Furnish the following information as to all securities of the issuer sold During the past 3 months by the person for whose account the securities are to be sold. Name and Title of Date of Amount of Gross Proceeds Address of Securities Sold Sale Securities Sold Seller N/A **REMARKS: INSTRUCTIONS:** ATTENTION: See the definition of "person" in The person for whose account the securities paragraph (a) 9 of Rule 144. to which this notice relates are to be sold hereby represents by signing this notice that he does not know any material adverse Information is to be given not only as to the person for whose account the information in regard to the current and securities are to be sold but also as to prospective operations of the issuer of the all other persons included in that securities to be sold which has not been definition. In addition, information publicly disclosed. shall be given as to sales by all persons whose sales are required by paragraph (e) of Rule 144 to be aggregated with sales for the account of the person filing this notice. 8/8/03 /s/ R. Eugene Shields DATE OF NOTICE (SIGNATURE) R. EUGENE SHIELDS

This notice shall be signed by the person for whose account the securities are to be sold. At least one copy of the notice shall be manually signed.

Any copies not manually signed shall bear typed or printed signatures.

ATTENTION:

Intentional misstatements or omission of facts constitute

Federal Criminal Violations (See 18 U.S.C. 1001)