Edgar Filing: WELTY CLAUDIA SCOTT - Form 4

WELTY CLAUDIA SCOTT

Form 4

October 07, 2002

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

_ Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b). STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

Filed By Romeo & Dye's Instant Form 4 Filer www.section16.net

Name and Address of Reporting Person* Welty Claudia S.					me and Tic	eker or	I	6. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
(Last) (First) (Middle) 30 West Superior Street				rting	ntification I g Person, voluntary)	Numbe	N	0/7/02	Director		
(Street) Duluth, MN 55802							I	. If Amendment, Date of Original Month/Day/Year)	Technology 7. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person		
(City)	Т	able	I Non-E)erivat	ive S	Securities Acquired, Disposed of, or Beneficially Owned					
Title of Security	2. Trans- action	Zip) 2A. Deemed Execution Date, if any (Month/Day/ Year)	3. Trans	- lode	4. Securities (A) or Disp (Instr. 3, 4) Amount	es Acqu posed o	iired	5. Amount of Securities Beneficially	6. Owner- ship Form:	7. Nature of Indirect Beneficial	
Common Stock								8581.763 <u>(1</u>	<u>D</u>		
Common Stock								4777.613(2	I	By RSOP Trust	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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FORM 4 (continued) Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

(e.g., puts, calls, warrants, options, convertible securities)

			0/1								
1. Title of	2. Conver-	3.	3A.	4.	5.	6. Date Exercisable	7. Title and	8. Price of	9. Number of	10.	11. Nature
Derivative	sion or	Trans-	Deemed	Trans-	Number	and Expiration	Amount of	Derivative	Derivative	Owner-	of Indirect
Security	Exercise	action	Execution	action	of	Date	Underlying	Security	Securities	ship	Beneficial
	Price of	Date	Date,	Code	Derivati	(MeIonth/Day/	Securities	(Instr. 5)	Beneficially	Form	Ownership

^{*} If the form is filed by more than one reporting person, see Instruction 4(b)(v).

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1.	1	1	L.	I	1			L		1		I		1.	1
(Instr. 3)	Derivative		if any		S	ecu	ıriti	Y ear)		(Instr	: 3 & 4)	Owned	of Deriv-	(Instr. 4)	
	Security	(Month/	(Month/	(Instr.	Δ	cqi	uire	d				Following	ative		
		Day/	Day/	8)	(.	A) (or					Reported	Security:		
			Year)		`		ose	d				*	Direct		
						f (I						(Instr. 4)	(D)		
												,	or		
					(1	[nst	r.						Indirect		
					3	, 4	&						(I)		
					5)							(Instr. 4)		
				Code	V (.	A)	(D)	Date	Expira-	Title	Amount				
					ľ			Exer-cisable	-		or				
									Date		Number				
											of				
											Shares				I

Explanation of Responses:

(1) Includes shares acquired in exempt transactions under the dividend reinvestment features of ALLETE's stock purchase and dividend reinvestment and employee stock purchase plans. This information is based on plan statements as of September 30, 2002.

(2) Includes shares acquired in exempt transactions under ALLETE's retirement savings and stock ownership plan (RSOP). RSOP is the recent consolidation of ALLETE's supplemental retirement and employee stock ownership plans. This information is based on an RSOP statement as of September 30, 2002.

By: /s/ Ingrid Kane-Johnson
Ingrid Kane-Johnson f/k/a Ingrid L. Kane for
Claudia S. Welty
**Signature of Reporting Person

October 7, 2002

Date

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure.

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^{**}Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).