SARGENT RICHARD C

Form 5 April 09, 2019

OMB APPROVAL FORM 5

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

January 31, Expires: Estimated average burden hours per

response...

3235-0362

2005

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Number:

no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction

Check this box if

ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Form 3 Holdings Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

Reported 30(h) of the Investment Company Act of 1940 Form 4

Transactions Reported

1(b).

(City)

1. Name and Address of Reporting Person * 2. Issuer Name and Ticker or Trading 5. Relationship of Reporting Person(s) to Issuer SARGENT RICHARD C Symbol UNION BANKSHARES INC (Check all applicable) [UNB] (Middle) 3. Statement for Issuer's Fiscal Year Ended (Last) (First) Director _X__ 10% Owner Officer (give title Other (specify (Month/Day/Year) below) below) 12/31/2018 P O BOX 696 (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Reporting Filed(Month/Day/Year) (check applicable line)

MORRISVILLE, Â VTÂ 056610696

(State)

(Zin)

X Form Filed by One Reporting Person Form Filed by More than One Reporting Person

(C	Jity)	(State)	Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned							
1.Title Securit (Instr.	ty	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) (A) or Amount (D) Price		5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Comr		Â	Â	Â	Â	Â	Â	162,000	I	Trustee, Copley Fund
Comr		04/18/2018	Â	G	177	A	\$ 52.2	53,537	D	Â
Comr Stock		04/18/2018	Â	G	283	A	\$ 52.2	10,430.968	I	Wife, Heather Sargent
Comr		03/12/2018	Â	<u>J(1)</u>	4,546	D	\$ 53.5	277,358	I	Trustee & beneficiary,

Walter M. Sargent Revocable Trust

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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of 9.

D

В

(I

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

Deri Secu	itle of evative arity tr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	Amou Unde Secur	rlying	8. Price of Derivative Security (Instr. 5)
					(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	

Reporting Owners

Reporting Owner Name / Address	Relationships						
•	Director	10% Owner	Officer	Othe			
SARGENT RICHARD C P O BOX 696 MORRISVILLE, VT 056610696	Â	ÂX	Â	Â			

Signatures

/s/Richard C.
Sargent

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Trust distribution to beneficiary.

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Remarks:

12/31/2018 Total Shares 503,325,968

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, see Instruction 6 for procedure.

Reporting Owners 2

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