

WHANG MICHAEL

Form 3

January 12, 2018

**FORM 3****UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

OMB  
Number: 3235-0104Expires: January 31,  
2005Estimated average  
burden hours per  
response... 0.5**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF  
SECURITIES**Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting  
Person \*Â WHANG MICHAEL  
(Last) (First) (Middle)C/O AMTECH SYSTEMS,  
INC.,Â 131 S CLARK DRIVE

(Street)

TEMPE,Â AZÂ 85281

(City) (State) (Zip)

2. Date of Event Requiring  
Statement(Month/Day/Year)  
01/04/20183. Issuer Name **and** Ticker or Trading Symbol  
AMTECH SYSTEMS INC [ASYS]4. Relationship of Reporting  
Person(s) to Issuer5. If Amendment, Date Original  
Filed(Month/Day/Year)

(Check all applicable)

☐ Director ☐ 10% Owner  
☒ X Officer ☐ Other  
(give title below) (specify below)  
Vice President of Operations6. Individual or Joint/Group  
Filing(Check Applicable Line)  
☒ X Form filed by One Reporting  
Person  
☐ Form filed by More than One  
Reporting Person**Table I - Non-Derivative Securities Beneficially Owned**1. Title of Security  
(Instr. 4)2. Amount of Securities  
Beneficially Owned  
(Instr. 4)3. Ownership  
Form:  
Direct (D)  
or Indirect  
(I)  
(Instr. 5)4. Nature of Indirect Beneficial  
Ownership  
(Instr. 5)Reminder: Report on a separate line for each class of securities beneficially  
owned directly or indirectly.

SEC 1473 (7-02)

**Persons who respond to the collection of  
information contained in this form are not  
required to respond unless the form displays a  
currently valid OMB control number.****Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**1. Title of Derivative Security  
(Instr. 4)2. Date Exercisable and  
Expiration Date  
(Month/Day/Year)Date Expiration  
Exercisable Date3. Title and Amount of  
Securities Underlying  
Derivative Security  
(Instr. 4)Title Amount or  
Number of  
Shares4. Conversion  
or Exercise  
Price of  
Derivative  
Security5. Ownership  
Form of  
Derivative  
Security:  
Direct (D)  
or Indirect  
(I)6. Nature of Indirect  
Beneficial Ownership  
(Instr. 5)

(Instr. 5)

Amtech Systems, Inc. Employee Stock Option	Â <u>(1)</u>	08/06/2020	Amtech Systems, Inc. \$.01 par value common stock	1,000	\$ 10.64	D	Â
Amtech Systems, Inc. Employee Stock Option	Â <u>(2)</u>	11/12/2020	Amtech Systems, Inc. \$.01 par value common stock	1,000	\$ 17.12	D	Â
Amtech Systems, Inc. Employee Stock Option	Â <u>(3)</u>	12/12/2023	Amtech Systems, Inc. \$.01 par value common stock	5,000	\$ 7.01	D	Â
Amtech Systems, Inc. Employee Stock Option	Â <u>(4)</u>	11/19/2024	Amtech Systems, Inc. \$.01 par value common stock	5,000	\$ 9.98	D	Â
Amtech Systems, Inc. Employee Stock Option	Â <u>(5)</u>	10/04/2026	Amtech Systems, Inc. \$.01 par value common stock	7,500	\$ 4.87	D	Â

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
WHANG MICHAEL C/O AMTECH SYSTEMS, INC. 131 S CLARK DRIVE TEMPE, AZ 85281	Â	Â	Â Vice President of Operations	Â

## Signatures

/s/ Michael Whang 01/12/2018

\_\_Signature of  
Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) One-half of the stock option granted vested on each of the first two anniversaries of the 08/06/2010 grant date.
- (2) One-half of the stock option granted vested on each of the first two anniversaries of the 11/12/2010 grant date.
- (3) One-half of the stock option granted vested on each of the first two anniversaries of the 12/12/2013 grant date.
- (4) One-half of the stock option granted vested on each of the first two anniversaries of the 11/19/2014 grant date.
- (5) One-half of the stock option granted vests on each of the first two anniversaries of the 10/04/2016 grant date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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