OSHKOSH CORP

Form 4

September 17, 2014

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB 3235-0287

OMB APPROVAL

Number:

January 31,

2005

0.5

Expires: Estimated average

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response...

if no longer subject to Section 16. Form 4 or Form 5

Check this box

obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * Johnson James W.

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

(Last)

(City)

C/O OSHKOSH

(First)

(Middle)

(Zip)

OSHKOSH CORP [OSK]

3. Date of Earliest Transaction (Month/Day/Year) 09/15/2014

(Check all applicable)

Director X_ Officer (give title

10% Owner Other (specify

below)

Exec. VP & Pres., Fire & Emerg

STREET

CORPORATION, 2307 OREGON

(Street)

(State)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

X Form filed by One Reporting Person Form filed by More than One Reporting

Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

OSHKOSH, WI 54902

| | | 140 | ic i itoli | Dellianie | cuiiii | .s ricquii | cu, Disposeu oi, | or benefician, | y Owned |
|------------------------|--------------------------------------|-------------------------------|------------------|-----------------|-----------|-------------|-------------------------|-----------------|-----------------------|
| 1.Title of Security | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if | 3. Transactio | 4. Securities a | • | red (A) | 5. Amount of Securities | 6. Ownership | 7. Nature of Indirect |
| (Instr. 3) | | any | Code | (Instr. 3, 4 an | d 5) | | Beneficially | Form: | Beneficial |
| | | (Month/Day/Year) | (Instr. 8) | | | | Owned | Direct (D) | Ownership |
| | | | | | | | Following | or Indirect | (Instr. 4) |
| | | | | | (4) | | Reported | (I) | |
| | | | | | (A) | | Transaction(s) | (Instr. 4) | |
| | | | Code V | Amount | or (D) | Price | (Instr. 3 and 4) | | |
| Common Stock | 09/16/2014 | | M | 1,449.459 | A | <u>(1)</u> | 21,163.949 | D | |
| Common Stock | 09/16/2014 | | F | 509 | D | \$ 46.72 | 20,687.599 (2) | D | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transactio Code (Instr. 8) | Acquired Disposed | ve Securities d (A) or | 6. Date Exercis Expiration Dat (Month/Day/Y | e | 7. Title and Ar Underlying Se (Instr. 3 and 4) |
|---|---|--------------------------------------|---|--|----------------------|---------------------------|---|-----------------|--|
| | | | | $C \perp V$ | (| (D) | Date Exercisable | Expiration Date | Title I |
| Options (3) | \$ 46.94 | 09/15/2014 | | Code V A | (A) 9,100 | (D) | <u>(4)</u> | 09/15/2021 | Common Stock |
| Restricted Stock Units | (1) | 09/15/2014 | | A | 4,350 | | <u>(5)</u> | <u>(5)</u> | Common Stock |
| Restricted Stock Units | <u>(1)</u> | 09/16/2014 | | M | | 1,449.459 | 09/16/2014 | <u>(6)</u> | Common Stock |

Reporting Owners

| Reporting Owner Name / Address | | | Relationships | |
|--------------------------------|----------|-----------|---------------|-------|
| | Director | 10% Owner | Officer | Other |

Johnson James W. C/O OSHKOSH CORPORATION 2307 OREGON STREET OSHKOSH, WI 54902

Exec. VP & Pres., Fire & Emerg

Signatures

Bryan J. Blankfield, for James W. 09/17/2014 Johnson

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each restricted stock unit represents a contingent right to receive one share of OSK common stock.
 - Amount includes a total of 443.14 shares acquired under the Oshkosh Corporation Employee Stock Purchase Plan through 9/17/2014.
- (2) Between 2/22/2014 and 9/17/2014, the reporting person acquired 32.65 shares under the Oshkosh Corporation Employee Stock Purchase Plan.
- (3) Option (right to buy) granted pursuant to the Company's Stock Plan.
- (4) Options vest in one-third (1/3) annual increments commencing on 9/15/2015.
- (5) Restricted Stock Unit Award vests in one-third (1/3) annual increments commencing on 9/15/2015.
- (6) Restricted Stock Unit Award vests in one-third (1/3) annual increments commencing on 9/16/2014.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Reporting Owners 2

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