

STAPLES INC

Form 3

February 11, 2014

FORM 3UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0104

Expires: January 31, 2005

Estimated average burden hours per response... 0.5

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIESFiled pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting
Person *

Â GOODMAN SHIRA

(Last)

(First)

(Middle)

2. Date of Event Requiring
Statement

(Month/Day/Year)

02/02/2014

3. Issuer Name **and** Ticker or Trading Symbol
STAPLES INC [SPLS]4. Relationship of Reporting
Person(s) to Issuer5. If Amendment, Date Original
Filed(Month/Day/Year)FIVE HUNDRED STAPLES
DRIVE

(Street)

(Check all applicable)

☐ Director ☐ 10% Owner
☒ Officer ☐ Other
(give title below) (specify below)

President, NAC

6. Individual or Joint/Group
Filing(Check Applicable Line)
☒ Form filed by One Reporting
Person
☐ Form filed by More than One
Reporting Person

FRAMINGHAM,Â MAÂ 01702

(City)

(State)

(Zip)

Table I - Non-Derivative Securities Beneficially Owned1. Title of Security
(Instr. 4)2. Amount of Securities
Beneficially Owned
(Instr. 4)3. Ownership
Form:
Direct (D)
or Indirect
(I)
(Instr. 5)4. Nature of Indirect Beneficial
Ownership
(Instr. 5)

Common Stock

72,986

D

Â

Common Stock

70,347

I

Shira D Goodman Trust

Reminder: Report on a separate line for each class of securities beneficially
owned directly or indirectly.

SEC 1473 (7-02)

**Persons who respond to the collection of
information contained in this form are not
required to respond unless the form displays a
currently valid OMB control number.****Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**1. Title of Derivative
Security
(Instr. 4)2. Date Exercisable and
Expiration Date
(Month/Day/Year)3. Title and Amount of
Securities Underlying
Derivative Security
(Instr. 4)4. Conversion
or Exercise
Price of5. Ownership
Form of
Derivative6. Nature of Indirect
Beneficial
Ownership
(Instr. 5)

Edgar Filing: STAPLES INC - Form 3

	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Derivative Security	Security: Direct (D) or Indirect (I) (Instr. 5)	
Option to Purchase	07/01/2005 ⁽¹⁾	07/01/2014	Common Stock	30,000	\$ 19.12	D	Â
Option to Purchase	06/30/2006 ⁽¹⁾	06/30/2015	Common Stock	30,000	\$ 21.29	D	Â
Option to Purchase	07/03/2007 ⁽¹⁾	07/03/2016	Common Stock	99,627	\$ 24.5	D	Â
Option to Purchase	07/02/2008 ⁽¹⁾	07/02/2017	Common Stock	103,095	\$ 24.42	D	Â
Option to Purchase	07/01/2009 ⁽¹⁾	07/01/2018	Common Stock	111,651	\$ 24.3	D	Â
Option to Purchase	07/01/2010 ⁽¹⁾	07/01/2019	Common Stock	120,061	\$ 20.12	D	Â
Option to Purchase	07/01/2011 ⁽¹⁾	07/01/2020	Common Stock	119,353	\$ 19.27	D	Â
Option to Purchase	07/01/2012 ⁽¹⁾	07/01/2021	Common Stock	159,551	\$ 15.93	D	Â
Option to Purchase	07/02/2013 ⁽¹⁾	07/02/2022	Common Stock	155,262	\$ 13.03	D	Â
Phantom Stock Unit 401(k)	Â ⁽²⁾	Â ⁽²⁾	Common Stock	721.27	\$ ⁽³⁾	D	Â
Phantom Stock Unit SERP	Â ⁽²⁾	Â ⁽²⁾	Common Stock	496.88	\$ ⁽⁴⁾	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
GOODMAN SHIRA FIVE HUNDRED STAPLES DRIVE FRAMINGHAM, MA 01702	Â	Â	Â President, NAC	Â

Signatures

Michael Williams,
attorney-in-fact

02/11/2014

 Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Four Year Vesting: Options exercisable in four annual installments beginning on date stated.

(2) See Table II, Column 2

(3) Phantom Stock Units are held in a 401(k) and are payable in cash following the reporting person's termination of employment. Number of underlying shares of common stock are based on February 6, 2014 account statement.

(4) Phantom Stock Units are held in a SERP and are payable in cash following the reporting person's termination of employment. Number of underlying shares of common stock are based on February 6, 2014 account statement.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.