

STAPLES INC
Form 4
March 07, 2014

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
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(Print or Type Responses)

1. Name and Address of Reporting Person *
SARGENT RONALD

(Last) (First) (Middle)

**FIVE HUNDRED STAPLES
DRIVE**

(Street)

FRAMINGHAM, MA 01702

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol
STAPLES INC [SPLS]

3. Date of Earliest Transaction
(Month/Day/Year)
07/03/2013

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☒ Director ☐ 10% Owner
☒ Officer (give title below) ☐ Other (specify
below)

Chairman and CEO

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price
Common Stock					43,577	I	Sargent Family Inv. LLC
Common Stock					19,313	I	Jill Sargent Irr. TR
Common Stock					19,313	I	Ronald L. Sargent Irr. TR
Common Stock					619,174	I	Sargent Partners

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Common Stock						125,104	I	LLC Ronald L. Sargent GRAT 2011
Common Stock	07/03/2013	J ⁽¹⁾	1,088	D	\$ 0	606,087	D	
Common Stock	07/03/2013	J ⁽¹⁾	1,088	A	\$ 0	773,773	I	Ronald L. Sargent Rev TR
Common Stock	01/02/2014	J ⁽¹⁾	779	D	\$ 0	605,308	D	
Common Stock	01/02/2014	J ⁽¹⁾	779	A	\$ 0	774,552	I	Ronald L. Sargent Rev TR
Common Stock	03/05/2014	F	15,396	D	\$ 0	589,912	D	
Common Stock	03/05/2014	J ⁽¹⁾	31,901	D	\$ 0	558,011 ⁽²⁾	D	
Common Stock	03/05/2014	J ⁽¹⁾	31,901	A	\$ 0	806,453	I	Ronald L. Sargent Rev TR

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares

Phantom Stock Unit 401(k)	(3)	(4)	(4)	Common Stock	4,404
Phantom Stock Unit SERP	(5)	(4)	(4)	Common Stock	8,668

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
SARGENT RONALD FIVE HUNDRED STAPLES DRIVE FRAMINGHAM, MA 01702	X		Chairman and CEO	

Signatures

Michael Williams -
attorney-in-fact

03/07/2014

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Transfer from a direct holding to an indirect holding.
- (2) ESPP - Adjusted to reflect additional shares acquired through reporting person's participation in Staples Employee Stock Purchase Plan.
- (3) Phantom Stock Units are held in a 401(k) and are payable in cash following the reporting person's termination of employment. Number of underlying shares of common stock are based on March 5, 2014 account statement.
- (4) See Table II, Column 2
- (5) Phantom Stock Units are held in a SERP and are payable in cash following the reporting person's termination of employment. No of underlying shares of common stock are based on March 5, 2014 account statement.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.