WEBB MAXIM C W Form 4

December 04, 2018

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5

obligations

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

may continue. See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \*

WEBB MAXIM C W

(Last) (First)

(Middle)

(Zip)

3480 GS RICHARDS BLVD, SUITE 101

(City)

Common

Stock

(Street)

(State)

11/30/2018

2. Issuer Name and Ticker or Trading

Symbol

PICO HOLDINGS INC /NEW [PICO]

3. Date of Earliest Transaction

(Month/Day/Year) 11/30/2018

4. If Amendment, Date Original

Filed(Month/Day/Year)

**OMB APPROVAL** 

OMB 3235-0287 Number:

January 31, Expires: 2005

Estimated average burden hours per

response...

0.5

5. Relationship of Reporting Person(s) to

Issuer

(Check all applicable)

\_X\_\_ Director 10% Owner \_X\_ Other (specify Officer (give title

below) below)

**Executive Chairman** 

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

CARSON CITY, NV 89703

1. Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if (Instr. 3)

(Month/Day/Year)

Code (D) (Instr. 8)

Transaction(A) or Disposed of (Instr. 3, 4 and 5) (A)

or

Securities Beneficially Owned Following Reported

Transaction(s) (Instr. 3 and 4)

Code V Amount (D) Price F 3.214 D

4. Securities Acquired 5. Amount of

85,775

6. Ownership 7. Nature of Form: Direct Indirect (D) or Beneficial Ownership Indirect (I) (Instr. 4) (Instr. 4)

D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

#### Edgar Filing: WEBB MAXIM C W - Form 4

| 1. Title of                          | 2.  | 3. Transaction Date |   | 4.                               | 5.  | 6. Date Exerc       |                    | 7. Title a                                   |           | 8. Price of                          | 9. Nu   |
|--------------------------------------|---|---------------------|---|----------------------------------|---|---------------------|--------------------|--|-----------|--------------------------------------|---|
| Derivative<br>Security<br>(Instr. 3) | Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | (Month/Day/Year)    | Execution Date, if<br>any<br>(Month/Day/Year) | Transactic<br>Code<br>(Instr. 8) | orNumber Expiration Date of (Month/Day/Year) Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) |                     |                    | Amount<br>Underlyi<br>Securitie<br>(Instr. 3 | ing<br>es | Derivative<br>Security<br>(Instr. 5) | Deriv<br>Secur<br>Bene<br>Own<br>Follo<br>Repo<br>Trans<br>(Instr |
|                                      |   |                     |   | Code V                           | (A) (D)   | Date<br>Exercisable | Expiration<br>Date | or<br>Title N<br>of                          | umber     |                                      |   |

## **Reporting Owners**

| Reporting Owner Name / Address  | Relationships |           |         |                    |  |  |  |
|---|---------------|-----------|---------|--------------------|--|--|--|
| Topolonia o Hinor Humo / Humoss   | Director      | 10% Owner | Officer | Other              |  |  |  |
| WEBB MAXIM C W<br>3480 GS RICHARDS BLVD<br>SUITE 101<br>CARSON CITY, NV 89703 | X             |           |         | Executive Chairman |  |  |  |

### **Signatures**

Maxim C.W.
Webb

\*\*Signature of Reporting Person

Date

#### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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