

Edgar Filing: SANFILIPPO JOHN B & SON INC - Form 4

SANFILIPPO JOHN B & SON INC

Form 4

December 03, 2002

U.S. SECURITIES AND EXCHANGE COMMISSION  
Washington, DC 20549

FORM 4

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or  
Section 30(h) of the Investment Company Act of 1940

Check box if no longer subject to Section 16. Form 4 or Form 5 obligations  
may continue. See Instruction 1(b).

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1. Name and Address of Reporting Person\*

|                                       |         |          |
|---------------------------------------|---------|----------|
| Donovan                               | Timothy | R.       |
| (Last)                                | (First) | (Middle) |
| c/o Tenneco Automotive, Inc.          |         |          |
| 500 N. Field Drive                    |         |          |
| (Street)                              |         |          |
| Lake Forest                           | IL      | 60045    |
| (City)                                | (State) | (Zip)    |
| John B. Sanfilippo & Son, Inc. (JBSS) |         |          |

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2. Issuer Name and Ticker or Trading Symbol

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3. IRS Identification Number of Reporting Person, if an Entity (Voluntary)

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4. Statement for Month/Day/Year

December 2, 2002

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5. If Amendment, Date of Original (Month/Day/Year)

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6. Relationship of Reporting Person(s) to Issuer  
(Check all applicable)

|   |  |
|---|--|
| <input checked="" type="checkbox"/> Director        | <input type="checkbox"/> 10% Owner             |
| <input type="checkbox"/> Officer (give title below) | <input type="checkbox"/> Other (specify below) |

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7. Individual or Joint/Group Filing (Check applicable line)

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|--|
| <input checked="" type="checkbox"/> Form filed by one Reporting Person |
| <input type="checkbox"/> Form filed by more than one Reporting Person  |

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Table I -- Non-Derivative Securities Acquired, Disposed of,  
or Beneficially Owned

| 1.<br>Title of Security<br>(Instr. 3) | 2.<br>Transaction<br>Date<br>(mm/dd/yy) | 2A.<br>Deemed<br>Execution<br>Date, if any<br>(mm/dd/yy) | 3.<br>Transaction<br>Code<br>(Instr. 8) |   | 4.<br>Securities Acquired (A) or<br>Disposed of (D)<br>(Instr. 3, 4 and 5) |           |
|---------------------------------------|---|--|---|---|--|-----------|
|                                       |   |  | Code                                    | V | Amount   | or<br>(D) |
| Common Stock (3)                      | 12/02/02                                |  | J                                       |   | 87,656   | D         |
| Common Stock (3)                      |   |  |   |   |  |           |
| Common Stock (2) (3)                  |   |  |   |   |  |           |

\* If the Form is filed by more than one Reporting Person, see Instruction 4(b)(v).

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

(Over)

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FORM 4 (continued)

Table II -- Derivative Securities Acquired, Disposed of, or Beneficially Owned  
(e.g., puts, calls, warrants, options, convertible securities)

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| 1.<br>Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conver-<br>sion<br>or<br>Exer-<br>cise<br>Price<br>of<br>Deriv-<br>ative<br>Secur-<br>ity | 3.<br>Trans-<br>action<br>Date<br>(Month/<br>Day/<br>Year) | 3A.<br>Execu-<br>tion<br>Date,<br>if any<br>(Month<br>/Day/<br>Year) | 4.<br>Trans-<br>action<br>Code<br>(Instr.<br>8)<br>-----<br>Code V | 5.<br>Number of<br>Derivative<br>Securities<br>Acquired (A)<br>or Disposed<br>of (D)<br>(Instr. 3,<br>4 and 5)<br>-----<br>(A)    (D) | 6.<br>Date<br>Exercisable and<br>Expiration Date<br>(Month/Day/Year)<br>-----<br>Date    Expira-<br>tion<br>Date | 7.<br>Title and Amount<br>of Underlying<br>Securities<br>(Instr. 3 and 4)<br>-----<br>Amount<br>or<br>Number<br>of<br>Shares | 8.<br>P<br>o<br>D<br>a<br>S<br>i<br>(<br>5 |
|--|---|--|--|--|---|--|--|--|
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Explanation of Responses:  
Code "J" - Shares held by Reporting Person's spouse, Elaine Karacic as trustee of 4 trusts, the beneficiaries of which are herself and her 3 siblings. Elaine Karacic is dissolving 2 trusts and transferring 87,656 shares into the names of the trust beneficiaries as follows: Joe Karacic 43,828 shares, and Elaine Karacic 43,828 shares. A total of 77,056 shares will remain in two trusts, Elaine Karacic as trustee,

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the beneficiaries of which are her two siblings, Greg Karacic (34,628 shares) and Rosanne Karacic (42,428 shares).

(1) Shares held by Reporting Person's spouse, Elaine Karacic as trustee of certain trusts, the beneficiaries of which are her two siblings, Roseanne Karacic and Greg Karacic.

(2) Shares held by Reporting Person's spouse, Elaine Karacic, as trustee of certain trusts, the beneficiaries of which are the children of Elaine Karacic and Timothy Donovan; Maggie Donovan, Lukas Donovan, Kara Donovan, Jack Donovan, and Anne Donovan.

(3) This filing shall not be deemed an admission that the Reporting Person is, for purposes of Section 16 of the Securities Exchange Act of 1934 or otherwise, the beneficial owner of such shares, Mr. Donovan disclaims beneficial ownership of any of these shares.

/s/Linda Crowley

12/2/02

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\*\*Signature of Reporting Person

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Date

By: Linda Crowley, as attorney-in-fact for Timothy R. Donovan per Power of Attorney dated February 1, 2001. Original on file with the SEC.

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations.

See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, see Instruction 6 for procedure.

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