#### Edgar Filing: OCONNELL DANIEL S - Form 3/A

#### OCONNELL DANIEL S

Form 3/A August 25, 2015

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting

Person \*

NMH Investment, LLC

C/O VESTAR CAPITAL

PARTNERS, 245 PARK **AVENUE, 41ST FLOOR** 

(Last)

(First)

(Street)

(Middle)

Statement

(Month/Day/Year)

09/16/2014

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

Civitas Solutions, Inc. [CIVI]

4. Relationship of Reporting

Person(s) to Issuer

5. If Amendment, Date Original

Filed(Month/Day/Year)

09/16/2014

(Check all applicable)

Director Officer

\_X\_ 10% Owner Other (give title below) (specify below)

6. Individual or Joint/Group

Filing(Check Applicable Line) Form filed by One Reporting

Person

X Form filed by More than One

Reporting Person

NEW YORK. NYÂ 10167

(City) (State)

1. Title of Security (Instr. 4)

(Zip)

Table I - Non-Derivative Securities Beneficially Owned

2. Amount of Securities Beneficially Owned

(Instr. 4)

3.

Ownership

4. Nature of Indirect Beneficial

Ownership Form: (Instr. 5)

Direct (D) or Indirect (I)

(Instr. 5)

Common Stock  $25,250,000 \stackrel{(1)}{=} \stackrel{(2)}{=}$  $I^{(1)}(2)$ See footnote  $\frac{(1)}{(2)}$ 

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

**Expiration Date** (Month/Day/Year)

2. Date Exercisable and 3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)

4. Conversion or Exercise

5. Ownership Form of

6. Nature of Indirect Beneficial Ownership (Instr. 5)

Price of Derivative Derivative Security:

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Date Expiration Title Amount or Security Direct (D)

Exercisable Date Number of Shares (I)

(Instr. 5)

# **Reporting Owners**

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
NMH Investment, LLC C/O VESTAR CAPITAL PARTNERS 245 PARK AVENUE, 41ST FLOOR NEW YORK, NY 10167	Â	ÂX	Â	Â
Vestar Capital Partners V L P C/O VESTAR CAPITAL PARTNERS 245 PARK AVENUE, 41ST FLOOR NEW YORK, NY 10167	Â	ÂX	Â	Â
Vestar Capital Partners V A L P C/O VESTAR CAPITAL PARTNERS 245 PARK AVENUE, 41ST FLOOR NEW YORK, NY 10167	Â	ÂX	Â	Â
Vestar Capital Partners V-B LP C/O VESTAR CAPITAL PARTNERS 245 PARK AVENUE, 41ST FLOOR NEW YORK, NY 10167	Â	ÂX	Â	Â
Vestar/NMH Investors, LLC C/O VESTAR CAPITAL PARTNERS 245 PARK AVENUE, 41ST FLOOR NEW YORK, NY 10167	Â	ÂX	Â	Â
Vestar Executives V LP C/O VESTAR CAPITAL PARTNERS 245 PARK AVENUE, 41ST FLOOR NEW YORK, NY 10167	Â	ÂΧ	Â	Â
Vestar Co-Invest V, L.P. C/O VESTAR CAPITAL PARTNERS 245 PARK AVENUE, 41ST FLOOR NEW YORK, NY 10167	Â	ÂX	Â	Â
VESTAR ASSOCIATES V, L.P. C/O VESTAR CAPITAL PARTNERS 245 PARK AVENUE, 41ST FLOOR NEW YORK, NY 10167	Â	ÂX	Â	Â
VESTAR MANAGERS V LTD. C/O VESTAR CAPITAL PARTNERS 245 PARK AVENUE, 41ST FLOOR NEW YORK, NY 10167	Â	ÂX	Â	Â

Reporting Owners 2

OCONNELL DANIEL S C/O VESTAR CAPITAL PARTNERS 245 PARK AVENUE, 41ST FLOOR NEW YORK. NYÂ 10167

### Â X Â Â

### **Signatures**

NMH Investment, LLC, by /s/ Steven Della Rocca, by power of attorney		
**Signature of Reporting Person		
Vestar Capital Partners V, L.P., by /s/ Steven Della Rocca, by power of attorney		
**Signature of Reporting Person	Date	
Vestar Capital Partners V-A, L.P., by /s/ Steven Della Rocca, by power of attorney		
**Signature of Reporting Person	Date	
Vestar Capital Partners V-B, L.P., by /s/ Steven Della Rocca, by power of attorney	08/25/2015	
**Signature of Reporting Person	Date	
Vestar/NMH Investors, LLC, by /s/ Steven Della Rocca, by power of attorney		
**Signature of Reporting Person	Date	
Vestar Executives V, L.P., by /s/ Steven Della Rocca, by power of attorney		
**Signature of Reporting Person	Date	
Vestar Co-Invest V, L.P., by /s/ Steven Della Rocca, by power of attorney		
**Signature of Reporting Person	Date	
Vestar Associates V, L.P., by /s/ Steven Della Rocca, by power of attorney		
**Signature of Reporting Person	Date	
Vestar Managers V Ltd., by /s/ Steven Della Rocca, by power of attorney		
**Signature of Reporting Person	Date	
Daniel S. O'Connell, by /s/ Steven Della Rocca, by power of attorney		
**Signature of Reporting Person	Date	

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
  - These shares are held directly by NMH Investment, LLC ("NMH Investment"). Vestar Capital Partners V, L.P. (the "Fund"), Vestar Capital Partners V-A,L.P. ("Fund V-A"), Vestar Capital Partners V-B, L.P. ("Fund V-B") and Vestar/NMH Investors, LLC ("Vestar/NMH Investors") are members of NMH Investment that have the power to appoint a majority of the members of the management committee of NMH Investment and as a result have the power to direct the management of NMH Investment's business,
- including the power to direct decisions of NMH Investment regarding the vote and disposition of securities held by NMH Investment.

  The Fund is the managing member of Vestar/NMH Investors, and Vestar Executives V, L.P. ("Vestar Executives V") and Vestar Co-Invest V, L.P. ("Vestar Co-Invest V") are members of Vestar/NMH Investors. Vestar Associates V, L.P. ("Vestar Associates V") is the general partner of the Fund, Fund V-A, Fund V-B and Vestar Executives V.
- (2) (Continued from Footnote 1) Vestar Managers V, Ltd. ("VMV") is the general partner of Vestar Associates V and Vestar Co-Invest V. Daniel S. O'Connell is the sole director of VMV. As a result of these relationships, each of the Fund, Fund V-A, Fund V-B, Vestar/NMH Investors, Vestar Executives V, Vestar Co-Invest V, Vestar Associates V, VMV and Mr. O'Connell may be deemed to have beneficial ownership of the shares held by NMH Investment. Each of the Fund, Fund V-A, Fund V-B, Vestar/NMH Investors,

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Vestar Executives V, Vestar Co-Invest V, Vestar Associates V, VMV and Mr. O'Connell disclaims beneficial ownership of the securities beneficially owned by NMH Investment except to the extent of its or his respective pecuniary interest therein.

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#### **Remarks:**

This Form 3/A amends the Form 3 filing made by NMH Investment, LLC on September 16, 2014

### Exhibit List - Exhibit 24.1 - Power of Attorney

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.