

EASTMAN CHEMICAL CO

Form 3

July 07, 2016

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *

BOLDEA LUCIAN

(Last) (First) (Middle)

200 S. WILCOX DRIVE

(Street)

KINGSPORT, TN 37660

(City) (State) (Zip)

2. Date of Event Requiring Statement

(Month/Day/Year)

07/01/2016

3. Issuer Name and Ticker or Trading Symbol
EASTMAN CHEMICAL CO [EMN]

4. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

☐ Director ☐ 10% Owner
☒ Officer ☐ Other
(give title below) (specify below)
Senior Vice President

5. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Beneficially Owned1. Title of Security
(Instr. 4)2. Amount of Securities Beneficially Owned
(Instr. 4)3. Ownership Form:
Direct (D)
or Indirect (I)
(Instr. 5)4. Nature of Indirect Beneficial Ownership
(Instr. 5)

Common Stock

694

D B

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)1. Title of Derivative Security
(Instr. 4)2. Date Exercisable and Expiration Date
(Month/Day/Year)

Date Exercisable Expiration Date

3. Title and Amount of Securities Underlying Derivative Security
(Instr. 4)

Title Amount or Number of

4. Conversion or Exercise Price of Derivative Security

5. Ownership Form of Derivative Security: Direct (D) or Indirect

6. Nature of Indirect Beneficial Ownership
(Instr. 5)

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				Shares		(I) (Instr. 5)	
Employee Stock Option (right to buy)	02/26/2017 ⁽¹⁾	02/25/2026	Common Stock	10,427	\$ 65.16	D	Â
Employee Stock Option (right to buy)	02/27/2016 ⁽²⁾	02/26/2025	Common Stock	5,499	\$ 74.46	D	Â
Employee Stock Option (right to buy)	02/28/2015 ⁽³⁾	02/27/2024	Common Stock	3,267	\$ 87.43	D	Â
Employee Stock Option (right to buy)	02/28/2016	02/27/2023	Common Stock	4,118	\$ 69.73	D	Â
Restricted Stock Units	01/31/2018 ⁽⁴⁾	01/31/2018 ⁽⁴⁾	Common Stock	4,450	\$ ⁽⁴⁾	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
BOLDEA LUCIAN 200 S. WILCOX DRIVE KINGSPORT, TN 37660	Â	Â	Â Senior Vice President	Â

Signatures

/s/ Brian L. Henry by Power of Attorney 07/07/2016

__Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) One third of option becomes exercisable on each of February 26, 2017, February 26, 2018 and February 26, 2019.
- (2) One third of option became exercisable on February 27, 2016 and one third of option becomes exercisable on each of February 27, 2017 and February 27, 2018.
- (3) One third of option became exercisable on each of February 28, 2015 and February 28, 2016 and one third of option becomes exercisable on February 28, 2017.
- (4) Each restricted stock unit represents a contingent right to receive one share of issuer common stock subject to continued employment.

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Remarks:

Exhibit 24 - Power of Attorney

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.