Vera Bradley, Inc. Form 4 August 02, 2016

### FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5

obligations

may continue.

See Instruction

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Last)

(Print or Type Responses)

1. Name and Address of Reporting Person \* Hall Robert J

2. Issuer Name and Ticker or Trading Symbol

Vera Bradley, Inc. [VRA]

3. Date of Earliest Transaction

(Month/Day/Year)

C/O VERA BRADLEY, INC., 12420 07/27/2016 STONEBRIDGE ROAD

(Street)

(First)

(Middle)

4. If Amendment, Date Original

Filed(Month/Day/Year)

ROANOKE, IN 46783

\_ Other (specify Officer (give title below)

5. Relationship of Reporting Person(s) to

(Check all applicable)

\_X\_\_ 10% Owner

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

OMB

Number:

Expires:

response...

Estimated average

burden hours per

Director

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Issuer

							1 013011		
(City)	(State)	(Zip) Tab	ole I - Non-I	Derivative S	ecuriti	ies Acq	uired, Disposed o	of, or Benefici	ally Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactio Code (Instr. 8)	4. Securitie on(A) or Disp (Instr. 3, 4	osed o	of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	07/27/2016		G <u>(1)</u> V	800,000	D	\$ 0	6,610,469 (2)	I	By Barbara B. Baekgaard 2009 Grantor Retained Annuity Trust
Common Stock	07/27/2016		G <u>(1)</u> V	200,000	A	\$0	0 (3)	I	By Joan Byrne Hall
Common Stock							200,000 <u>(2)</u> <u>(3)</u>	I	By Joan Byrne Hall

			2016 Grantor Retained Annuity Trust #1
Common Stock	54,463	D	
Common Stock	300,000 (2)	I	By Joan Byrne Hall 2015 Grantor Retained Annuity Trust
Reminder: Report on a separate line for each class of securities benefici	ally owned directly or indirectly.  Persons who respond to the colle information contained in this form required to respond unless the fodisplays a currently valid OMB conumber.	n are not rm	SEC 1474 (9-02)

 $\label{thm:convergence} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (e.g., puts, calls, warrants, options, convertible securities) \end{tabular}$ 

D S	Title of Derivative ecurity (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	Under	ınt of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr
					Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

## **Reporting Owners**

Reporting Owner Name / Address	Relationships						
• 0	Director	10% Owner	Officer	Other			
Hall Robert J C/O VERA BRADLEY, INC. 12420 STONEBRIDGE ROAD ROANOKE, IN 46783		X					

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### **Signatures**

/s/ Robert J. Hall 08/02/2016

\*\*Signature of Date
Reporting Person

#### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Represents a distribution by the Barbara B. Baekgaard 2009 Grantor Retained Annuity Trust (the "Baekgaard Trust") of 200,000 shares of the Issuer's common stock to each of the four beneficiaries of the Baekgaard Trust, including the reporting person's spouse, in accordance
- (1) the Issuer's common stock to each of the four beneficiaries of the Baekgaard Trust, including the reporting person's spouse, in accordance with the terms of the documents governing the Baekgaard Trust.
- (2) The reporting person disclaims beneficial ownership of these shares.
  - On July 27, 2016, following the reporting person's spouse's receipt of the 200,000 share distribution from the Baekgaard Trust, the
- (3) reporting person's spouse transferred the shares to the Joan Byrne Hall 2016 Grantor Retained Annuity Trust #1, of which the reporting person's spouse is the sole trustee and annuitant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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